

Sampling and Quantisation

Sampling & quantisation

- ❑ 1. Discretization of continuous signals
- ❑ 2. Signal representation in the spatial and frequency domain
- ❑ 3. Effects of sampling and quantisation
- ❑ 4. More on sampling
- ❑ 5. More on quantisation



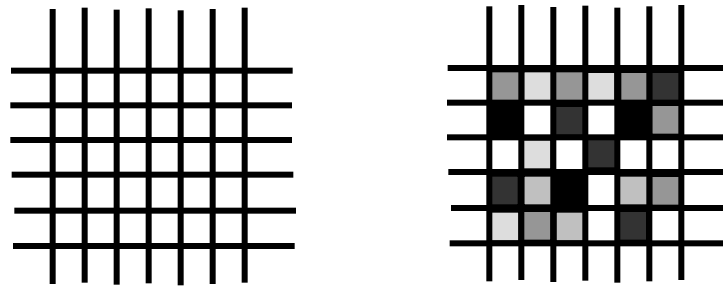
Learning objectives: what can you do after today?

- Describe how images are discretized
- Describe effects of choices involved in the discretization process
- Describe the mathematics behind sampling
- Describe convolution, Fourier transform of images and convolution theorem
- Given the properties of an image decide whether a loyal reconstruction is possible from samples
- Describe basic quantization
- Recognize quantization artifacts

Discretisation

Computer to process an image :

1. sampling ▶ “pixels”
2. quantisation ▶ “grey levels”



Sampling & quantization

(0,0)

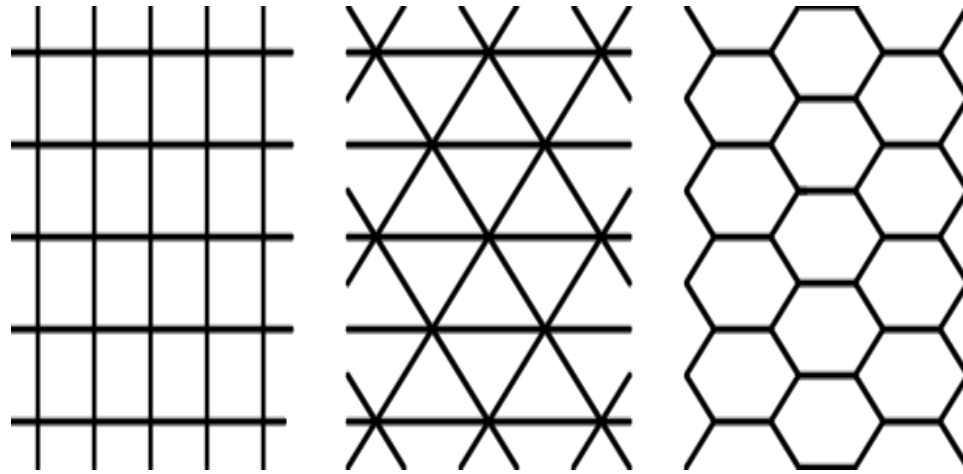


84	133	226	212	218	218	222	212	218	222	226	218
75	156	177	218	212	218	218	218	218	222	218	218
96	84	133	203	218	218	218	222	212	218	222	218
123	75	111	156	212	218	212	212	218	218	218	226
93	75	71	133	185	231	226	226	222	212	218	218
51	75	75	75	156	206	218	218	218	222	212	222
44	110	75	65	143	194	231	218	218	218	218	218
52	123	69	84	60	156	199	231	231	222	226	226
52	75	84	81	65	69	150	231	231	226	231	231
36	36	84	93	84	71	156	160	240	240	231	231
36	40	113	75	69	75	71	133	194	240	240	240
52	52	105	85	69	75	75	123	111	222	231	231
69	44	69	93	81	75	75	69	150	177	247	240
73	44	40	96	101	75	75	75	84	133	231	240

Sampling schemes

regular, image covering tessellation

11 with regular polygons ▶ 3 if equal



rectangular (square) most popular

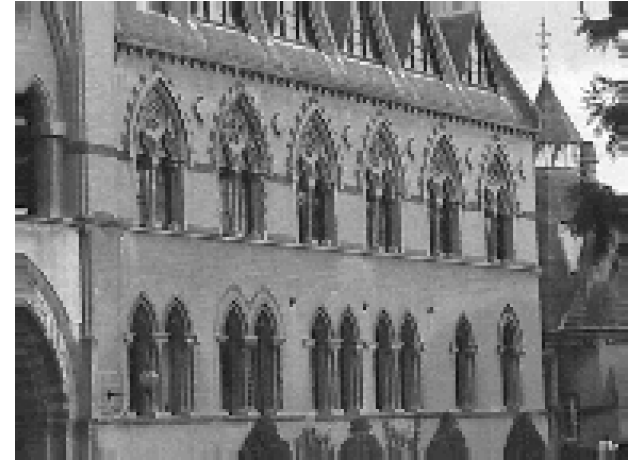
hexagonal has advantages (more isotropic, no connectivity ambiguities, ...) + similar structure in retina



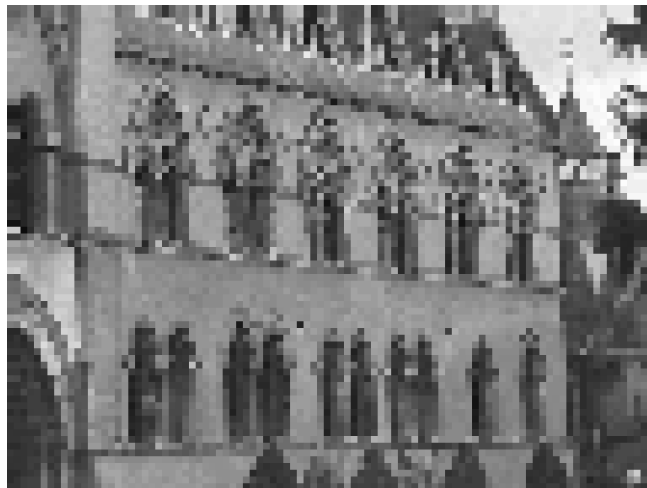
Example of sampling :



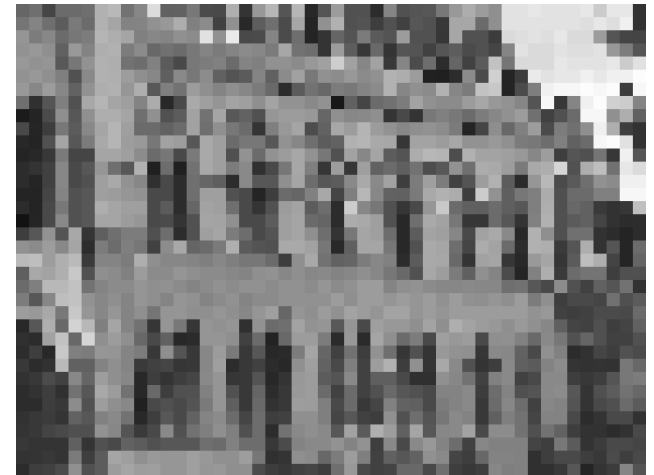
384 x 288 pixels



192 x 144 pixels



92 x 72 pixels



48 x 36 pixels

Example of quantisation :



2 levels - binary



4 levels



8 levels



256 levels – 1 byte

Image distortion through sampling

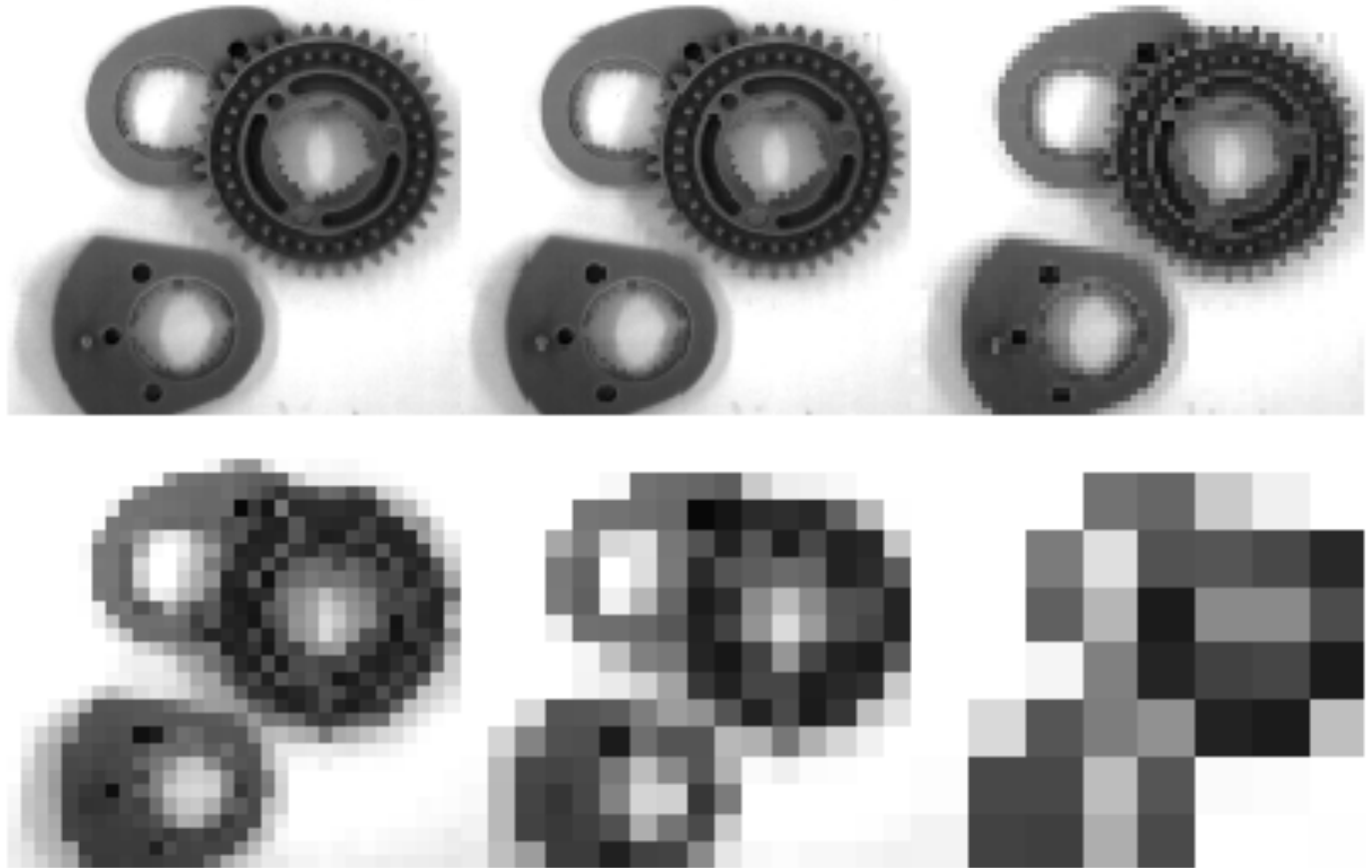
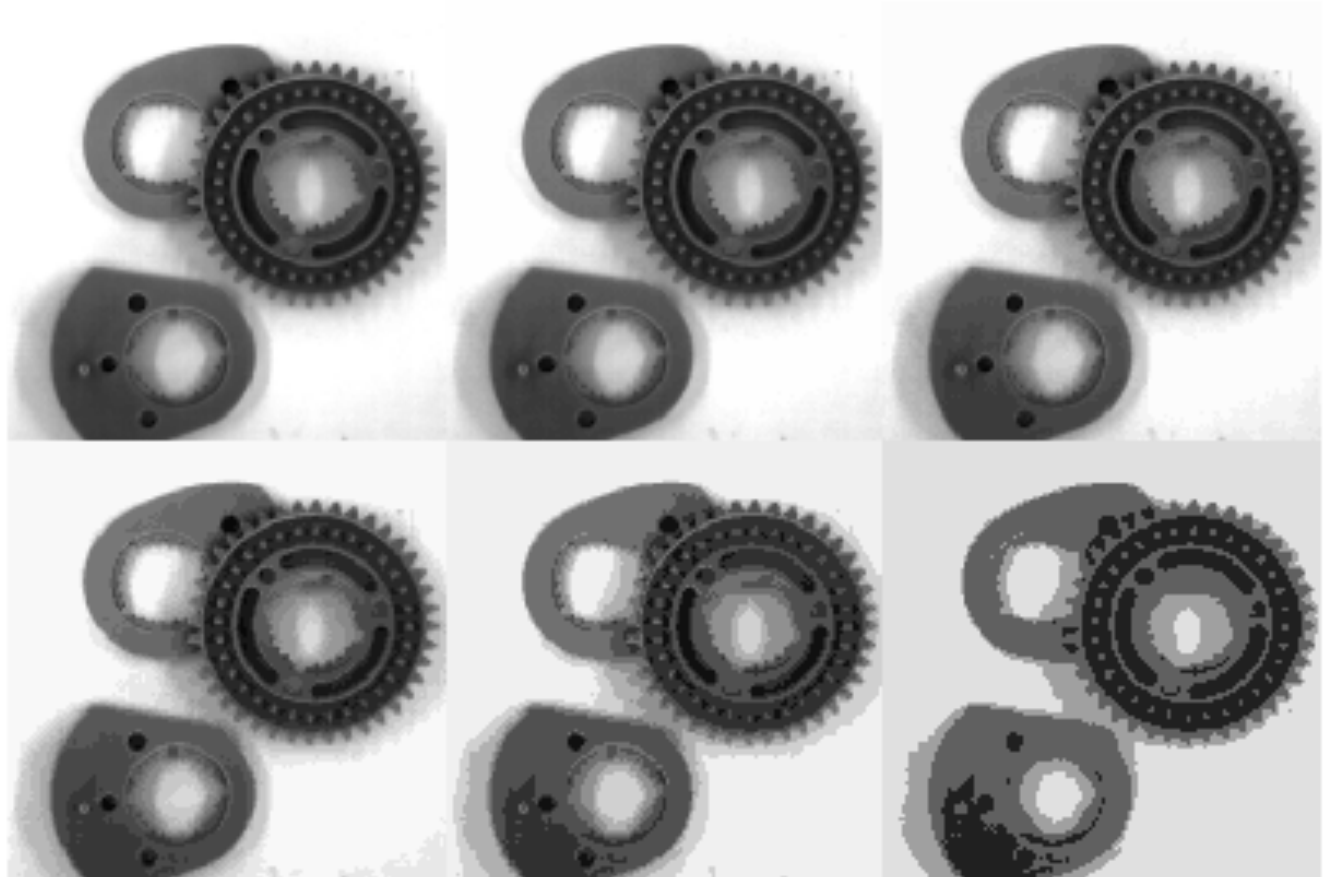


Image distortion through quantisation



Remarks

- ❑ 1. Importance of binary images

- ❑ 2. Non-uniform sampling and/or quantisation
 - a. fine sampling for details

 - b. fine quantisation for homogeneous regions



A model for sampling

1. Integrate brightness over cell window

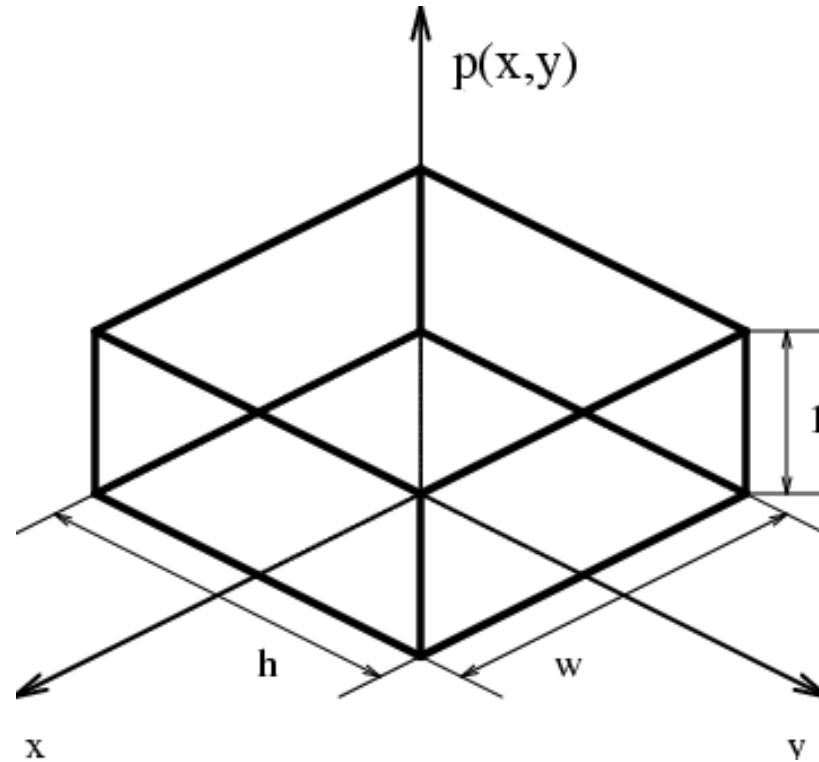
Image degradations

2. Read out values only at the pixel centers

Aliasing
Leakage



STEP 1 : integrating over a pixel cell



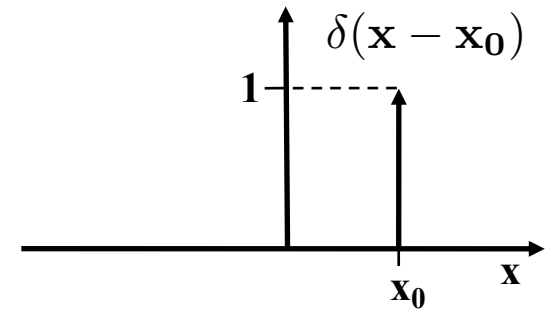
$$o(x', y') = \iint i(x, y) p(x - x', y - y') dx dy$$



