

# Deep Learning for Computer Vision

## Part II: Convolutional Neural Networks

# Computer Vision

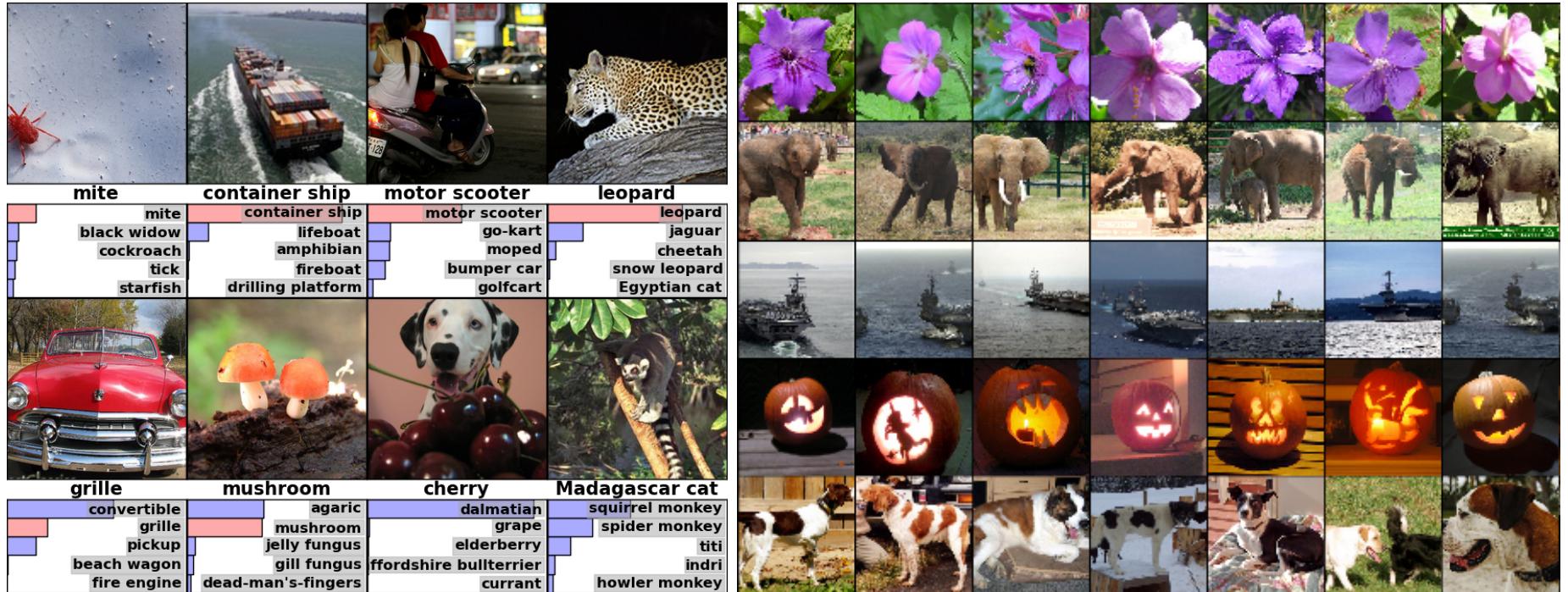
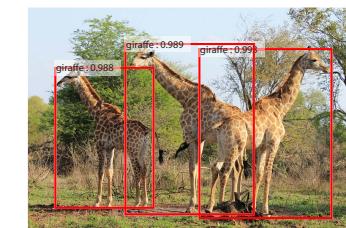
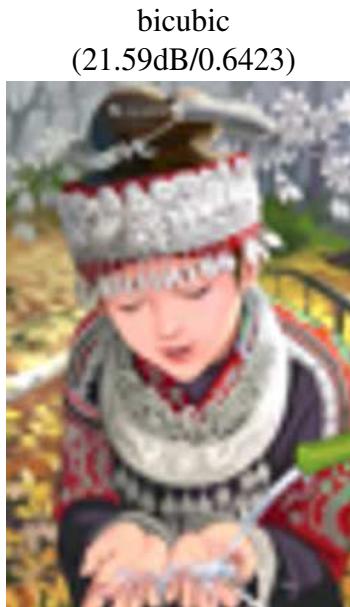


Figure 4: **(Left)** Eight ILSVRC-2010 test images and the five labels considered most probable by our model. The correct label is written under each image, and the probability assigned to the correct label is also shown with a red bar (if it happens to be in the top 5). **(Right)** Five ILSVRC-2010 test images in the first column. The remaining columns show the six training images that produce feature vectors in the last hidden layer with the smallest Euclidean distance from the feature vector for the test image.

[Figure from Krizhevsky, Sutskever and Hinton 2012 – Predictions on ImageNet with CNNs]

## Today

- Multilayered neural networks is an essential tool in computer vision and image analysis
- Enhancement, detection, segmentation, recognition,...



[Figure from Ledig et al. 2017]

[Figure from Ren et al. 2016 - Faster R-CNN]

# Outline

1. Introduction to neural networks
  - Basics of neural networks
2. Convolutional neural networks
  - Basic applications of deep learning to image analysis and computer vision
3. Advanced topics and applications