STEP 2: local probing of functions

Distributions as extension of functions: the Dirac pulse

$$\delta(\mathbf{x} - \mathbf{x}_0) = 0 \quad \mathbf{x} \neq \mathbf{x}_0$$
$$\int_{-\infty}^{\infty} \dots \int_{-\infty}^{\infty} \delta(\mathbf{x} - \mathbf{x}_0) d\mathbf{x} = 1$$

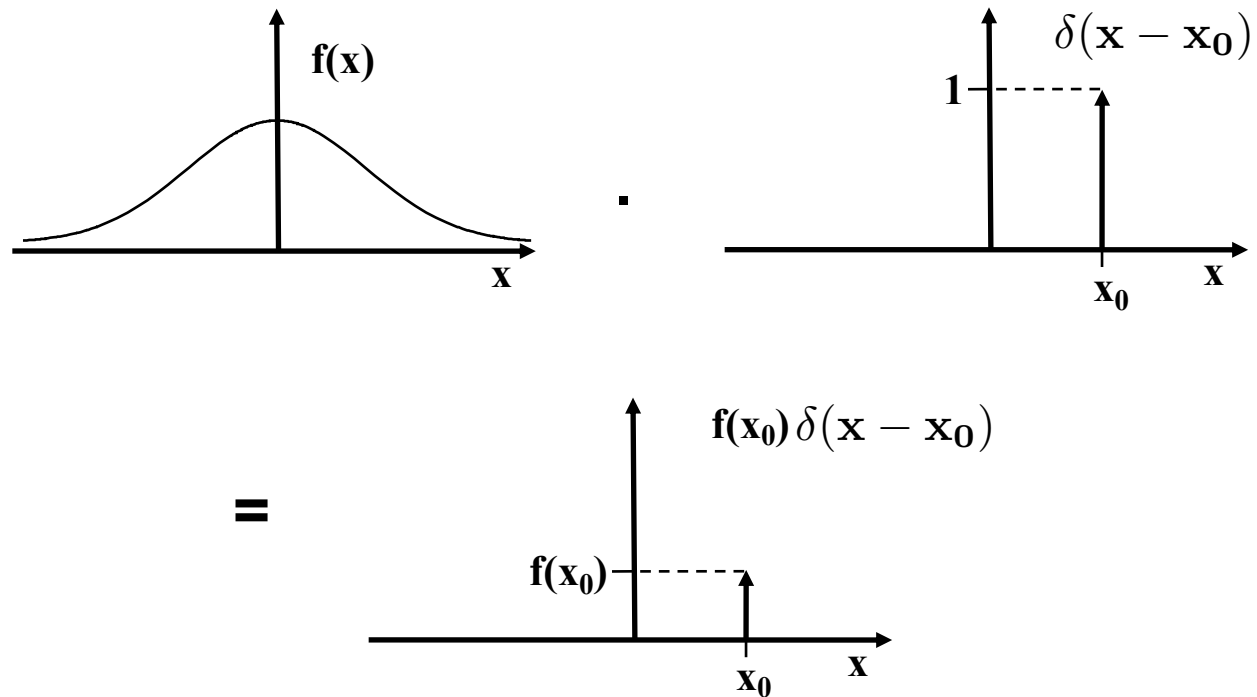


Function probing (in 1D)

$$\int_{-\infty}^{\infty} \delta(x) f(x) dx = f(0)$$
$$\int_{-\infty}^{\infty} \delta(x - x_0) f(x) dx = f(x_0)$$



Spatial domain characterization



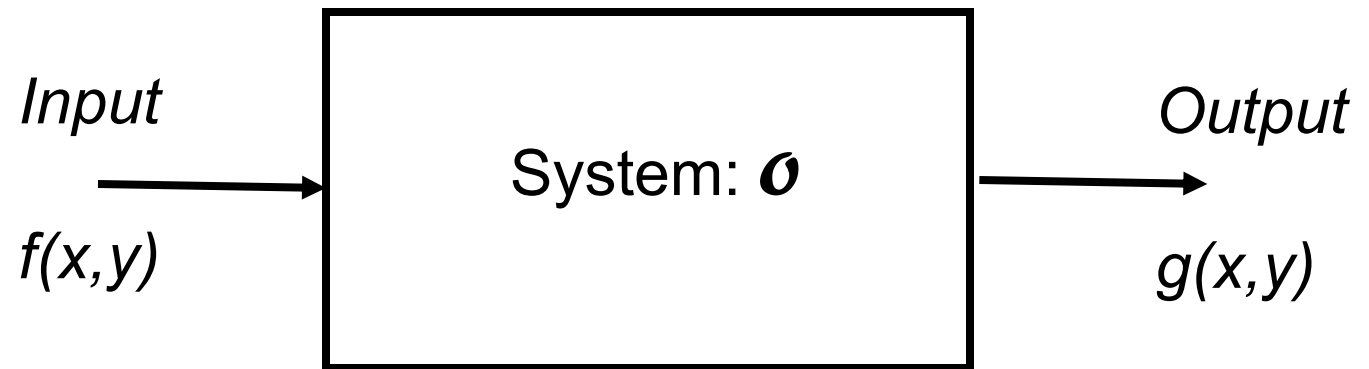
Decomposition of a function into individual pulses

$$f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\alpha, \beta) \delta(x - \alpha, y - \beta) d\alpha d\beta$$

Signals described in a linear space through
decomposition in an orthonormal basis



A system view on image/signal processing



Linear, shift-invariant operators

1. Linear :

$$\square f_1 \longrightarrow g_1$$

$$\square f_2 \longrightarrow g_2$$

$$\square af_1 + bf_2 \longrightarrow ag_1 + bg_2$$

2. Shift-invariant :

$$\square f(x,y) \longrightarrow g(x,y)$$

$$\square f(x - a, y - b) \longrightarrow g(x - a, y - b)$$



Characterization of LSI systems through spatial domain pulses

$$\begin{aligned}g(x, y) &= O[f(x, y)] \\&= O \left[\int \int f(\alpha, \beta) \delta(x - \alpha, y - \beta) d\alpha d\beta \right] \\&= \int \int f(\alpha, \beta) O[\delta(x - \alpha, y - \beta)] d\alpha d\beta \\&= \int \int f(\alpha, \beta) r(x - \alpha, y - \beta) d\alpha d\beta\end{aligned}$$

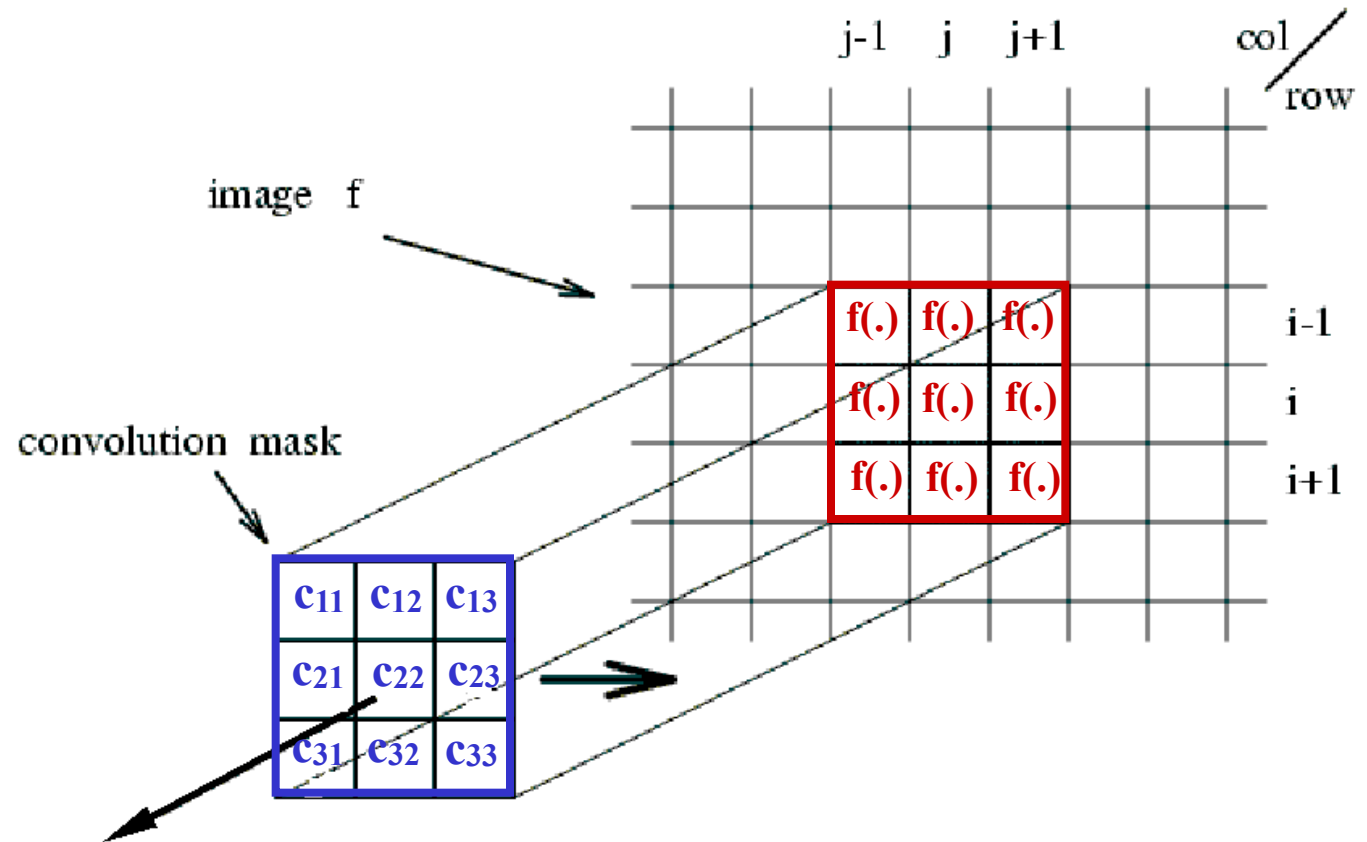
convolution of f and \mathbf{r}

→ **point spread function**

$$g(x, y) = f(x, y) * r(x, y)$$



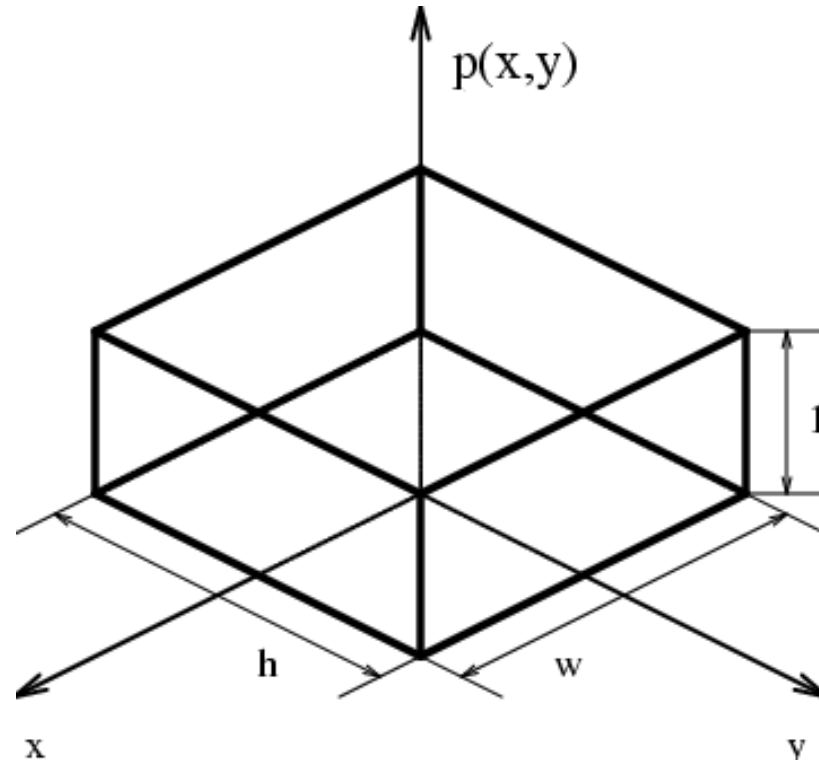
Convolution



$$\begin{aligned}
 o(i,j) = & c_{11} f(i-1,j-1) + c_{12} f(i-1,j) + c_{13} f(i-1,j+1) + \\
 & c_{21} f(i,j-1) + c_{22} f(i,j) + c_{23} f(i,j+1) + \\
 & c_{31} f(i+1,j-1) + c_{32} f(i+1,j) + c_{33} f(i+1,j+1)
 \end{aligned}$$



An example of convolution



$$o(x', y') = \iint i(x, y) p(x - x', y - y') dx dy$$

This is a *convolution*: $i(x, y) * p(-x, -y)$



Characteristics of convolution

$$f * g = g * f$$

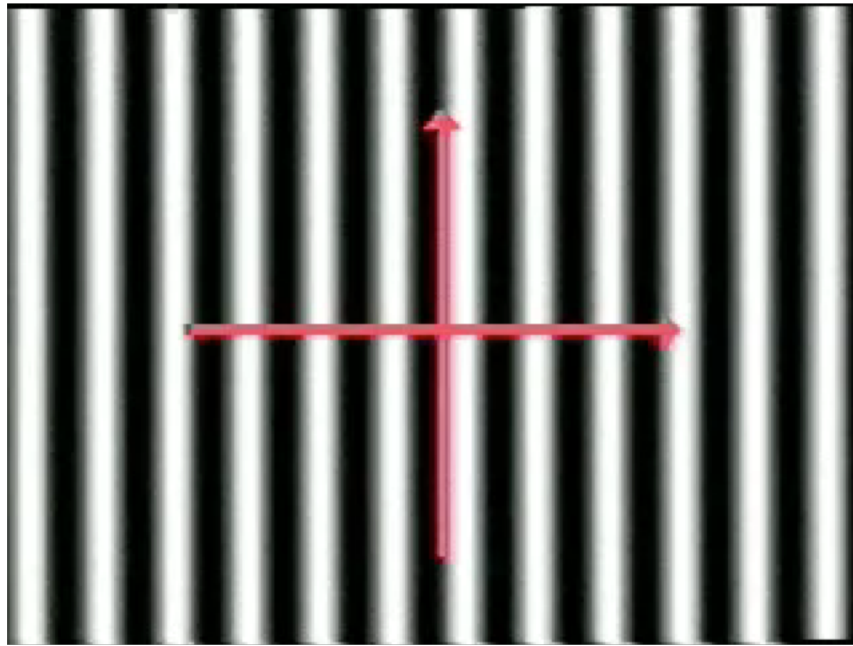
$$(f * g) * h = f * (g * h)$$

$$\begin{aligned} k &= h * f \\ &= (h_1 * h_2) * f \\ &= h_1 * (h_2 * f) \end{aligned}$$



Alternative characterization of functions: The frequency domain

orthonormal basis functions $e^{i2\pi(ux+vy)}$
 $= \cos 2\pi(ux + vy) + i \sin 2\pi(ux + vy)$



$$\lambda = \frac{1}{\sqrt{u^2 + v^2}}$$

Eigenfunctions of LSI systems

$$\begin{aligned} \mathcal{O}[e^{i2\pi(ux+vy)}] &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{i2\pi(u(x-\alpha)+v(y-\beta))} r(\alpha, \beta) d\alpha d\beta = \\ &= e^{i2\pi(ux+vy)} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-i2\pi(u\alpha+v\beta)} r(\alpha, \beta) d\alpha d\beta = A e^{i\phi} e^{i2\pi(ux+vy)} \end{aligned}$$



The Fourier transform

Linear decomposition of functions in the new basis
Scaling factor for basis function (u, v)

$$\mathcal{F}[f(x, y)] = F(u, v) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, y) e^{-i2\pi(ux+vy)} dx dy$$

→ **The Fourier transform**

Reconstruction of the original function in the spatial domain: weighted sum of the basis functions

$$\mathcal{F}^{-1}[F(u, v)] = f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} F(u, v) e^{i2\pi(ux+vy)} dx dy$$

→ **The inverse Fourier transform**

$$f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\alpha, \beta) \delta(x - \alpha, y - \beta) d\alpha d\beta$$



Fourier coefficients

$F(u, v)$ is complex : $F_R(u, v) + iF_I(u, v)$

The magnitude

$$|F(u, v)| = \sqrt{F_R(u, v)^2 + F_I(u, v)^2}$$

The phase angle

$$\arctan (F_I(u, v) / F_R(u, v))$$



Fourier decomposition of images

$$f(x,y) = \text{[Image of a building facade]} \\ = \frac{F(u,v)}{X} + \frac{F(u',v')}{X} + \frac{F(u'',v'')}{X} + \dots$$

The equation illustrates the Fourier decomposition of an image $f(x,y)$. The image is shown as a grayscale photograph of a building facade with Gothic-style windows. Below the equation, three patterns of vertical and diagonal stripes represent the individual frequency components $F(u,v)$, $F(u',v')$, and $F(u'',v'')$ respectively, each scaled by a factor X . The first pattern consists of fine vertical lines, the second of thicker vertical lines, and the third of diagonal lines.