# Recap from the lecture on introduction to neural networks

## Hidden layers

$$h_l = \sigma(\mathbf{W}_l h_{l-1} + b_l)$$

$$h_0 = \mathbf{x}, \quad h_L = f(\mathbf{x}; \theta)$$

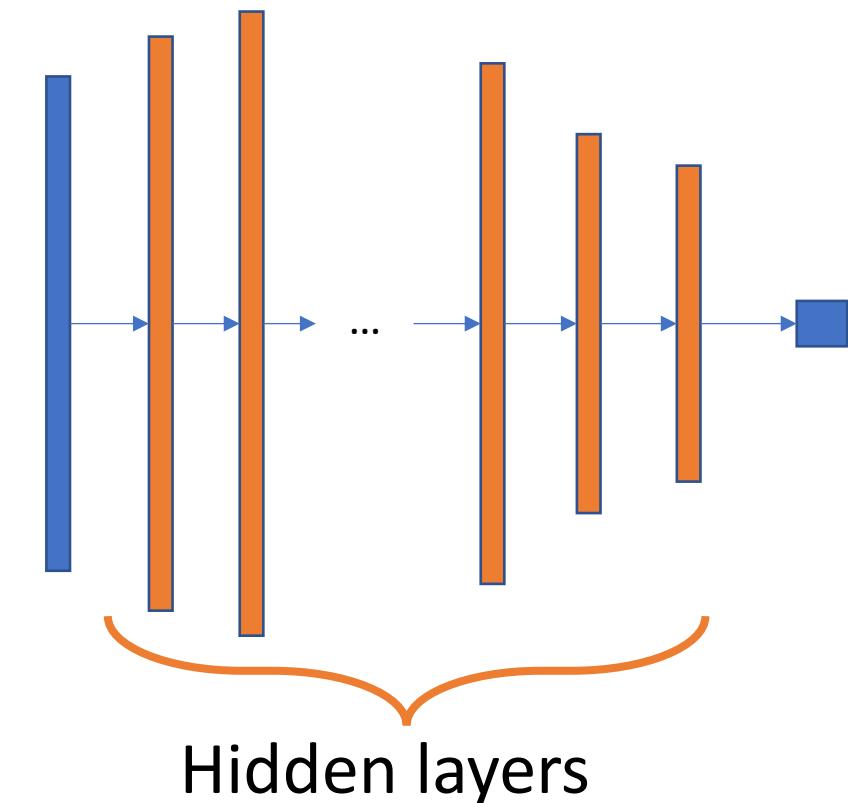
## Function view

$$f_l(h_{l-1}; \theta_l) = \sigma(\mathbf{W}_l h_{l-1} + b_l)$$

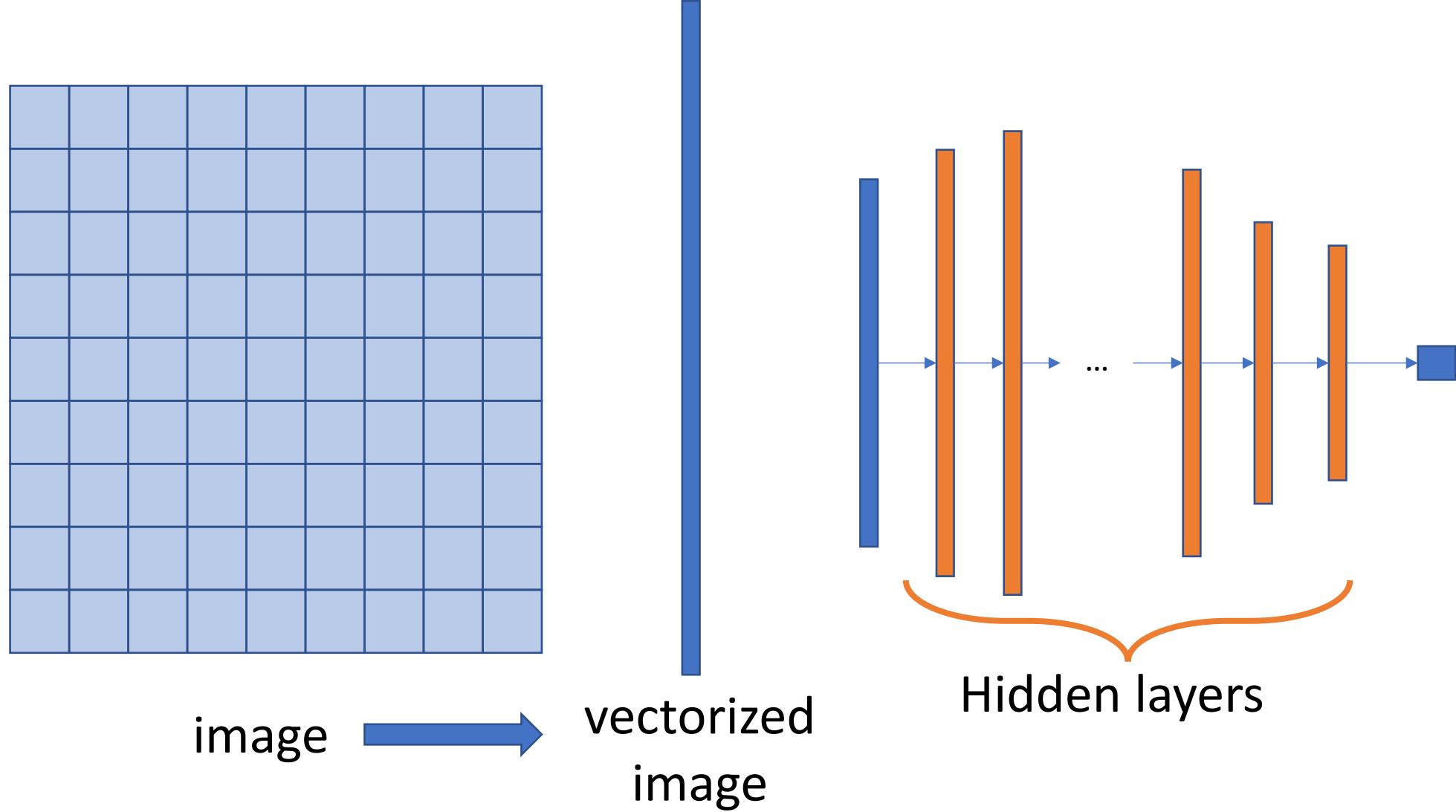
$$f(\mathbf{x}; \theta) = f_L \circ \dots \circ f_2 \circ f_1(\mathbf{x})$$

$$\theta = \{\theta_L, \dots, \theta_1\}$$

We always considered  $\mathbf{x}$  as a vector.  
What to do when  $\mathbf{x}$  is an image?



# Naïve approach



# The activation is a bit problematic

$$a_l = \mathbf{W}_l h_{l-1} + b_l$$

- The linear transformation has two issues
  1. Fully connected links leads to too many parameters.

Assume the input is an image of size  $N_0 \times M_0$ , i.e.  $\mathbf{x} \in \mathbb{R}^{N_0 \times M_0}$

And the hidden layer has  $d_1$  size, i.e.  $h_1 \in \mathbb{R}^{d_1}$

$$\mathbf{W}_1 \in \mathbb{R}^{(d_1) \times (N_0 \times M_0)}$$

For example,  $(N_0 \times M_0) = (64, 64)$  and  $(d_1) = (128)$  leads to 524288 parameters in only the first layer!