Fourier decomposition of images

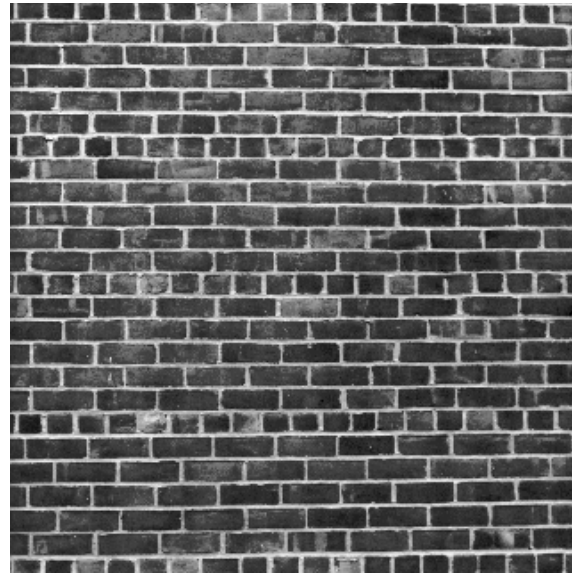


Fourier decomposition of images

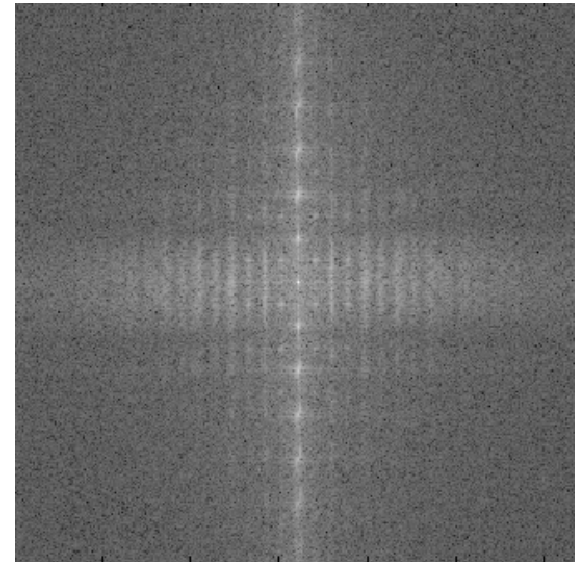


Example importance of magnitude

- Image with periodic structure



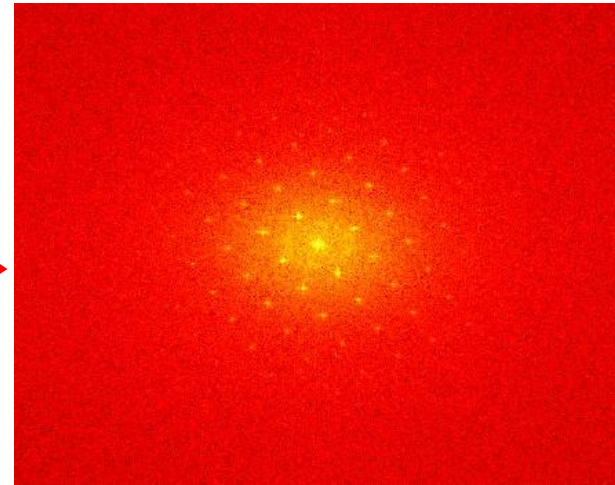
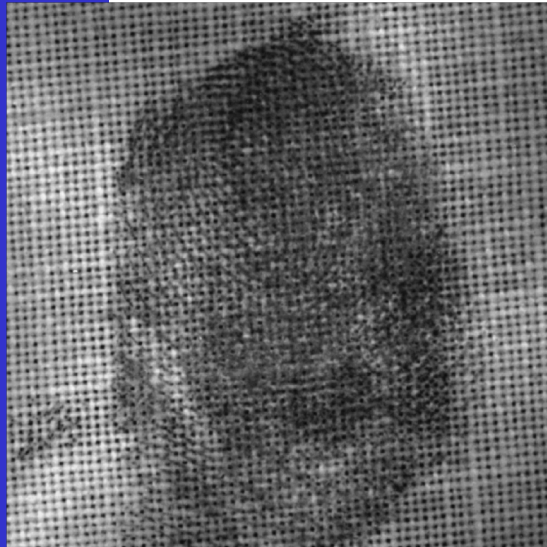
$f(x,y)$



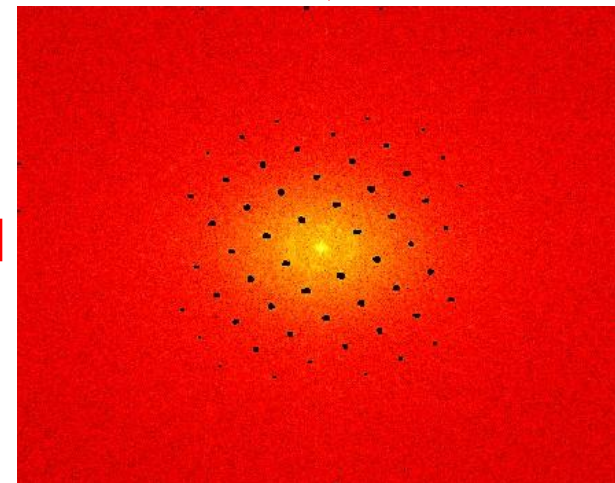
$|F(u,v)|$

FT has peaks at spatial frequencies of repeated texture

Example importance of magnitude



$$|F(u, v)|$$



remove
peaks

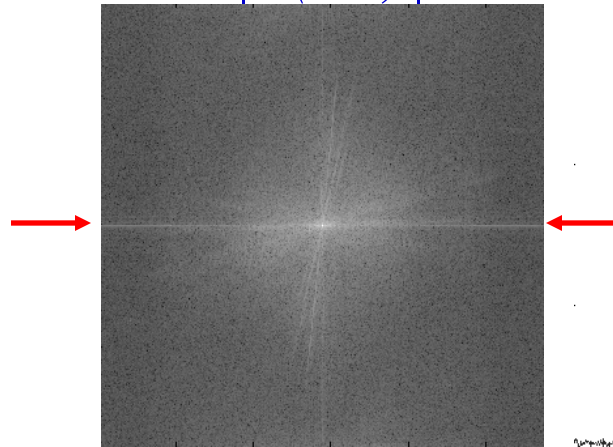


Periodic background removed

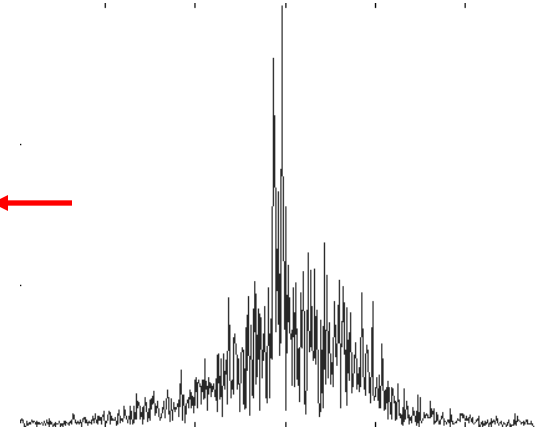
Example importance of magnitude



$|F(u,v)|$



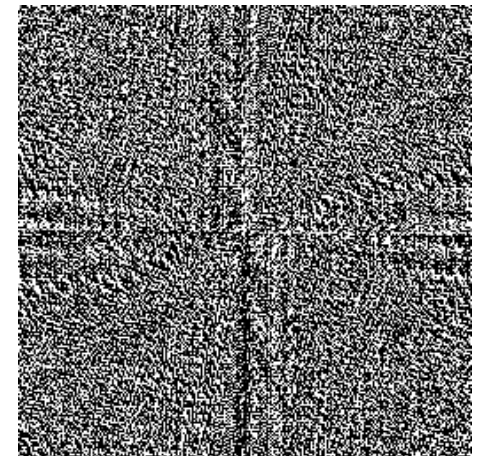
cross-section



$f(x,y)$

- $|F(u,v)|$ generally decreases with higher spatial frequencies
- phase appears less informative

phase $F(u,v)$



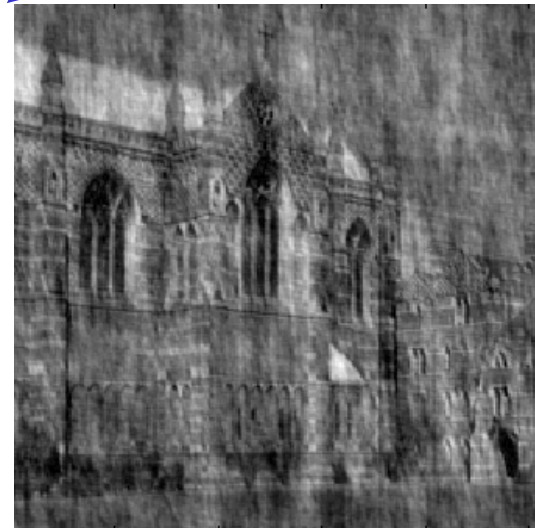
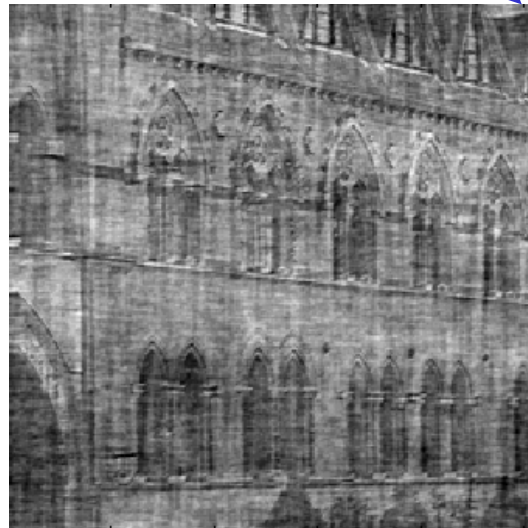
The importance of the phase



phase

magnitude

phase



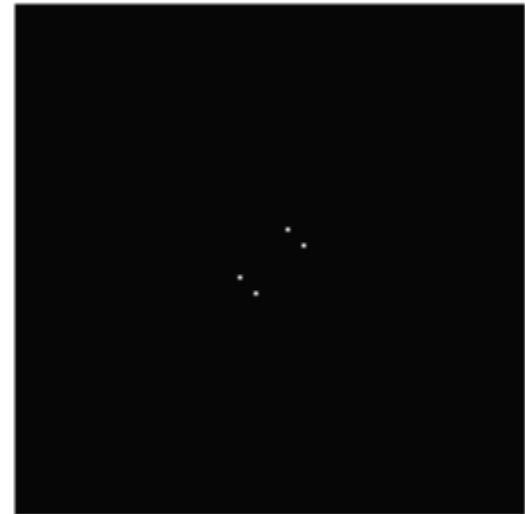
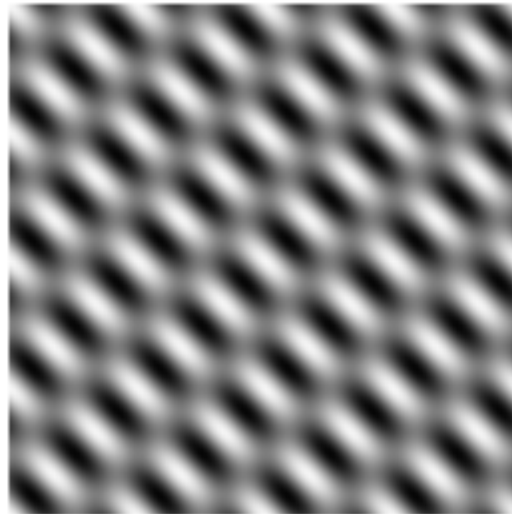
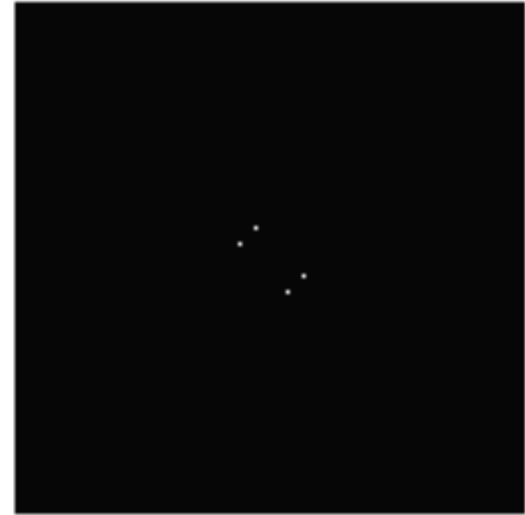
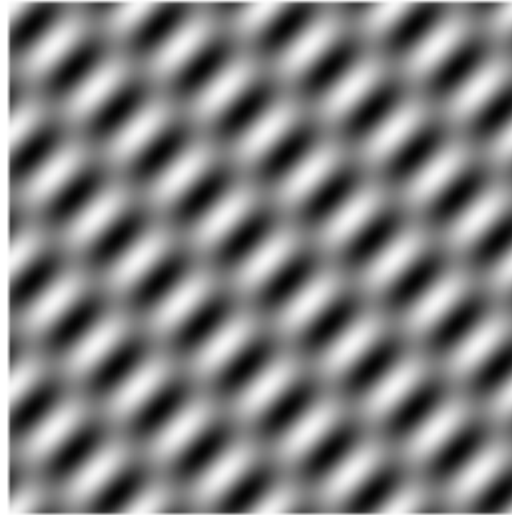
Some relevant properties

$$f(x, y) \leftrightarrow F_R(u, v) + iF_I(u, v)$$

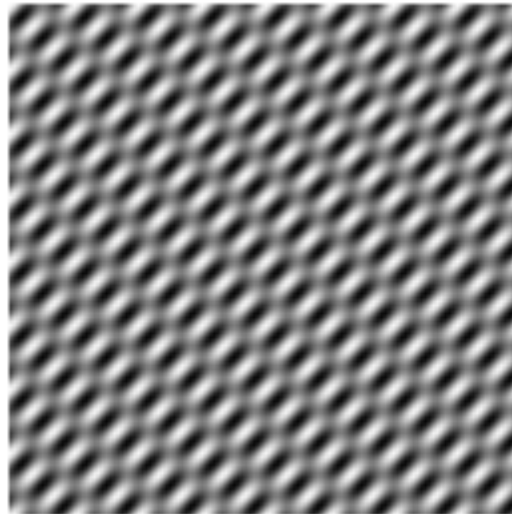
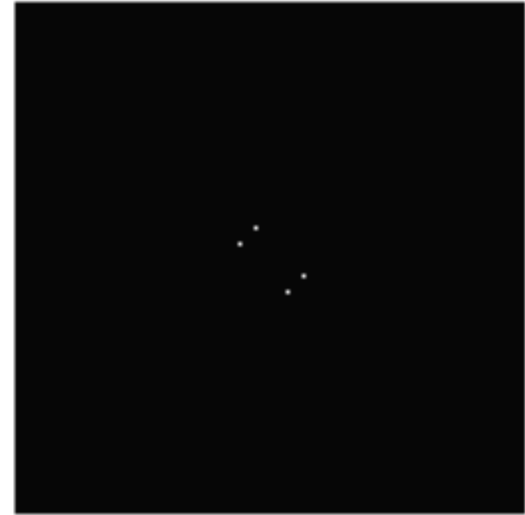
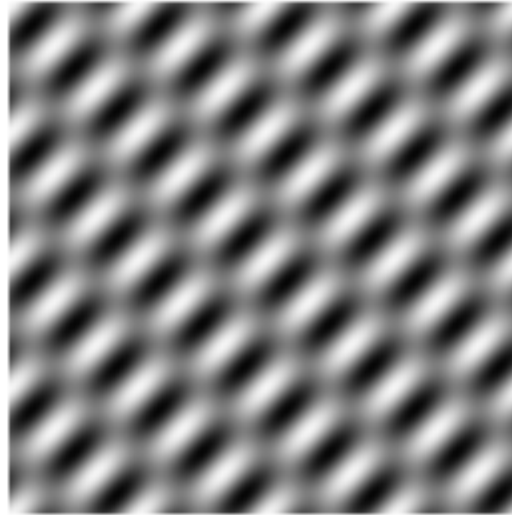
spatial domain	frequency domain
real	real part even imaginary part odd
real, even	real, even
real, odd	imaginary, odd



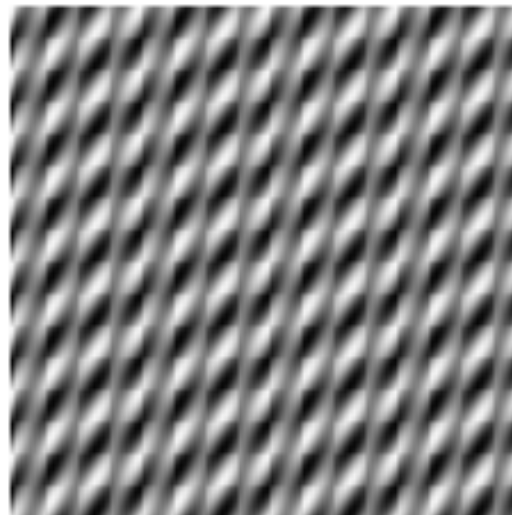
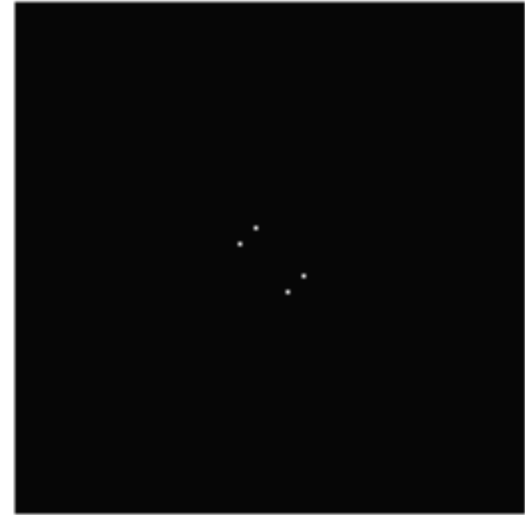
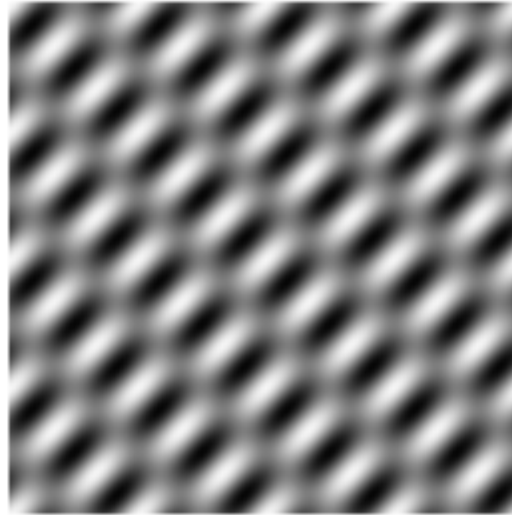
Rotation :



Scaling



Affine



The convolution theorem

$$c(x, y) = a(x, y) * b(x, y)$$

⇓ Fourier

$$C(u, v) =$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} [a(x, y) * b(x, y)] e^{-i2\pi(ux+vy)} dx dy$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} a(x - \alpha, y - \beta) b(\alpha, \beta) d\alpha d\beta \right] e^{-i2\pi(ux+vy)} dx dy$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} a(x - \alpha, y - \beta) e^{-i2\pi(ux+vy)} dx dy \right] b(\alpha, \beta) d\alpha d\beta$$



The convolution theorem

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} a(x-\alpha, y-\beta) e^{-i2\pi(ux+vy)} dx dy \right] b(\alpha, \beta) d\alpha d\beta$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} a(x^*, y^*) e^{-i2\pi(u(x^*+a)+v(y^*+b))} dx^* dy^* \right] b(\alpha, \beta) d\alpha d\beta$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} a(x^*, y^*) e^{-i2\pi(ux^*+vy^*)} dx^* dy^* \right] e^{-i2\pi(ua+vb)} b(\alpha, \beta) d\alpha d\beta$$

That is,

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} A(u, v) e^{-i2\pi(u\alpha+v\beta)} b(\alpha, \beta) d\alpha d\beta$$

$$= A(u, v) B(u, v)$$

Space convolution = frequency multiplication



Modulation transfer function for LSI

$$\begin{aligned}O(u, v) &= \mathcal{F}\{o(x, y)\} \\ &= \mathcal{F}\{i(x, y) * r(x, y)\} \\ &= I(u, v)R(u, v)\end{aligned}$$

$$\begin{aligned}R(u, v) &= \mathcal{F}\{r(x, y)\} \\ &= \mathcal{F}\{\text{point spread function}\}\end{aligned}$$

= modulation transfer function



The convolution theorem: reciprocity

$$C(u, v) = A(u, v)B(u, v)$$

$$c(x, y) = a(x, y) * b(x, y)$$

$$C(u, v) = A(u, v) * B(u, v)$$

$$c(x, y) = a(x, y)b(x, y)$$

Space multiplication = frequency convolution



A model for sampling

... back to STEP 1

1. Integrate brightness over cell window

Image degradations

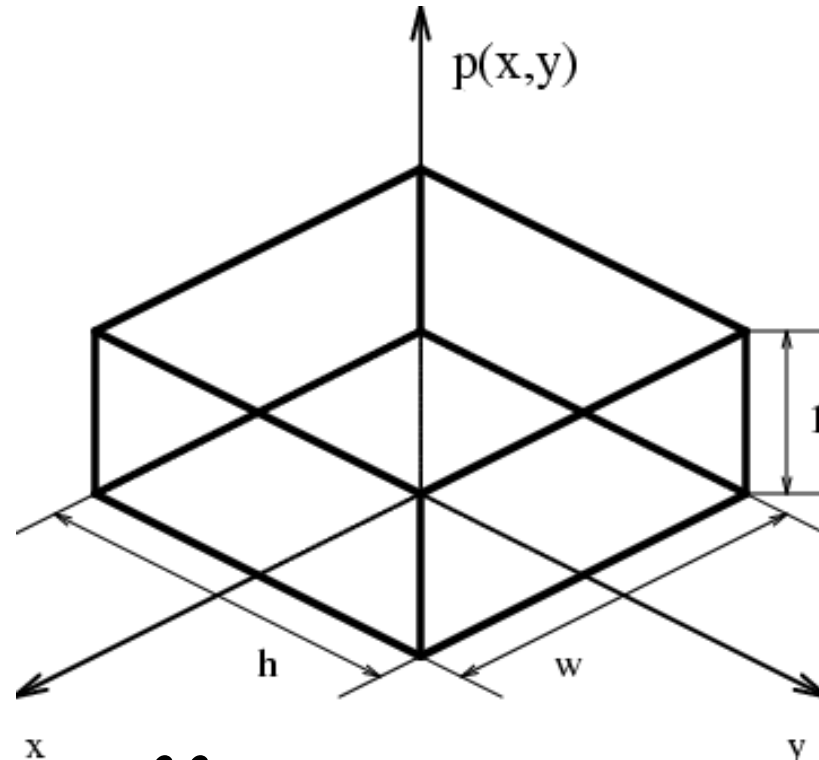
2. Read out values only at the pixel centers

Aliasing

Leakage



STEP 1 : integrating over a pixel cell



$$o(x', y') = \iint i(x, y) p(x - x', y - y') dx dy$$

This is *convolution*: $i(x, y) * p(-x, -y)$

$$O(u, v) = I(u, v)P(u, v)$$



Back to STEP 1

Fourier transform of window :

$$\begin{aligned} P(u, v) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-i2\pi(ux+vy)} p(x, y) dx dy \\ &= \int_{-w/2}^{w/2} e^{-i2\pi ux} dx \int_{-h/2}^{h/2} e^{-i2\pi vy} dy \\ &= \left[\frac{e^{-i2\pi ux}}{-i2\pi u} \right]_{-w/2}^{w/2} \left[\frac{e^{-i2\pi vy}}{-i2\pi v} \right]_{-h/2}^{h/2} \\ &= -\frac{1}{4\pi^2 uv} (-2i \sin(2\pi u \frac{w}{2})) (-2i \sin(2\pi v \frac{h}{2})) \\ &= wh \left(\frac{\sin \pi w u}{\pi w u} \right) \left(\frac{\sin \pi h v}{\pi h v} \right) \end{aligned}$$



Fourier transform of the window function

2D sinc :

real \Rightarrow no phase shifts!
power \Rightarrow mainly low pass!

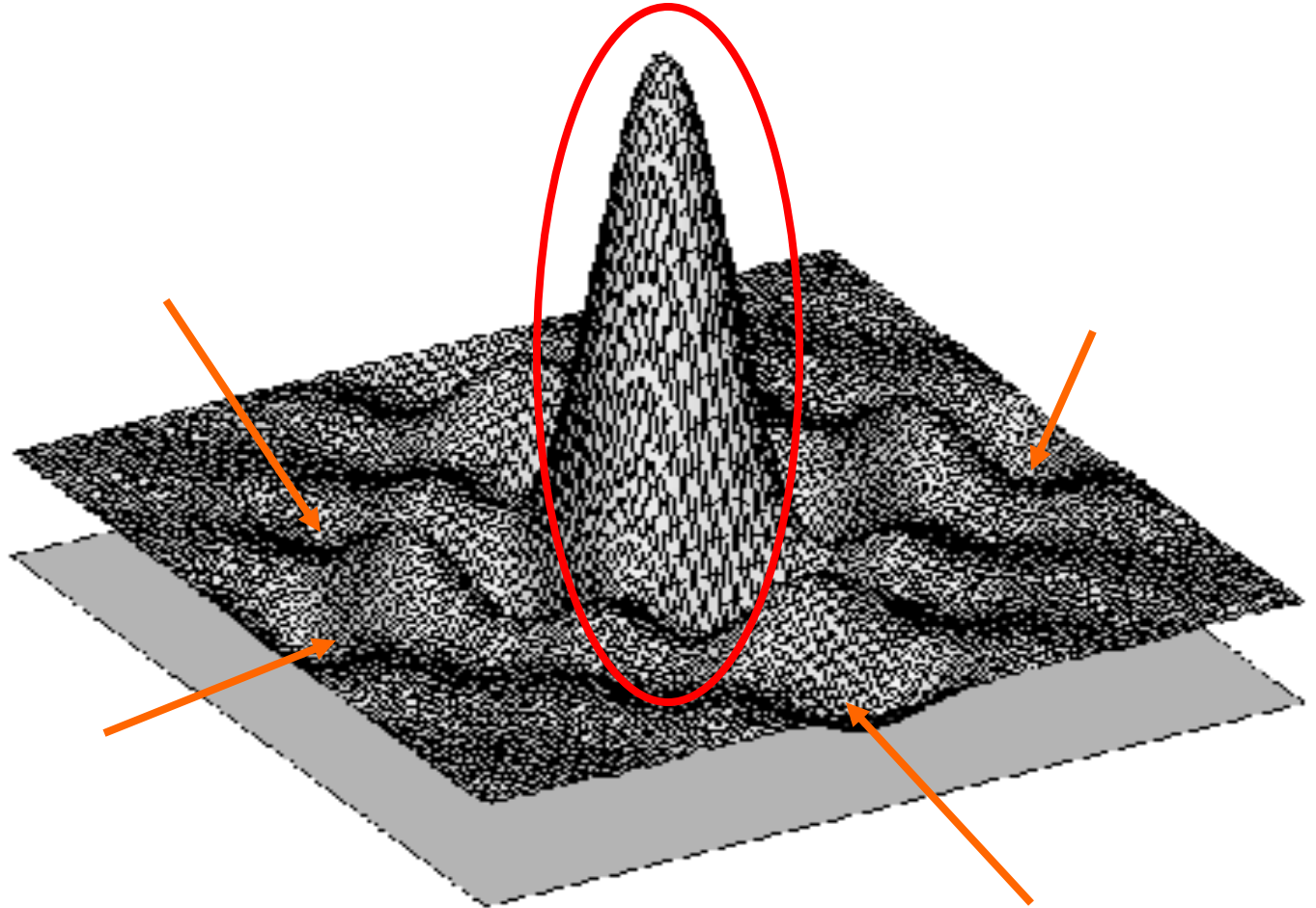
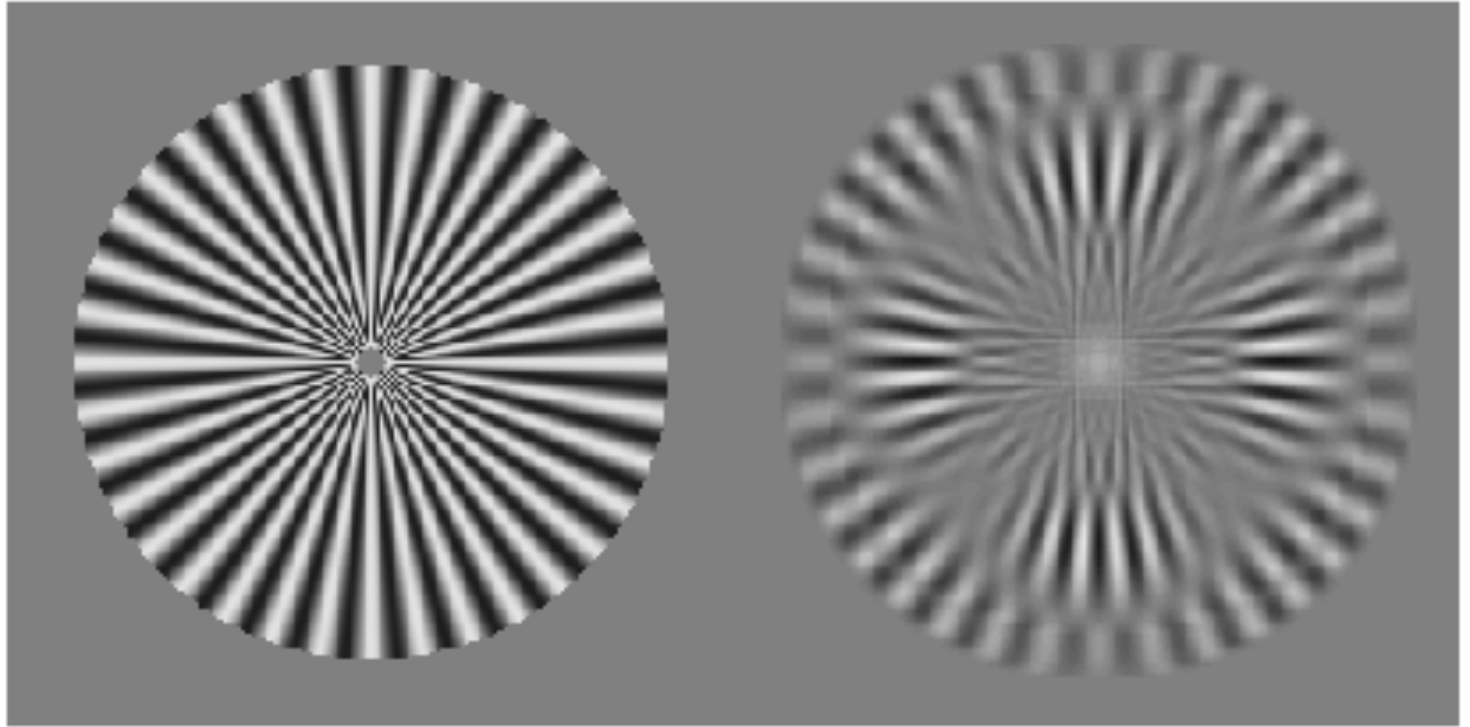
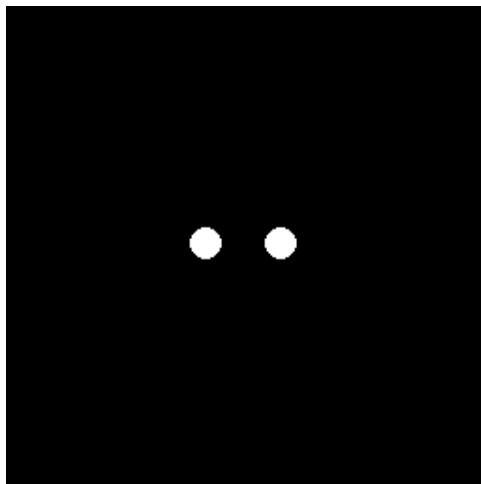