**With a huge compression from 64x64 dimensions to 128!**

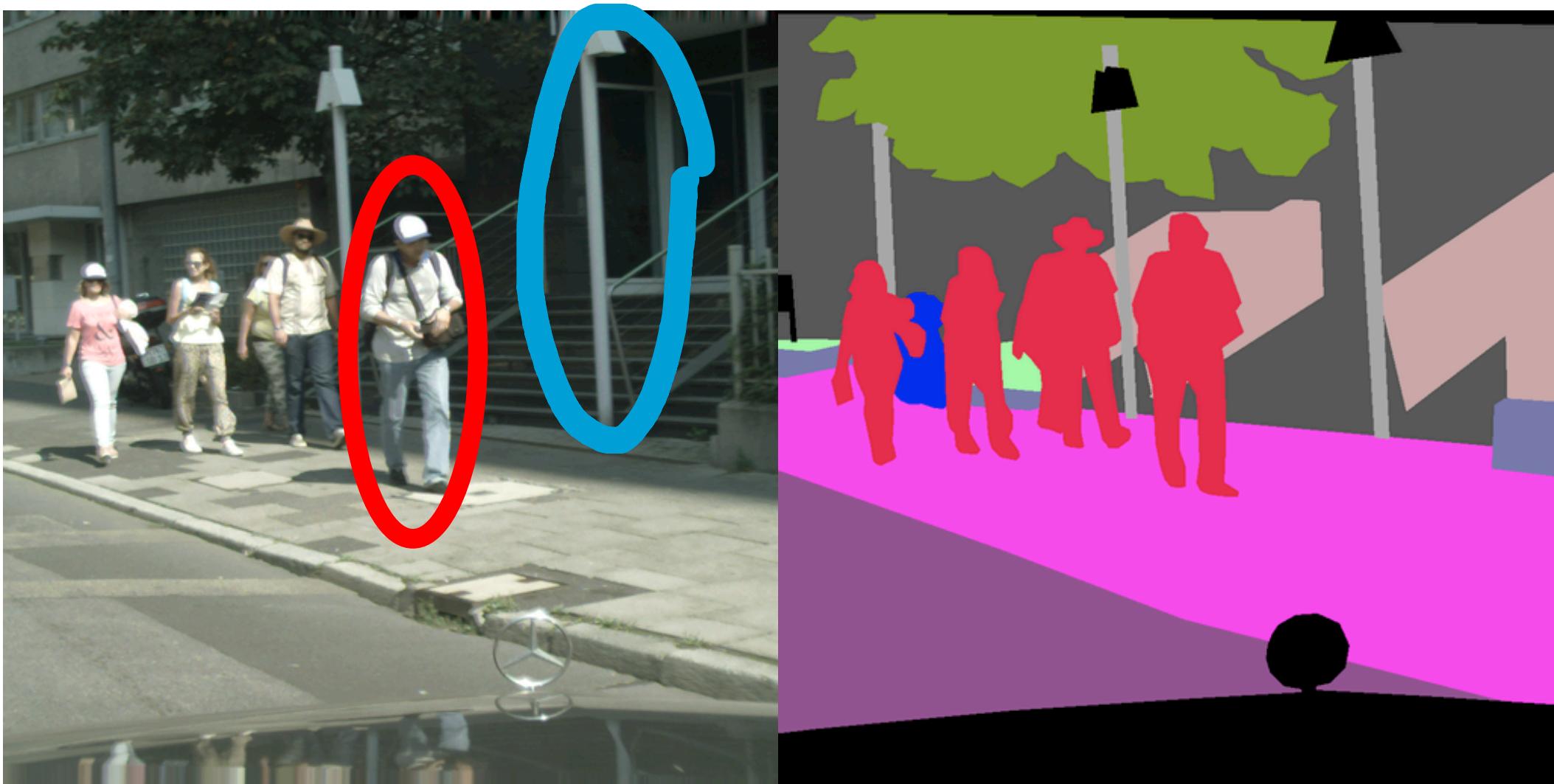
# The activation is a bit problematic

$$a_l = \mathbf{W}_l h_{l-1} + b_l$$

- The linear transformation has three issues
  1. Fully connected links leads to too many parameters.
  2. Images are composed of a hierarchy of local statistics



# Computer Vision



# The activation is a bit problematic

$$a_l = \mathbf{W}_l h_{l-1} + b_l$$

- The linear transformation has two issues
  1. Fully connected links leads to too many parameters.
  2. Images are composed of a hierarchy of local statistics
  3. Lack of translation invariance



# The activation is a bit problematic

$$a_l = \mathbf{W}_l h_{l-1} + b_l$$

- The linear transformation has two issues
  1. Fully connected links leads to too many parameters.
  2. Images are composed of a hierarchy of local statistics
  3. Lack of translation invariance

Fully connected architecture  $a_{l,k} = \sum_j w_{l,kj} h_{l-1,j} + b_{l,k}$

Convolutional architecture  $a_{l,k} = \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k}$

The non-linearity will remain the same

Fully connected architecture

$$a_{l,k} = \sum_j w_{l,kj} h_{l-1,j} + b_{l,k}$$

$$h_{l,k} = \sigma \left( \sum_j w_{l,kj} h_{l-1,j} + b_{l,k} \right)$$

Convolutional architecture

$$a_{l,k} = \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k}$$

$$h_{l,k} = \sigma \left( \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k} \right)$$

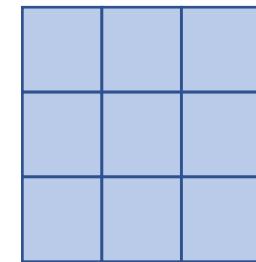
# Convolutional layers



# Remember convolution $w * x$

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
| 549 | 561 | 595 | 617 | 610 | 601 | 595 | 562 | 545 | 563 |
| 579 | 574 | 554 | 538 | 556 | 598 | 614 | 596 | 588 | 582 |
| 529 | 514 | 486 | 476 | 483 | 509 | 552 | 584 | 604 | 586 |
| 506 | 499 | 468 | 421 | 459 | 547 | 588 | 596 | 598 | 603 |
| 567 | 561 | 519 | 484 | 510 | 557 | 586 | 612 | 603 | 565 |
| 579 | 594 | 581 | 563 | 557 | 553 | 572 | 587 | 575 | 575 |
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| 596 | 602 | 602 | 595 | 586 | 585 | 592 | 577 | 545 | 557 |
| 593 | 614 | 589 | 568 | 588 | 625 | 610 | 546 | 519 | 557 |