Illustration of the sinc

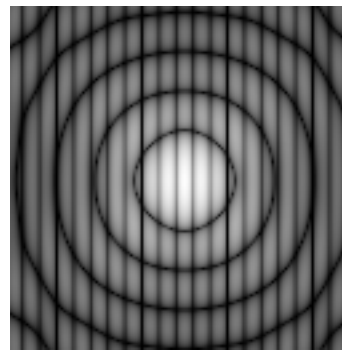


The convolution theorem: exercise

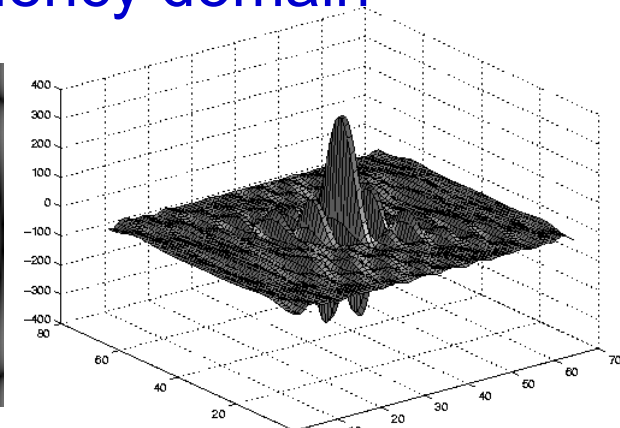
- What is the FT of ... frequency domain



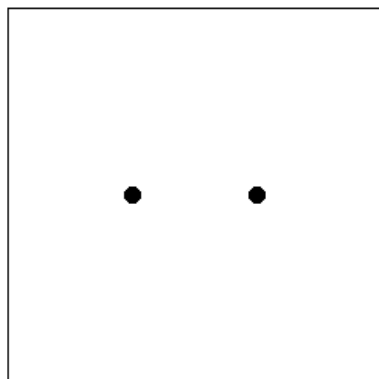
$f(x,y)$



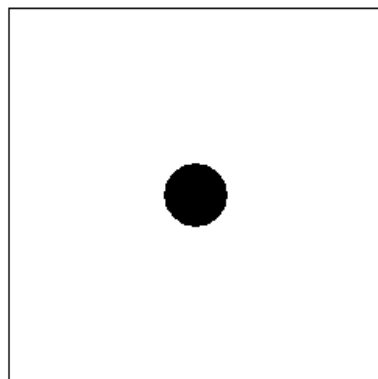
$|F(u,v)|$



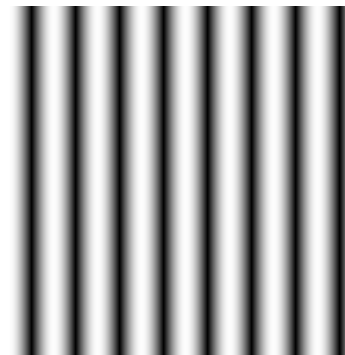
$F(u,v)$



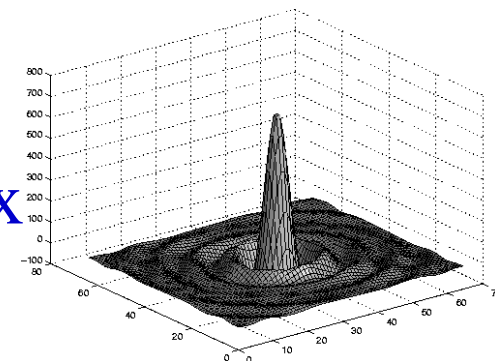
*



=



X



A model for sampling

... back to STEP 2

1. Integrate brightness over cell window

Image degradations

2. Read out values only at the pixel centers

Aliasing

Leakage



STEP 2 : discrete representation of functions In the spatial and frequency domain

- a) Discretizing in the spatial domain
- b) Limiting the spatial extent
- c) Discretizing in the frequency domain



Discretizing in the spatial domain

multiplication with 2D pulse train

$$\sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta(x - kw, y - lh)$$

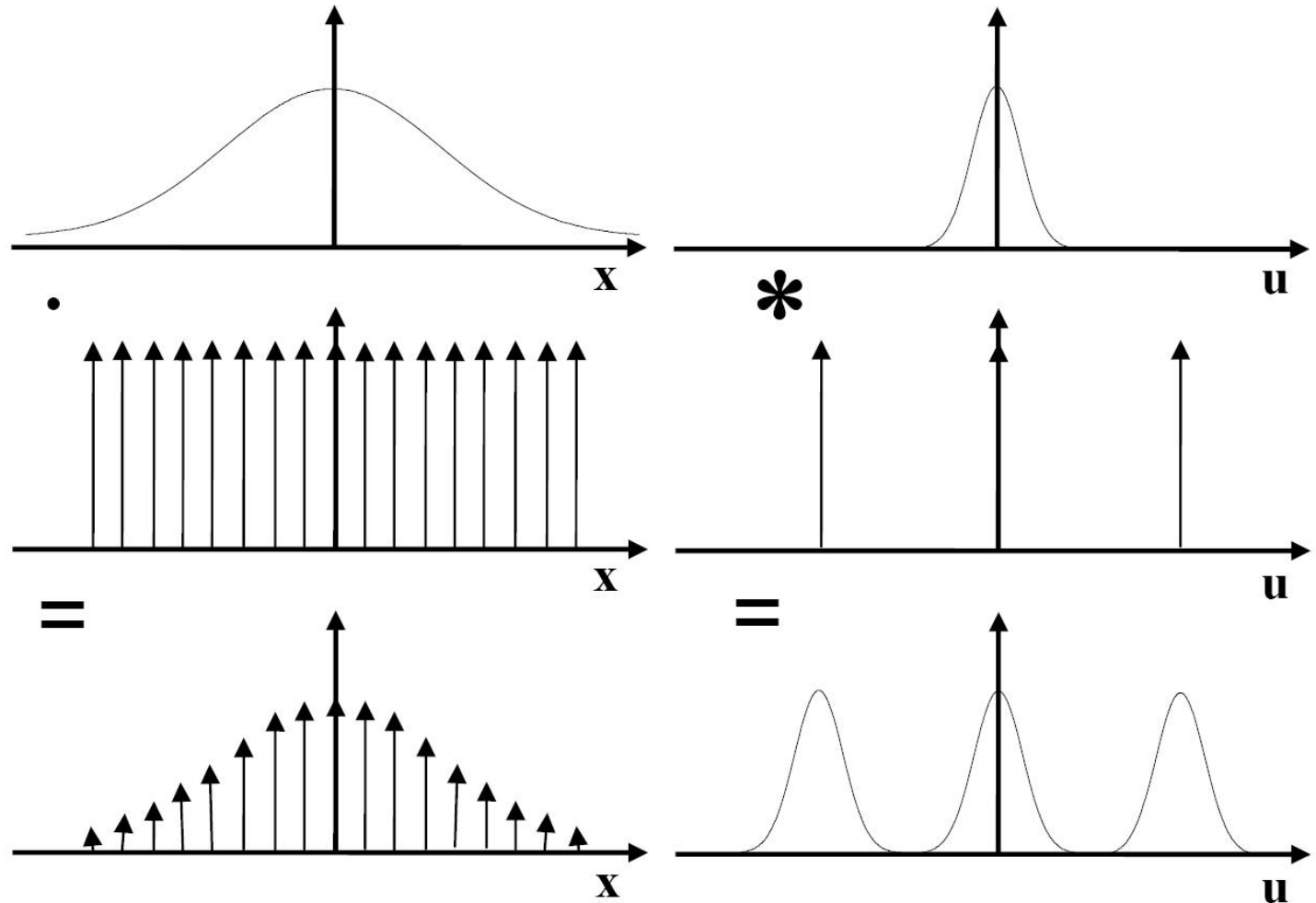
Fourier transform :

$$\frac{1}{wh} \sum_{k=-\infty}^{\infty} \sum_{l=-\infty}^{\infty} \delta\left(x - k \frac{1}{w}, y - l \frac{1}{h}\right)$$

Convolution with a Dirac train: periodic repetition
Yet another duality: discrete vs. periodic



Discretizing in the spatial domain



The sampling theorem

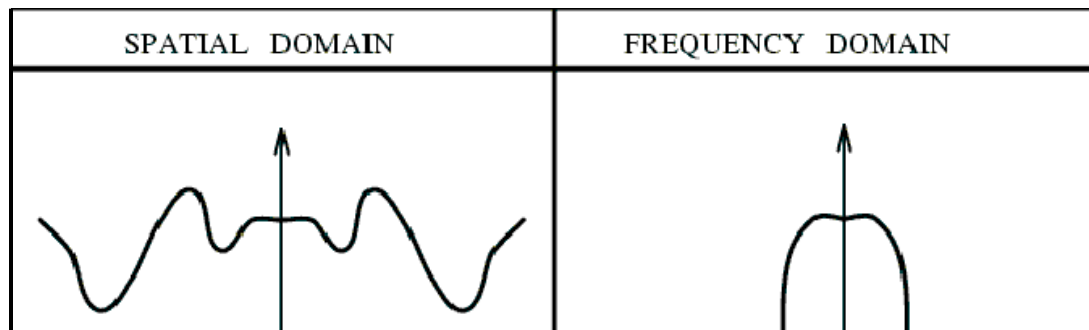
If the Fourier transform of a function $f(x,y)$ is zero for all frequencies beyond u_b and v_b , i.e. if the Fourier transform is *band-limited*, then the continuous periodic function $f(x,y)$ can be completely reconstructed from its samples as long as the sampling distances w and h along the x and y directions are such that

$$w \leq \frac{1}{2u_b}$$

and

$$h \leq \frac{1}{2v_b}$$

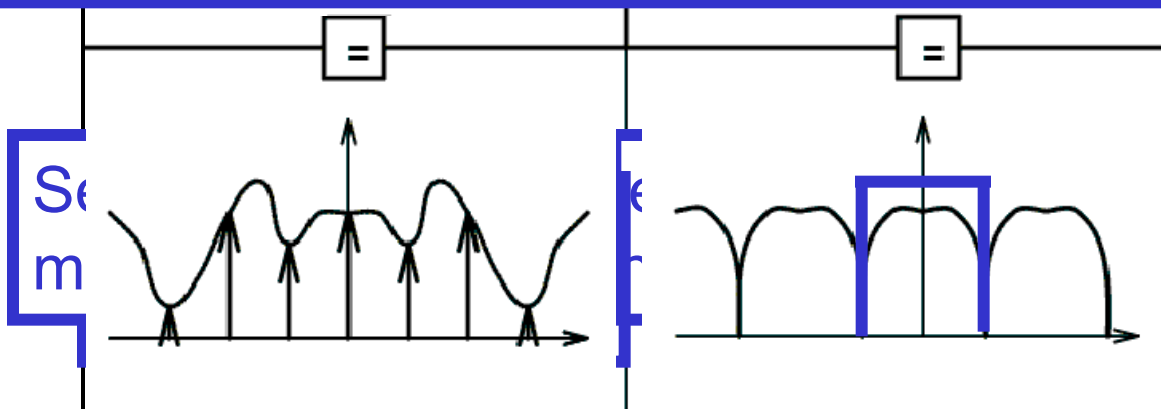

Interpolation



$$f(x, y) = \sum_k \sin\left(\pi\left(\frac{x}{M} - k\right)\right) \sin\left(\pi\left(\frac{y}{N} - l\right)\right)$$

Result is an exact interpolation

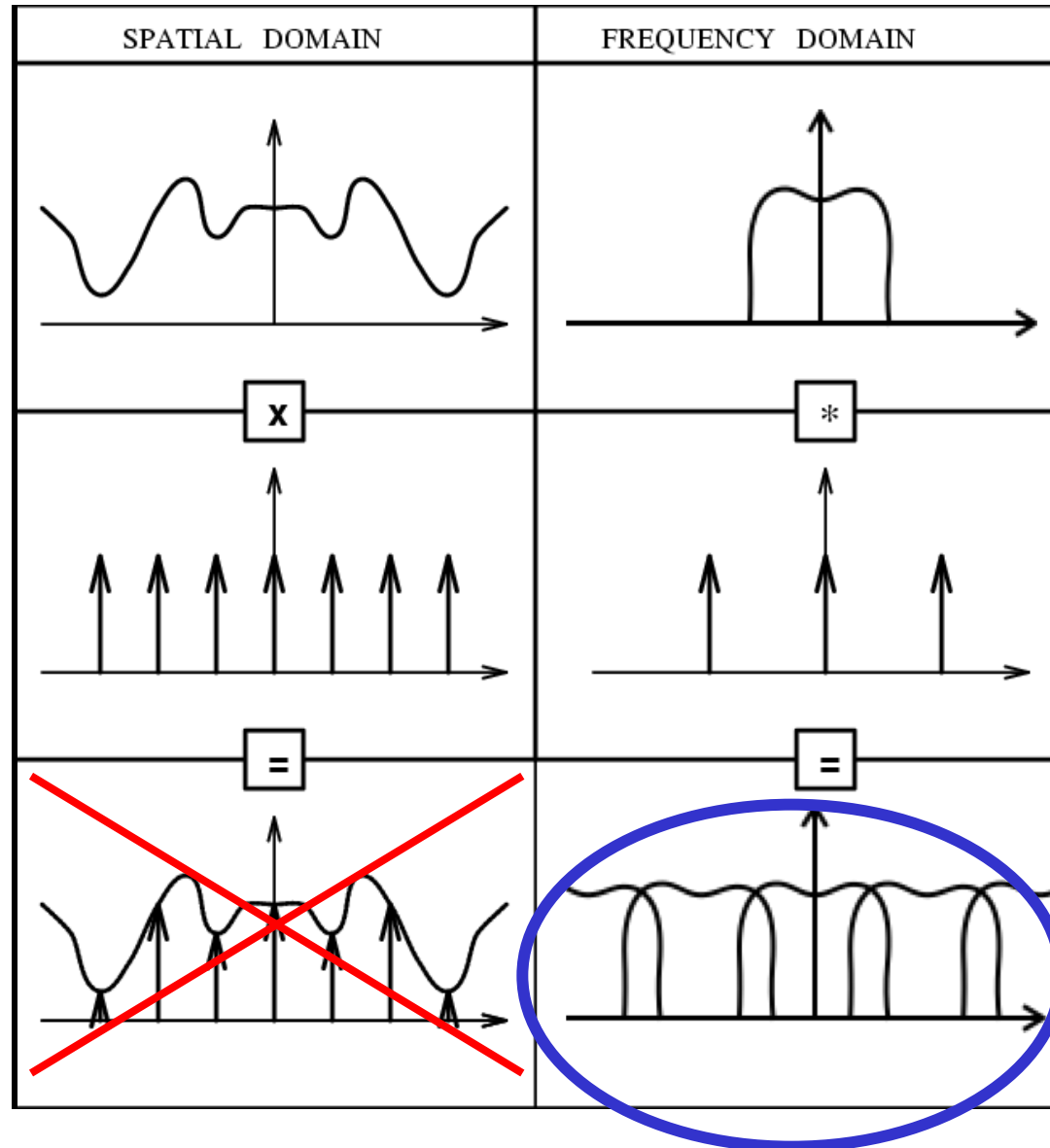
Sinc is the optimal interpolation function



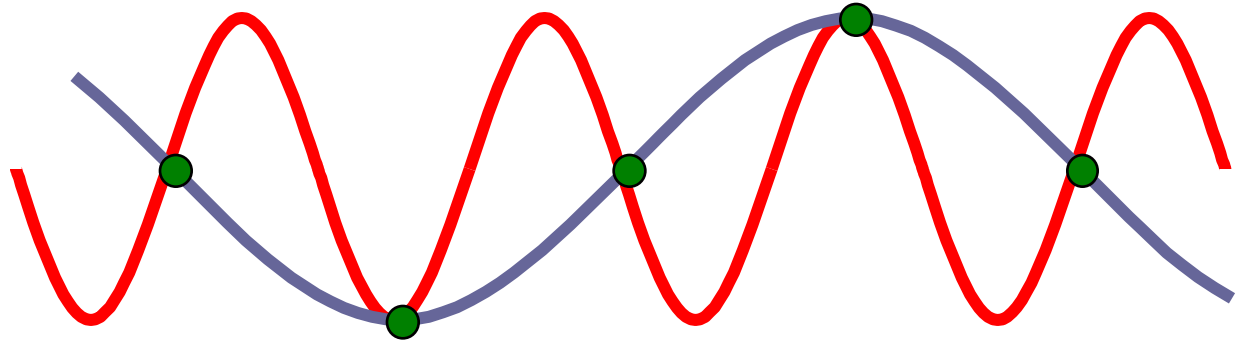
Perfect representation by samples

- ❑ The signal is band limited
- ❑ It is sampled at or above the Nyquist limit

Aliasing



Aliasing : 1D example

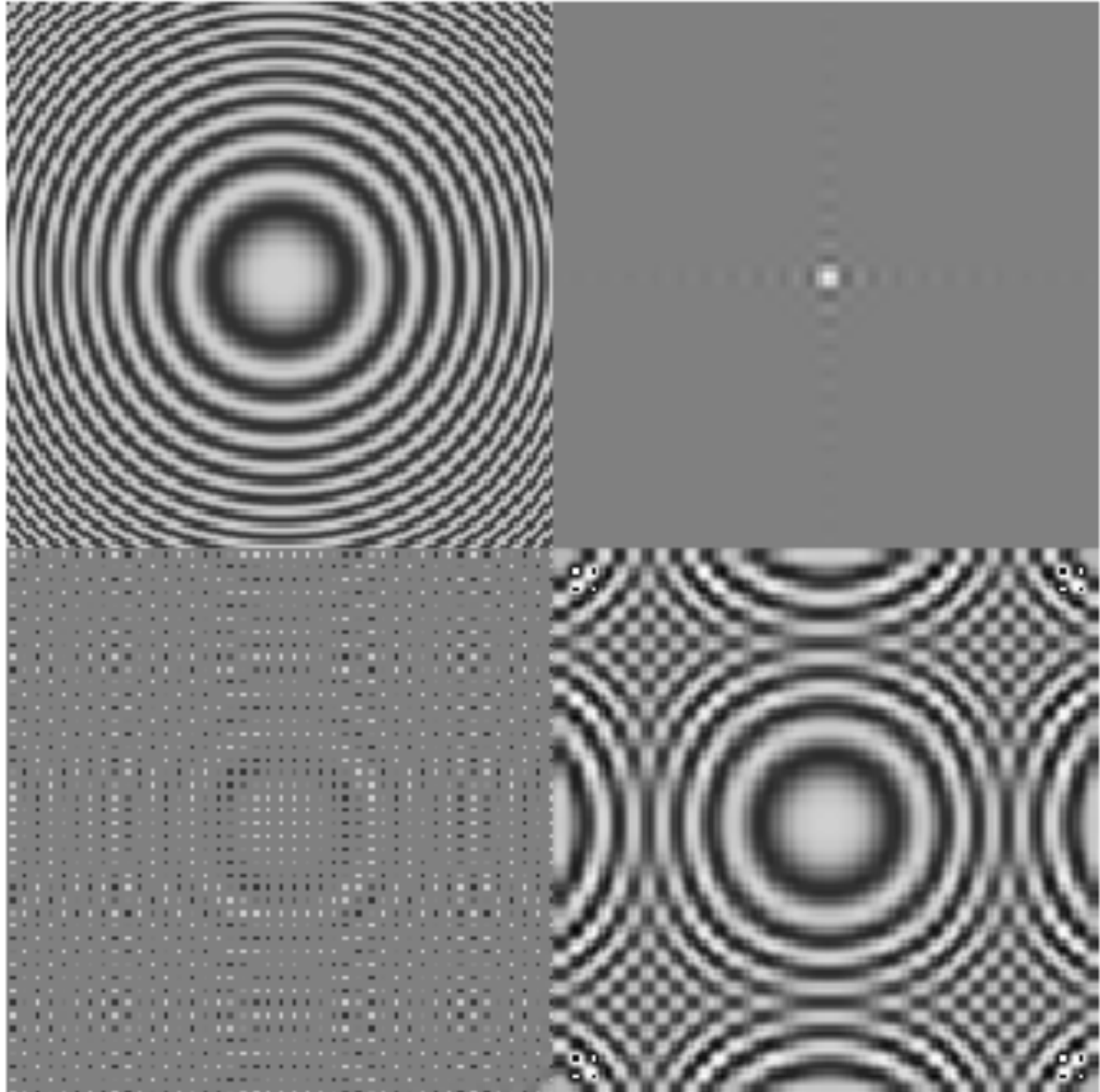


Insufficient samples to distinguish the high and the low frequency



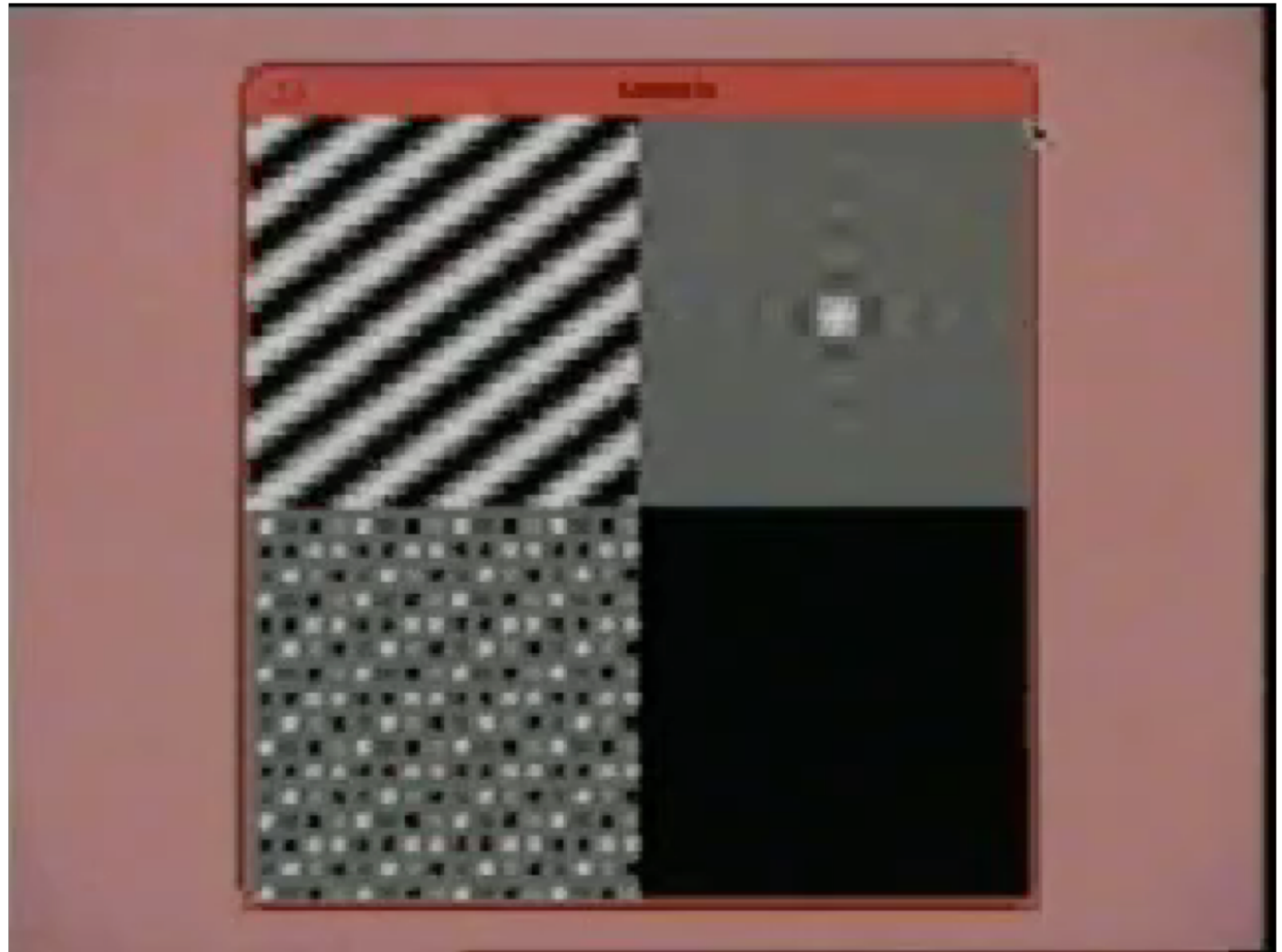
Aliasing 1

Example :



Aliasing 2

oversampled example :



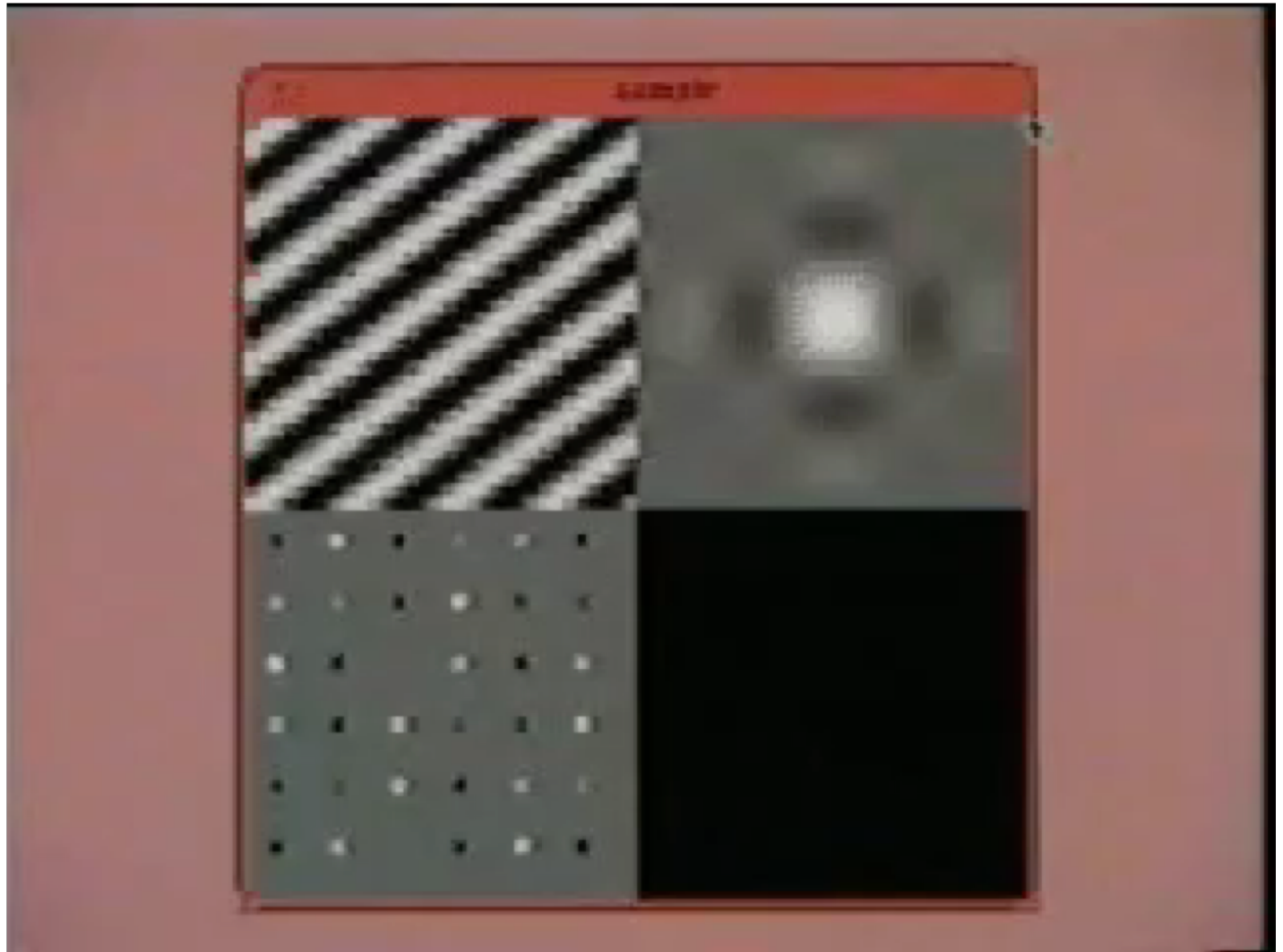
Aliasing 2

oversampled example :



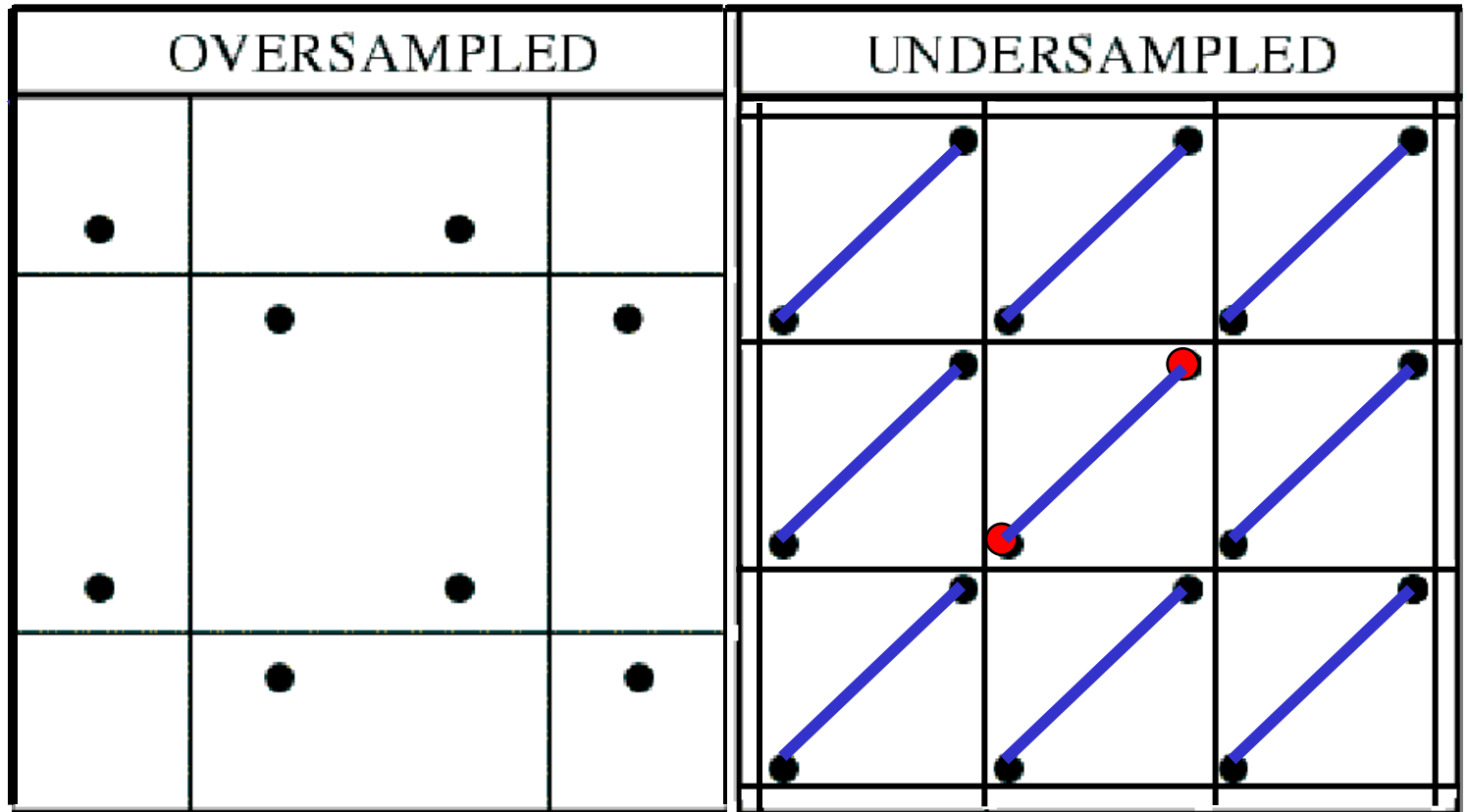
Aliasing 3

subsampled example :