Image:  $x$

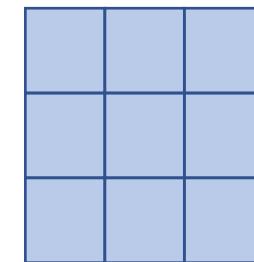


Convolution kernel:  $w$

# Remember convolution $w * x$

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
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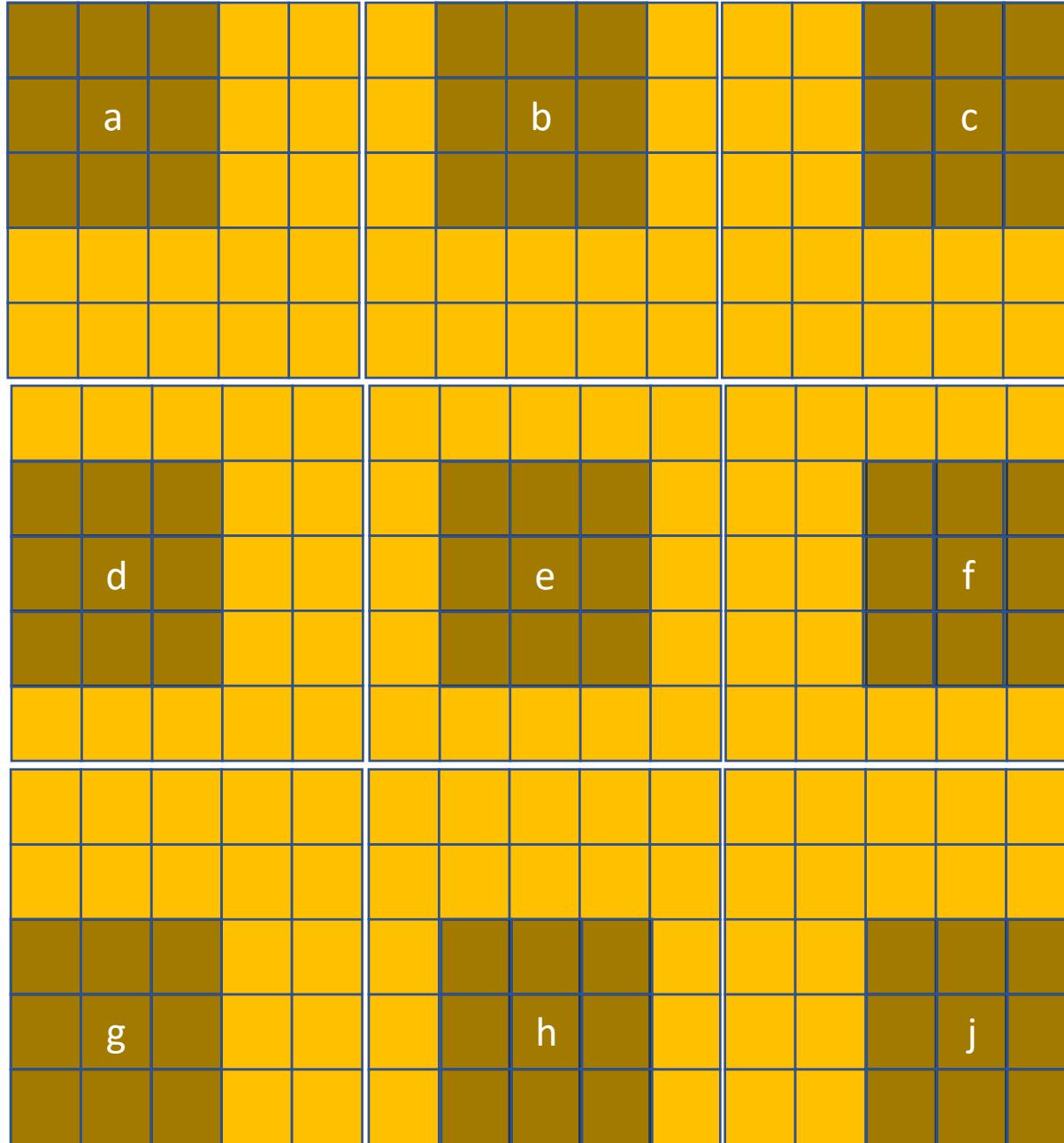
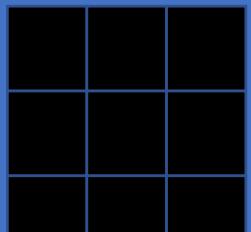
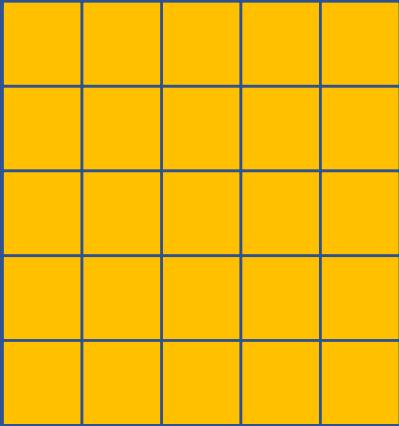
Image:  $x$



Convolution kernel:  $w$

$$a_{ij} = \sum_p \sum_q x_{(i-p)(j-q)} w_{(p)(q)}$$

# Computer Vision



|   |   |   |
|---|---|---|
| a | b | c |
| d | e | f |
| g | h | j |

# Convolutions instead of projections

$$a_{l,k} = \sum_j w_{l,kj} h_{l-1,j} + b_{l,k}$$

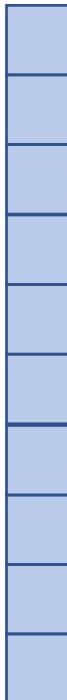
- Each  $h_{l-1,j}$  is a number and so is  $a_{l,k}$
- Each  $h_l$  is a vector of neurons and  $a_l$  is a vector of activation
- There is a separate  $w_{l,kj}$  that is linking each neuron  $h_{l-1,j}$  to each  $a_{l,k}$ .
- High dimensions of  $h_{l-1}$  and  $a_l$  lead to high number of weights

$$a_{l,k} = \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k}$$

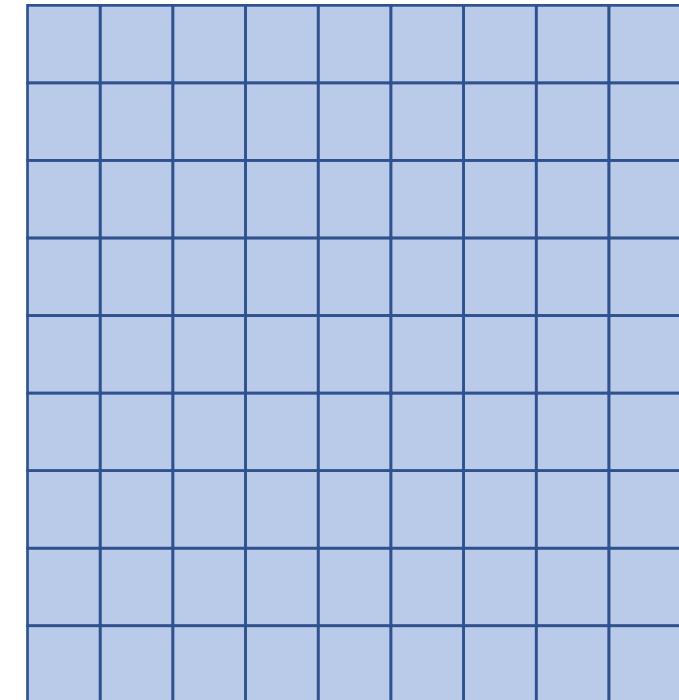
- Each  $h_{l-1,j}$  is **an image of neurons** and so is  $a_{l,k}$ .
- There is a separate **convolutional kernel** linking images  $h_{l-1,j}$  and  $a_{l,k}$ . Same kernel applies to the entire image of neurons
- In the literature  $h_{l,j}$  are called **channels**
- $w_{l,kj}$  are convolutional filters.

Nonlinearities following activations remain similar

# Image of neurons - channel



Fully connected  
Vector of neurons form a layer

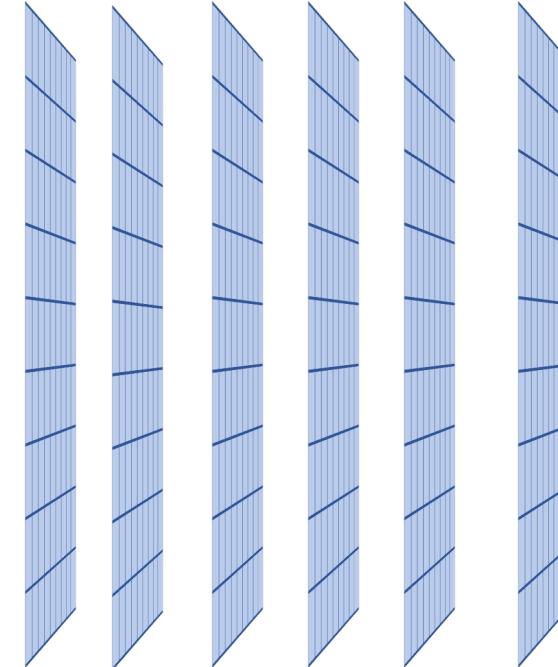


Convolutional architecture  
Image of neurons form a channel

# Vector of channels forms a layer

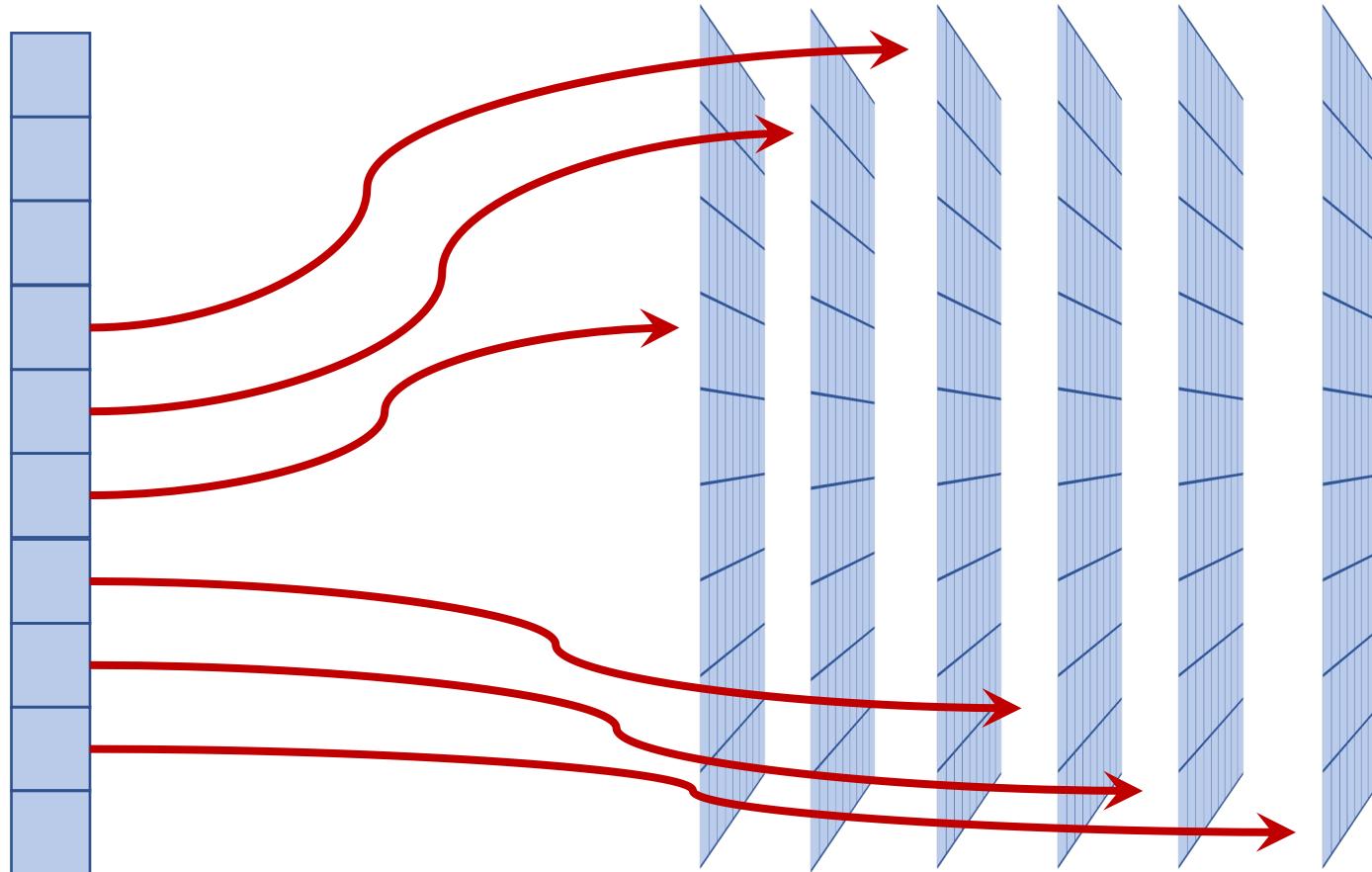


Fully connected  
Vector of neurons form a layer



Convolutional architecture  
Vector of channels form a layer

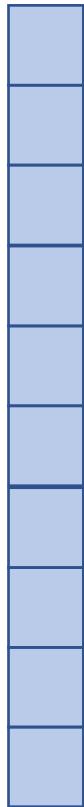
Analogy between two: each neuron becomes a channel



Fully connected  
Vector of neurons form a layer

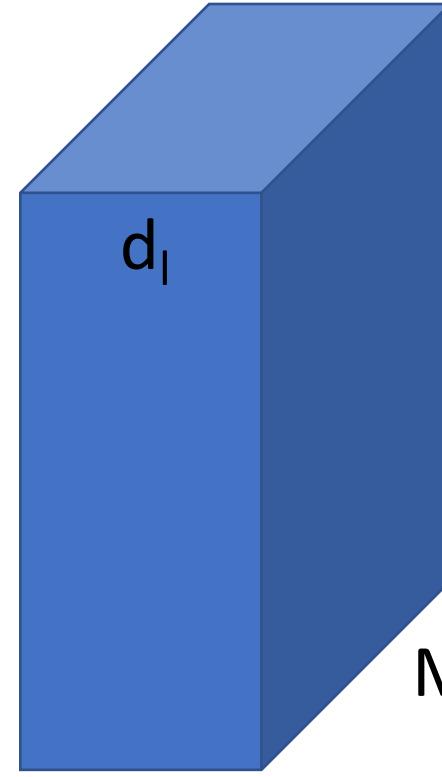
Convolutional architecture  
Vector of channels form a layer

# Tensor representation



$d_l$

Fully connected architecture:  
Vector representation of layers



Convolutional architecture:  
Tensor representation of layers

We can also use the same graphical representation

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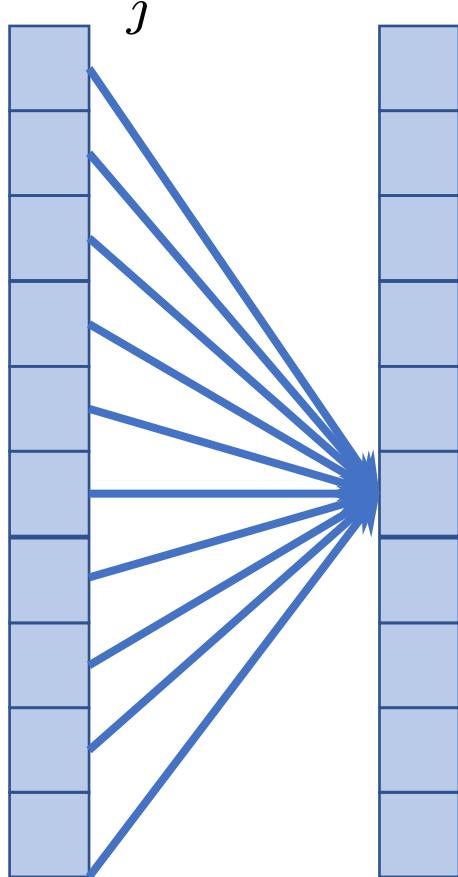
Fully connected architecture:  
 $d_l$  neurons