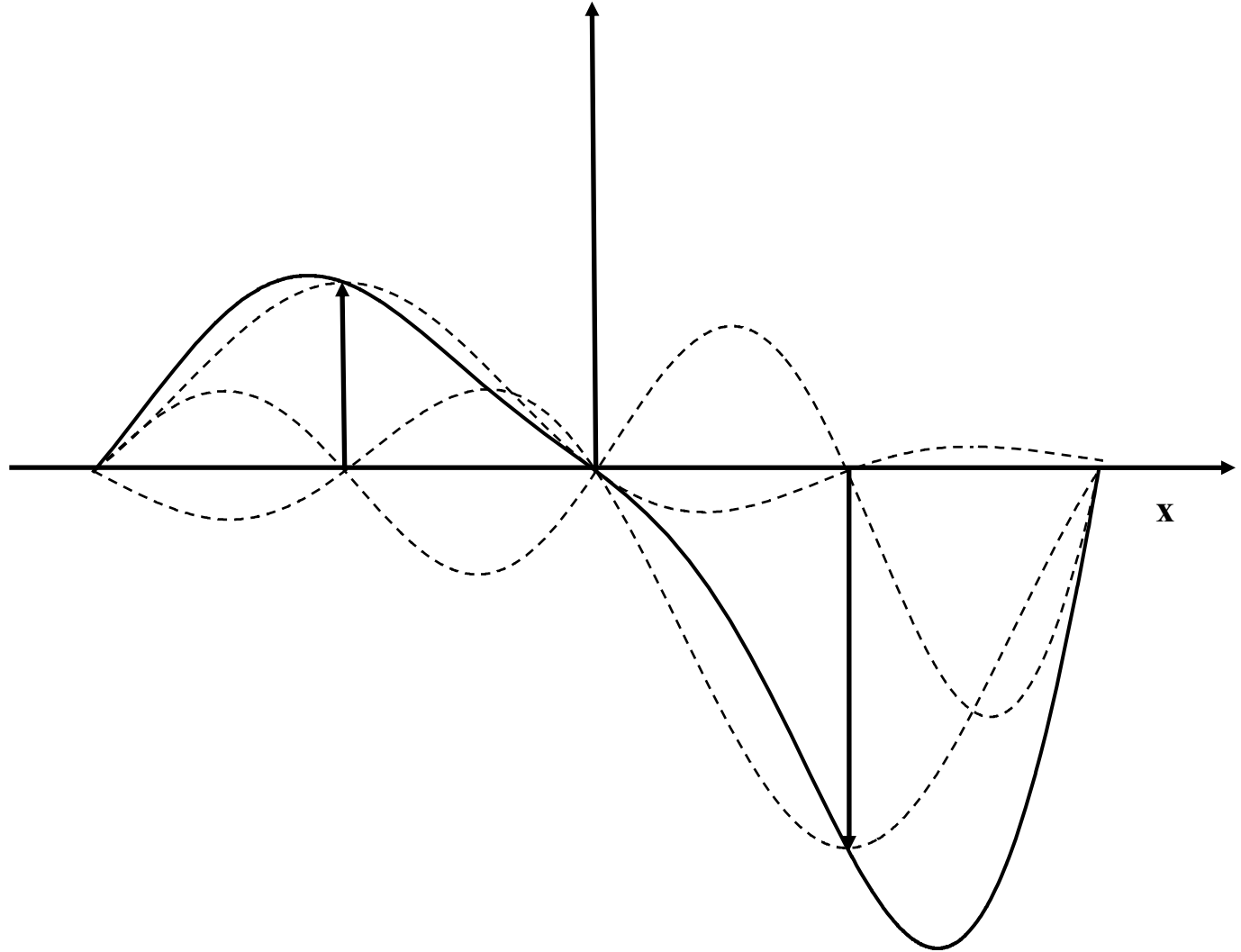


Aliasing 4

schematic example :



sinc interpolation



sinc has infinite support
Cannot be implemented in practice



Approximations through splines

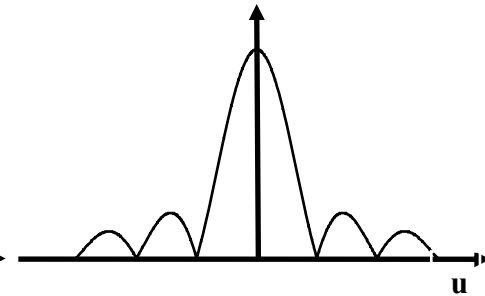
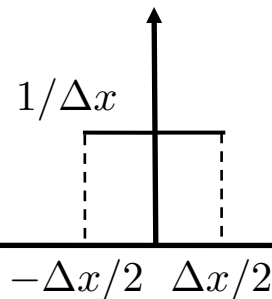
Order Function

Spatial

MTF

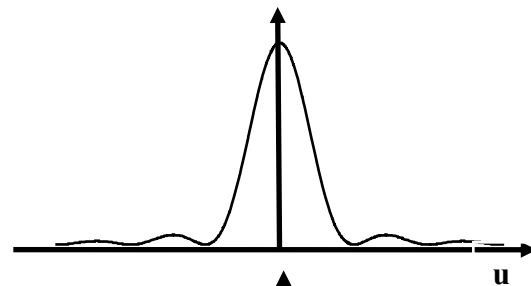
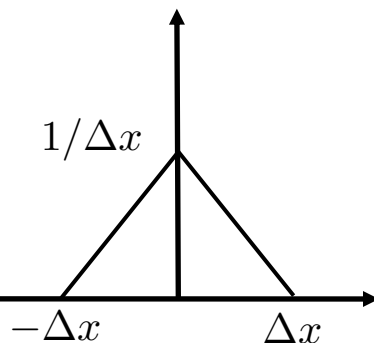
0

$$p_0(x) = \frac{1}{\Delta x} \text{rect} \left(\frac{x}{\Delta x} \right)$$



1

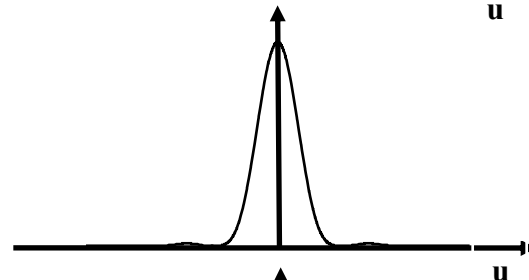
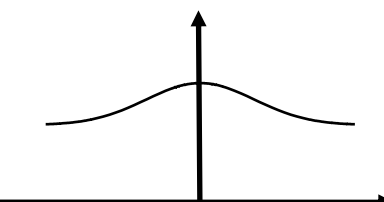
$$p_1(x) = p_0(x) * p_0(x)$$



⋮

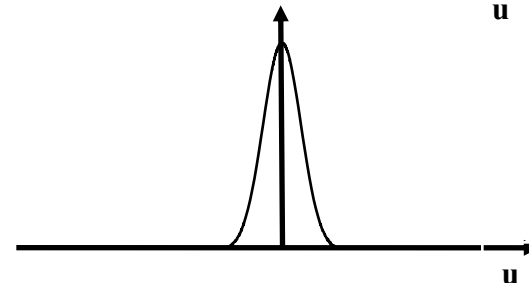
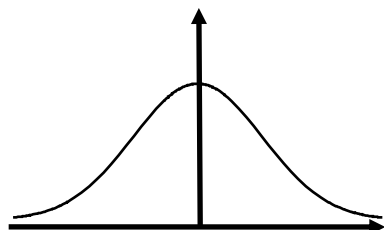
n

$$p_n(x) = p_0(x) * \dots * p_0(x)$$

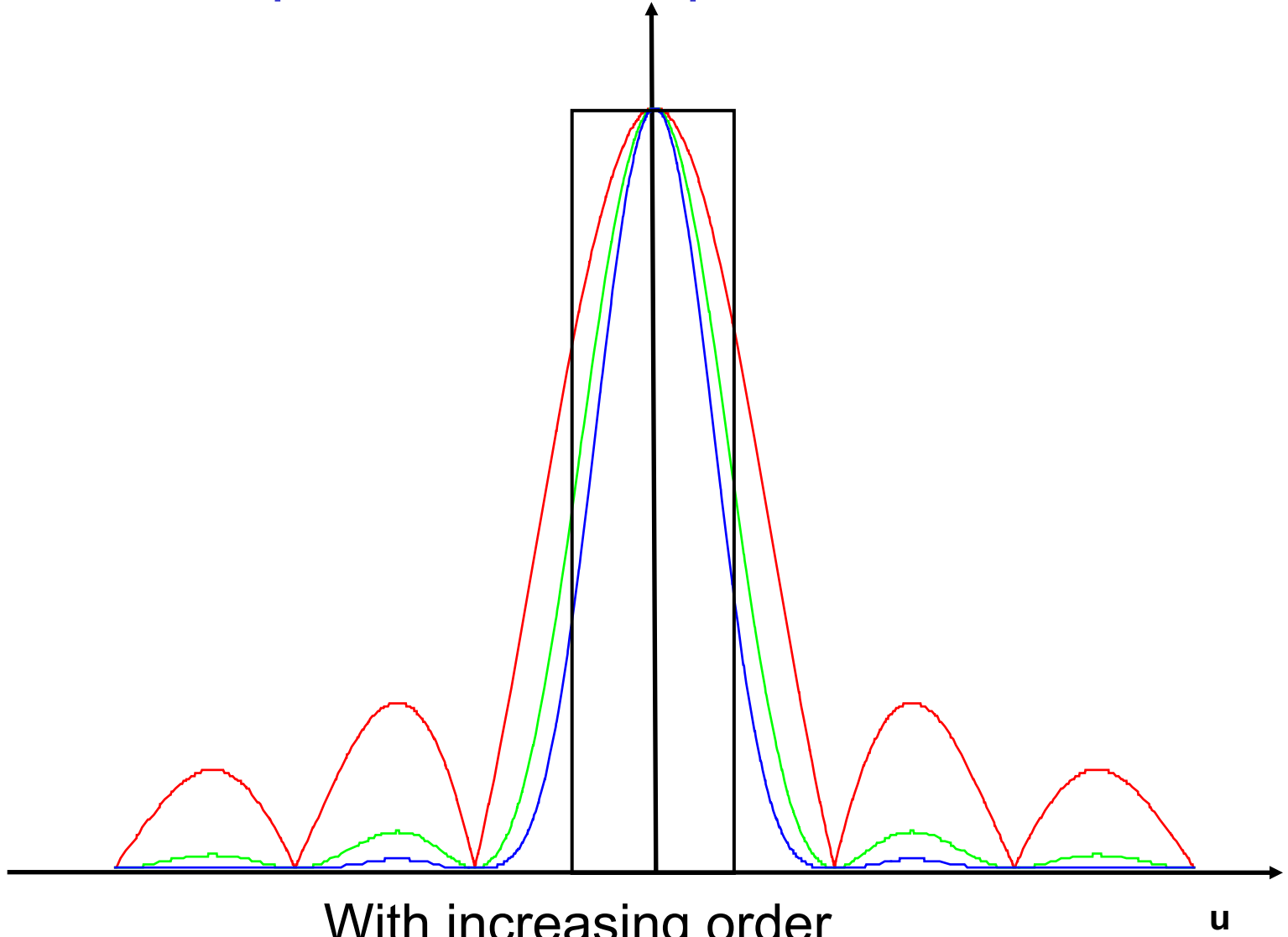


Gaussian

$$\frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{x^2}{2\sigma^2}}$$



Comparison of interpolation kernels



With increasing order

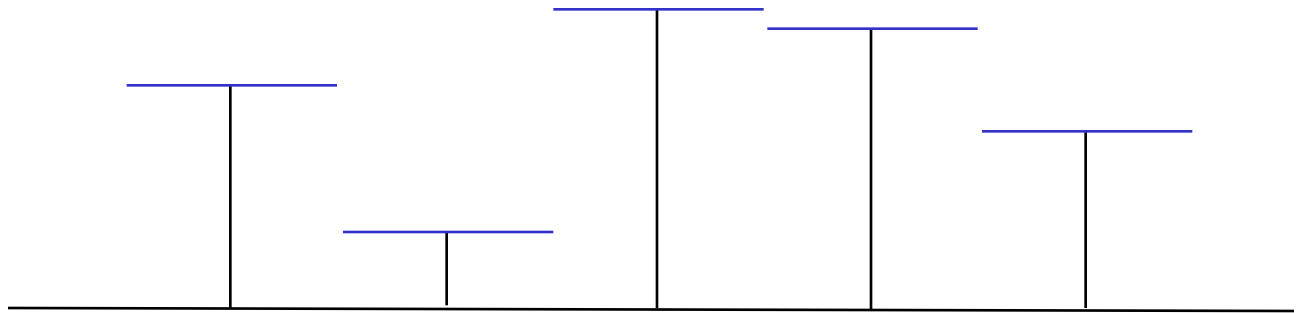
- decreasing aliasing
- increasingly lowpass



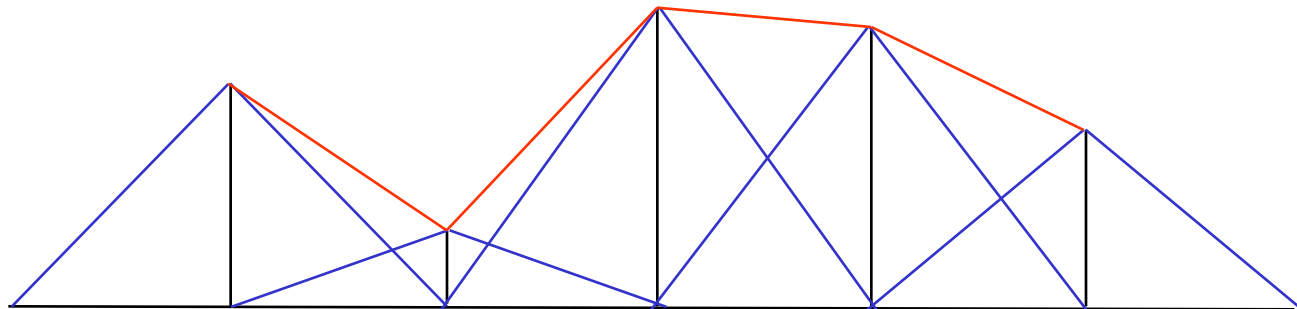
1D example

0th order kernel: hold

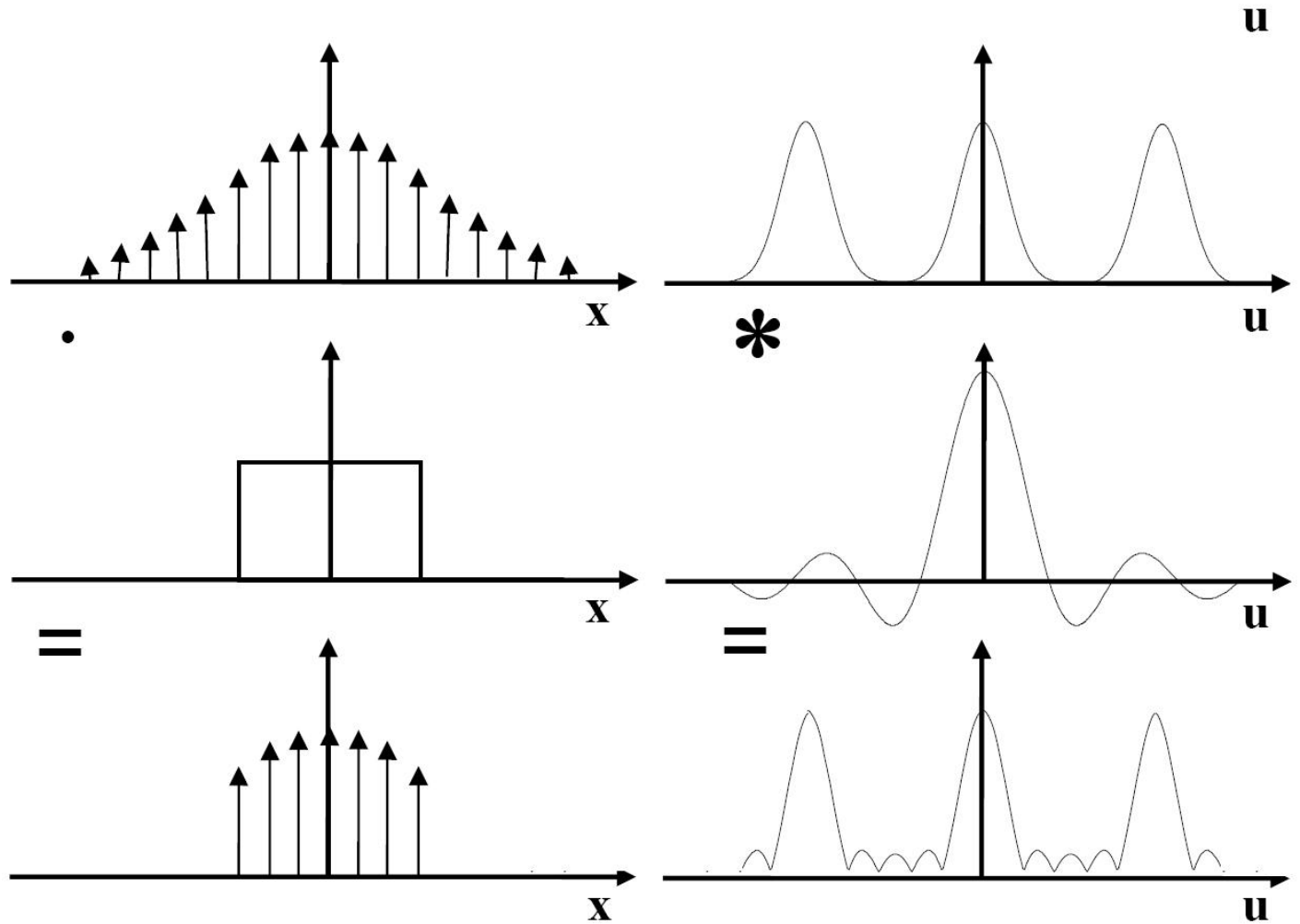
Very efficient: minimal support



Triangular kernel: linear interpolation
broader support

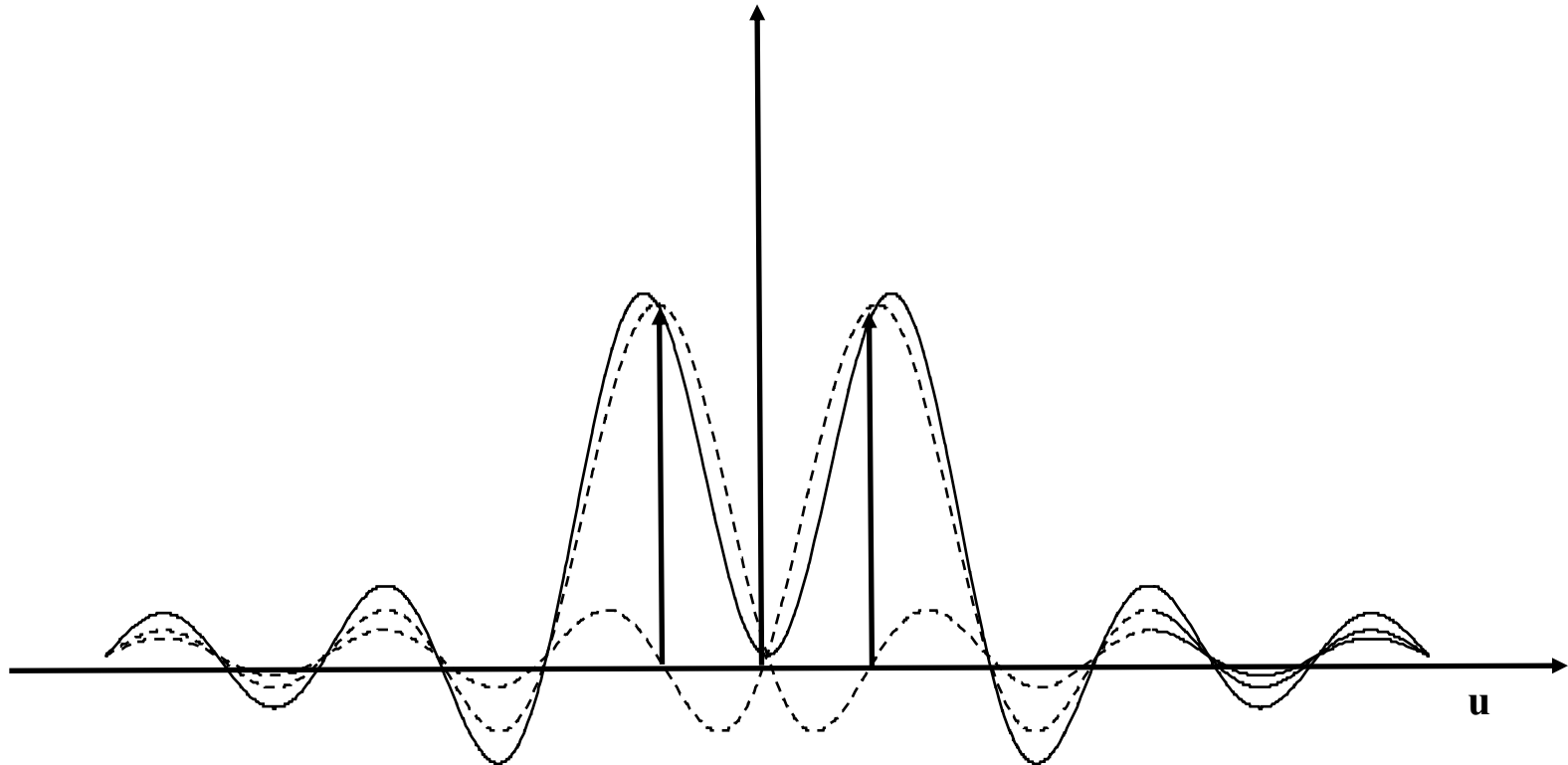


Limiting the spatial extent

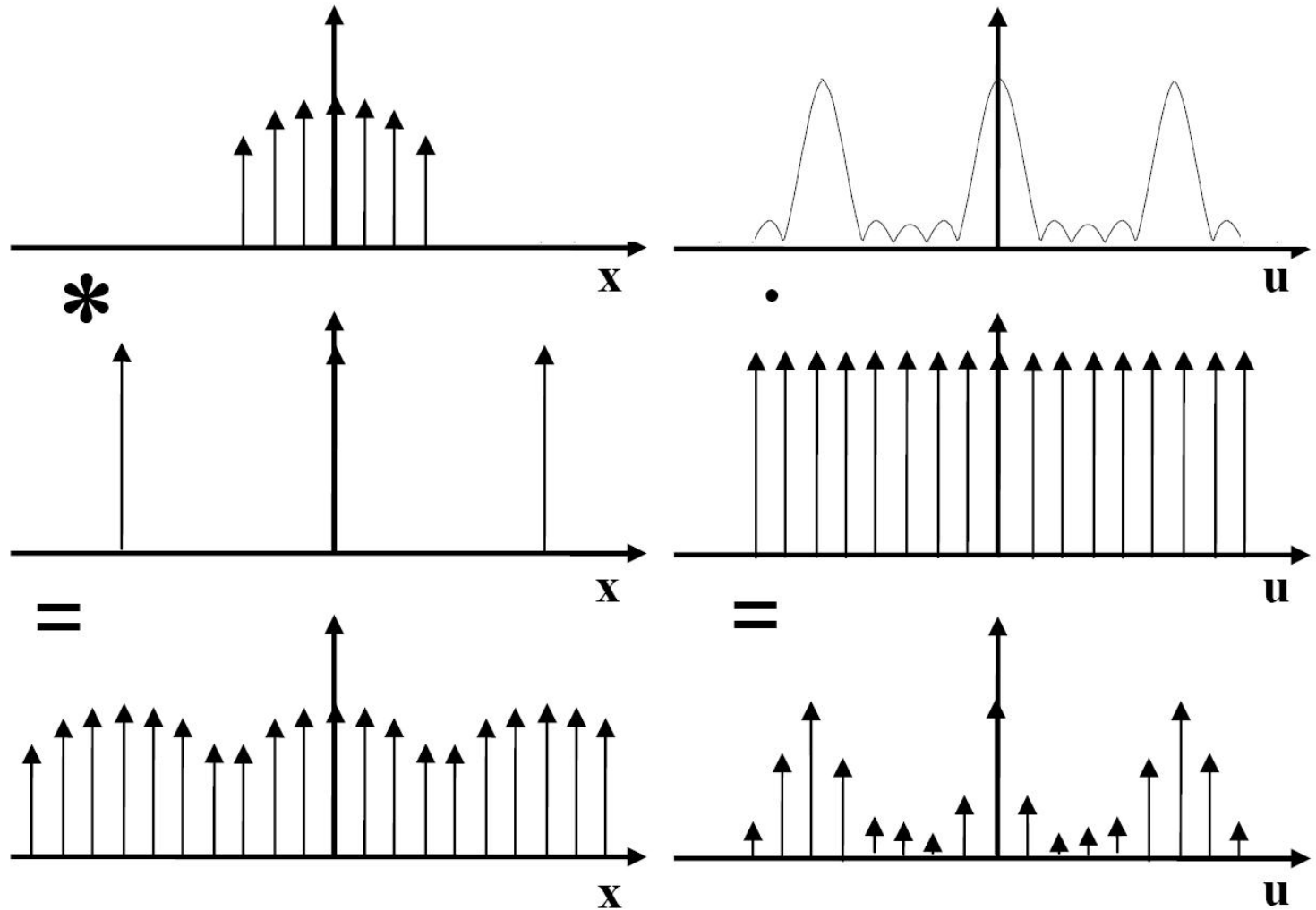


Leakage

- Caused by convolving the periodic (continuous) spectrum with *sinc*
- Mixing up frequencies over the whole spectrum
- Can be compensated for by the subsequent sampling in the frequency domain



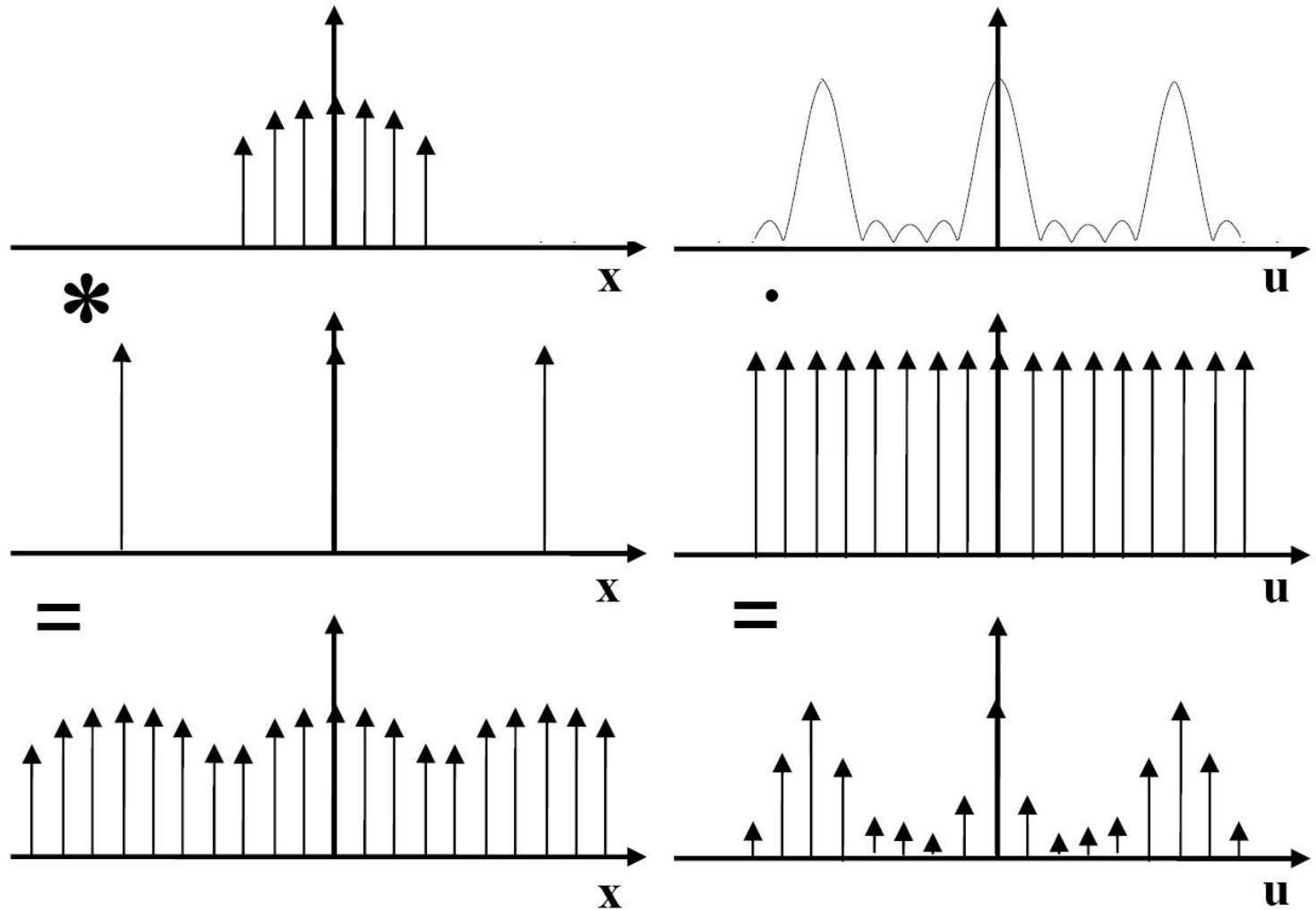
Discretization in the frequency domain



Perfect representation by samples

- ❑ The signal is band limited
- ❑ It is sampled at or above the Nyquist limit
- ❑ The signal is periodic
- ❑ Sampling is compatible with the signal period
no phase difference after a finite number of periods

Discretization in the frequency domain



The discrete Fourier pair

In both domains

- discrete
- periodic

Defines the discrete Fourier Transform

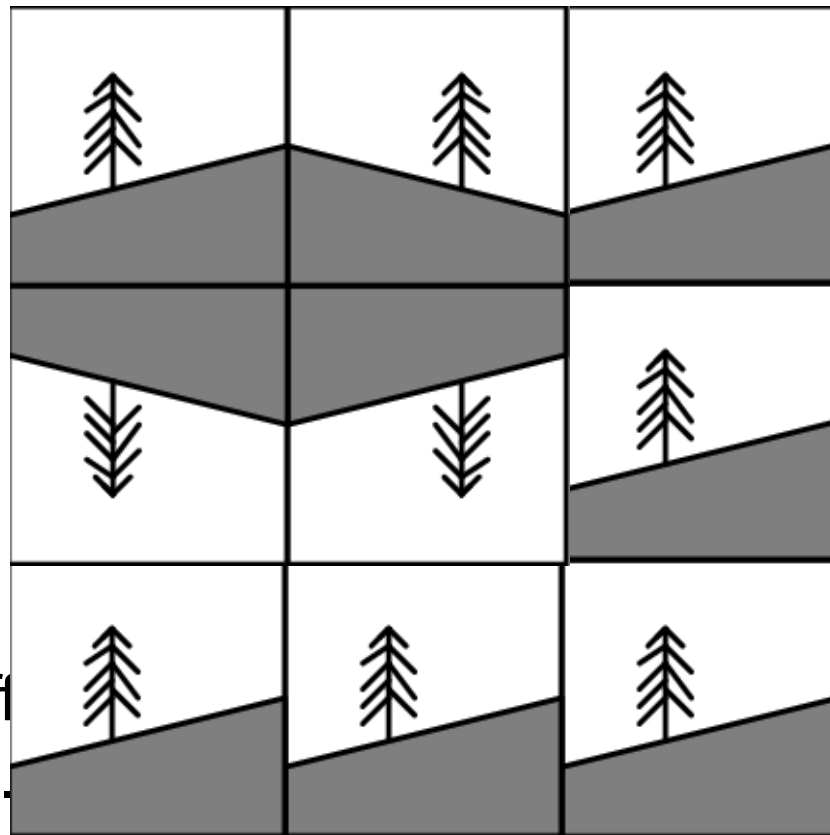
$$F(k, l) = \frac{1}{MN} \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} f(m, n) e^{-2\pi i \left(\frac{km}{M} + \frac{ln}{N} \right)}$$

$$f(m, n) = \sum_{k=0}^{M-1} \sum_{l=0}^{N-1} F(k, l) e^{2\pi i \left(\frac{mk}{M} + \frac{nl}{N} \right)}$$



Remarks on the DFT

1. Periodicity assumed in both domains, might introduce false high frequencies at image boundaries



2. Eff
(e.

ad of N^2)



Quantisation

Create K intervals in the range of possible intensities
measured in bits: $\log_2(K)$

Design choices

- Decision levels

$$z_1, z_2, \dots, z_{K+1}$$

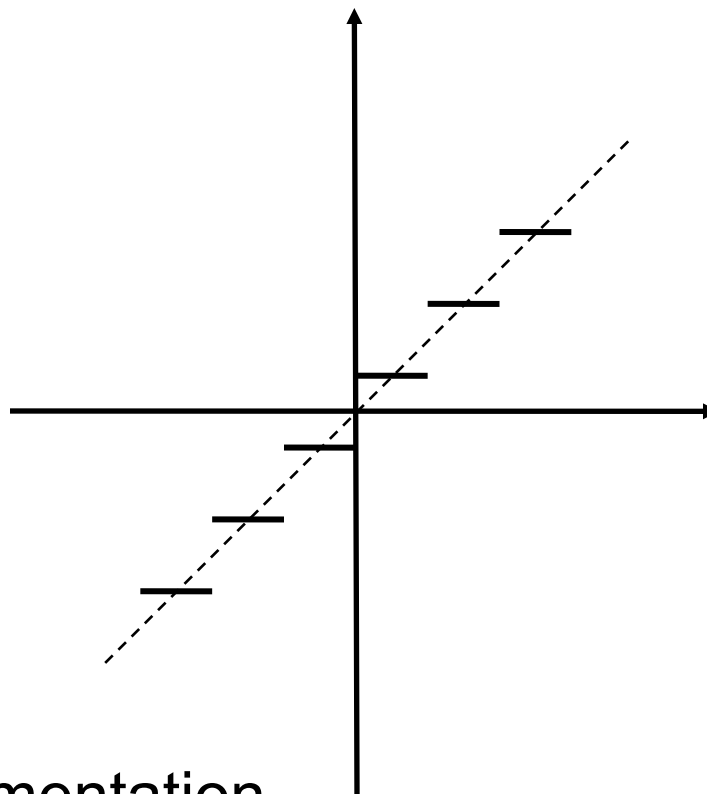
- Representative value

$$\text{interval } [z_k, z_{k+1}] \rightarrow q_k$$

- Simplest selection
 - equal intervals
 - value is the mean
 - Δ uniform quantizer



The uniform quantizer



- simple implementation
- fine quantization needed perceptually (7-8 bits)
- can be reduced by optimal design, e.g.

$$\text{minimize } \delta = \sum_{k=1}^K \int_{z_k}^{z_{k+1}} (z - q_k)^2 p(z) dz := \sum_{k=1}^K \delta_k$$

($p(z)$ =prob. density function, for constant Δ uniform)



Underquantization example

256 gray level (8 bit)



11 gray level



Remarks

- Quantization:
 - Often 8 bits per pixel (monochrome), 24 bits per pixel (RGB)
 - Medical images 12 bits (4096 levels) or 16 bits (65536 levels)
- Size
 - Cameras typically few K width
 - Satellite images 2-100K width



Learning objectives: what can you do after today?

- Describe how images are discretized
- Describe effects of choices involved in the discretization process
- Describe the mathematics behind sampling
- Describe convolution, Fourier transform of images and convolution theorem
- Given the properties of an image decide whether a loyal reconstruction is possible from samples
- Describe basic quantization
- Recognize quantization artifacts