Convolutional architecture:  
 $d_l$  channels

# Connections represent convolutions

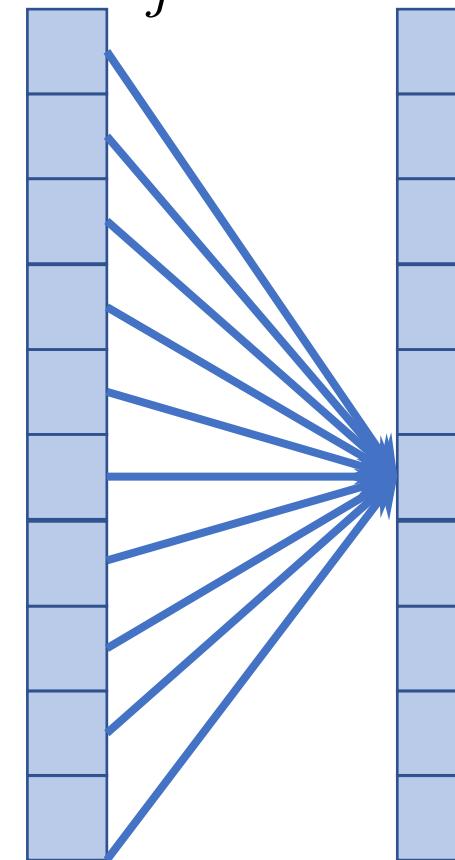
$$a_{l,k} = \sum_j w_{l,kj} h_{l-1,j} + b_{l,k}$$



Fully connected link

Multiplication followed by addition

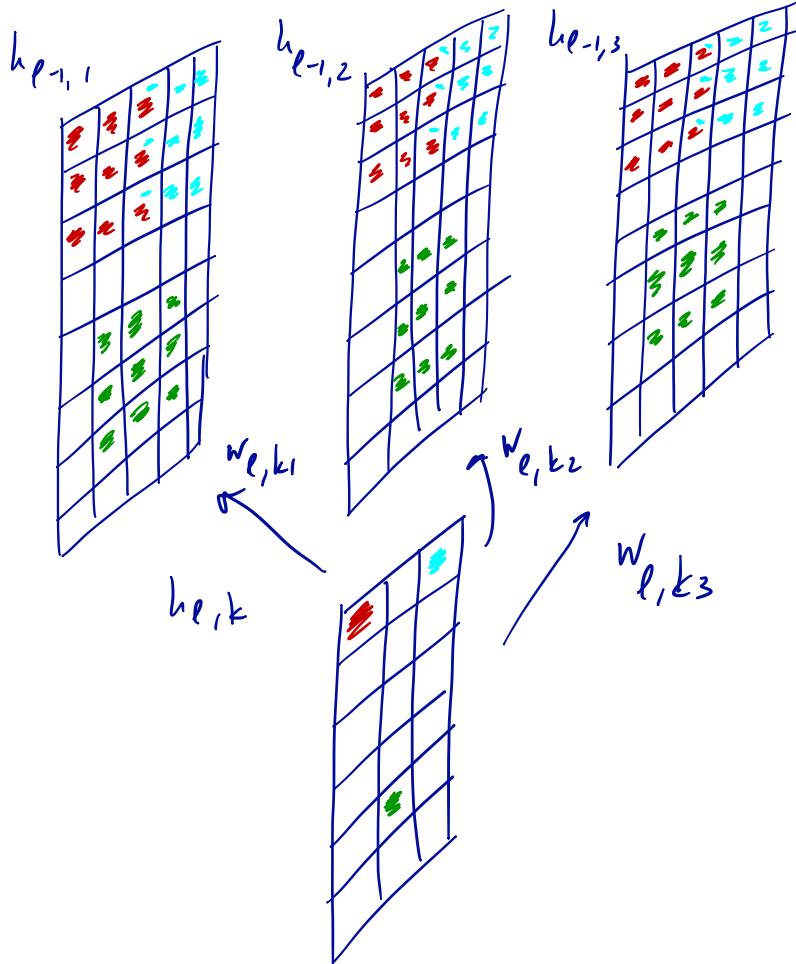
$$a_{l,k} = \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k}$$



Convolutional link

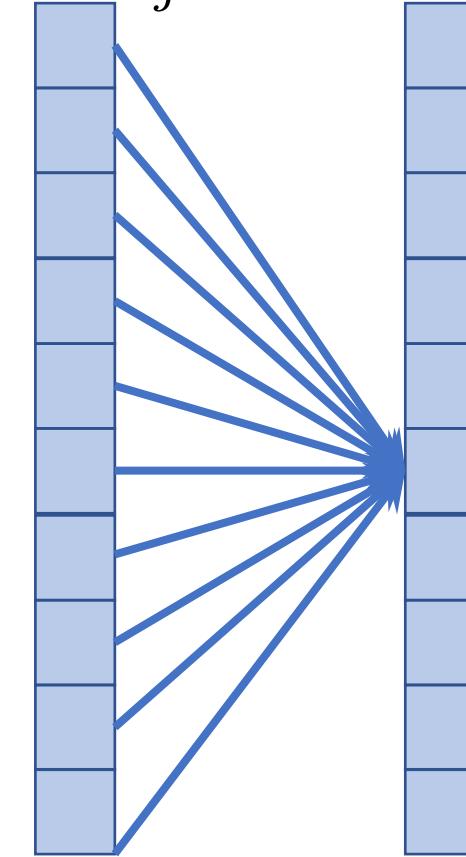
Convolution followed by addition

# Connections represent convolutions



Same filter is used for all neurons in  
the same channel: **Weight sharing**

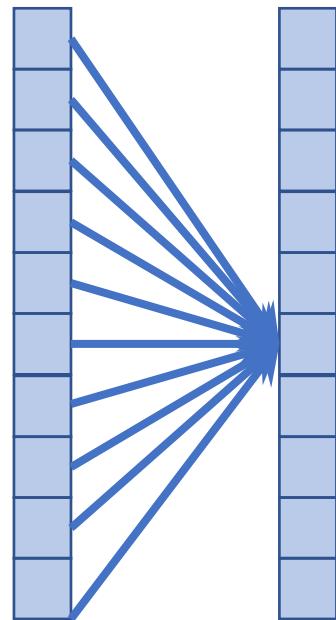
$$a_{l,k} = \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k}$$



Convolutional link  
Convolution followed by addition

# Layer size and number of parameters at the beginning

Fully connected



$$x \in \mathbb{R}^{N_0 \times M_0}$$

$$h_1 \in \mathbb{R}^{d_1}$$

$$\mathbf{W}_1 \in \mathbb{R}^{d_1 \times (N_0 \times M_0)}$$

Convolutional

$$x \in \mathbb{R}^{N_0 \times M_0}$$

$$h_1 \in \mathbb{R}^{d_1 \times (N_1 \times M_1)}$$

$$\mathbf{W}_1 = \{w_{1,1}, w_{1,2}, \dots, w_{1,d_1}\}$$

$$w_{1,j} \in \mathbb{R}^{k_1 \times k_2}$$

$(k_1 \times k_2)$  : kernel size

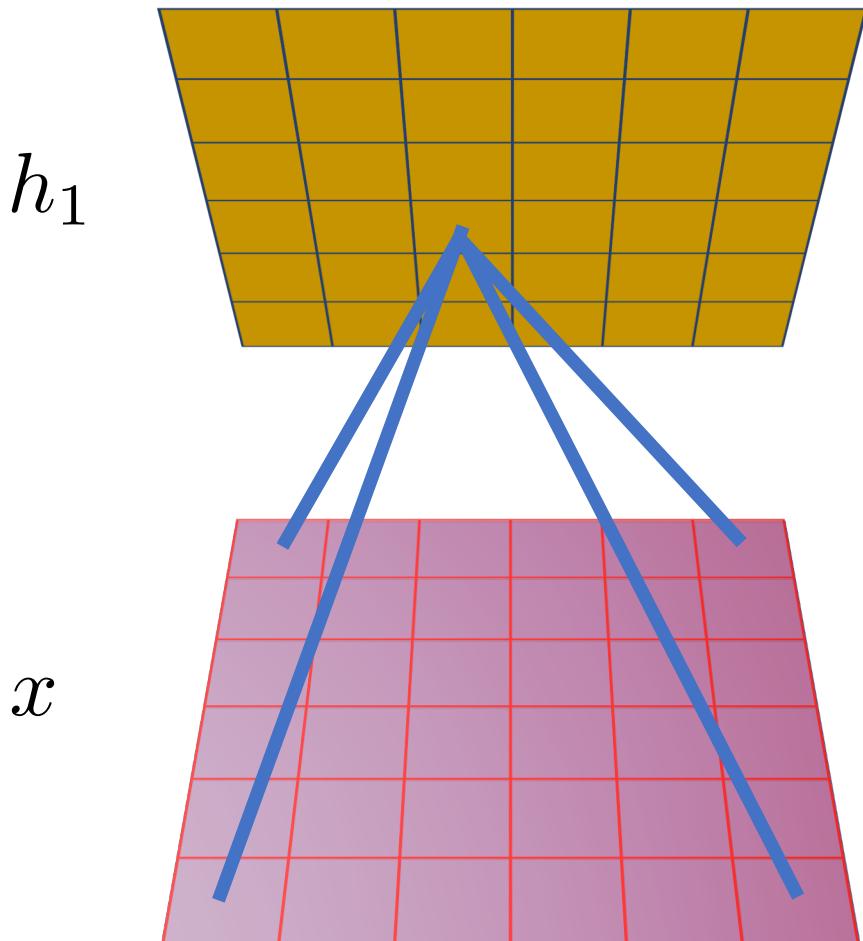
$$\mathbf{W}_1 \in \mathbb{R}^{d_1 \times (k_1 \times k_2)}$$

Larger layers with sparser connections with lower number of parameters

# Sparser connections

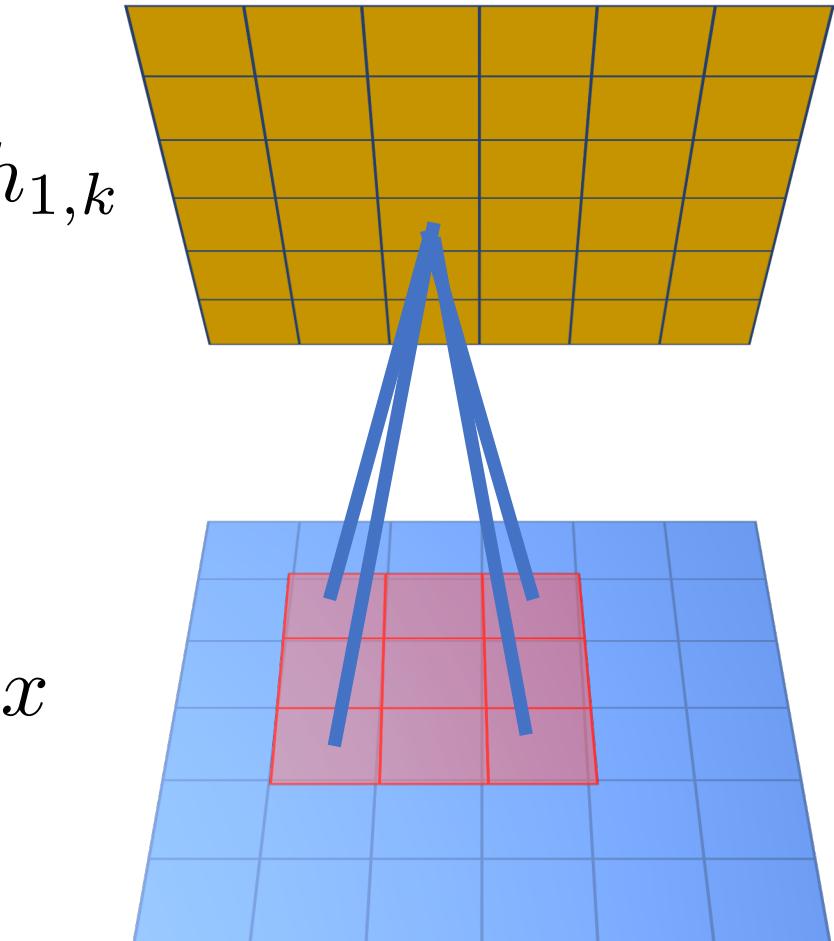
Naïve approach – fully connected

$$\mathbf{W}_1 \in \mathbb{R}^{d_1 \times (N_0 \times M_0)}$$



Convolutional link

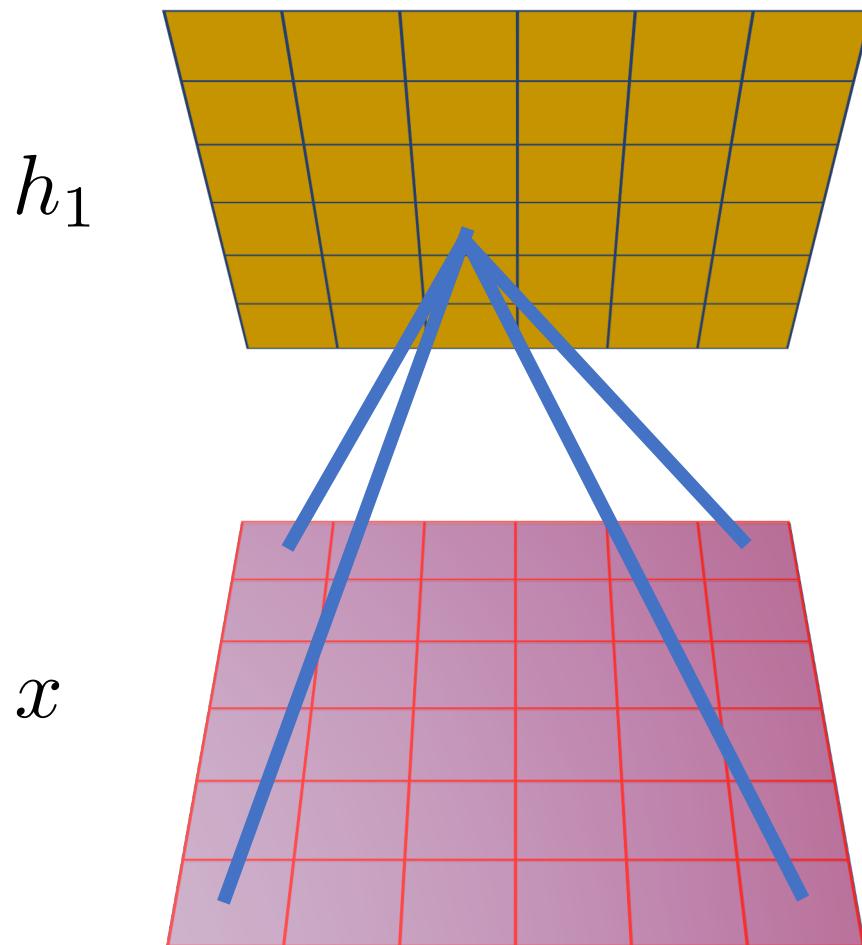
$$\mathbf{W}_1 \in \mathbb{R}^{d_1 \times (k_1 \times k_2)}$$



# Weight sharing – fewer parameters

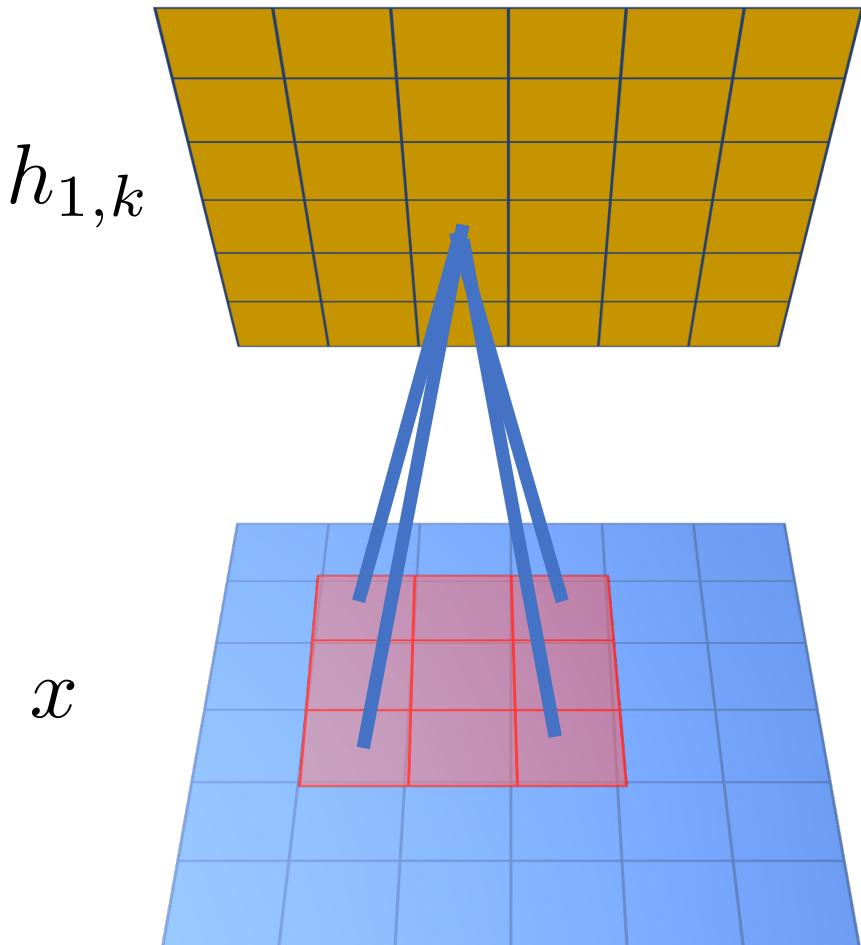
Naïve approach – fully connected

$$\mathbf{W}_1 \in \mathbb{R}^{d_1 \times (N_0 \times M_0)}$$



Convolutional link

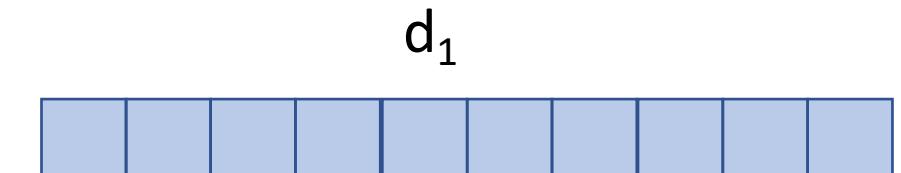
$$\mathbf{W}_1 \in \mathbb{R}^{d_1 \times (k_1 \times k_2)}$$



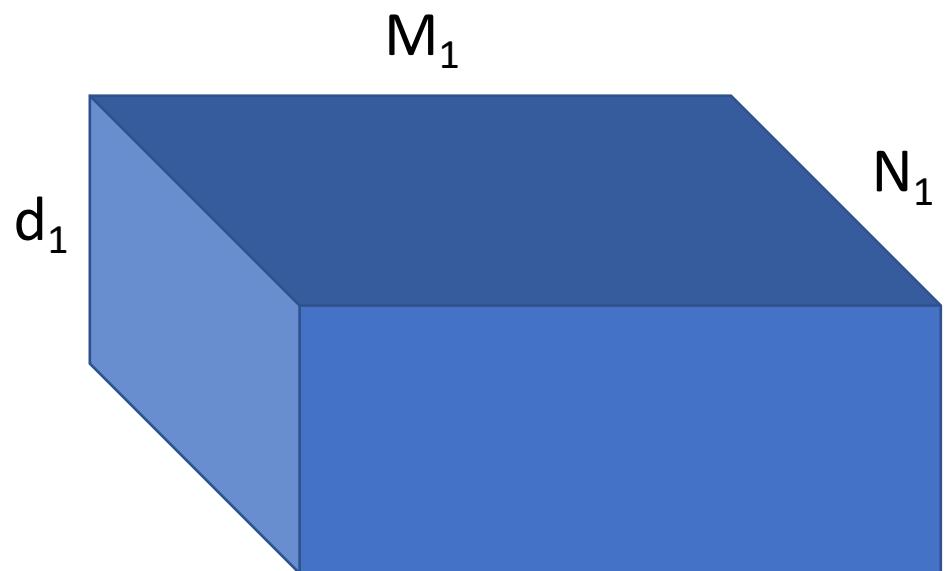
# Larger hidden layers

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
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| 596 | 602 | 602 | 595 | 586 | 585 | 592 | 577 | 545 | 557 |
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Image:  $x \in \mathbb{R}^{M_0 \times N_0}$

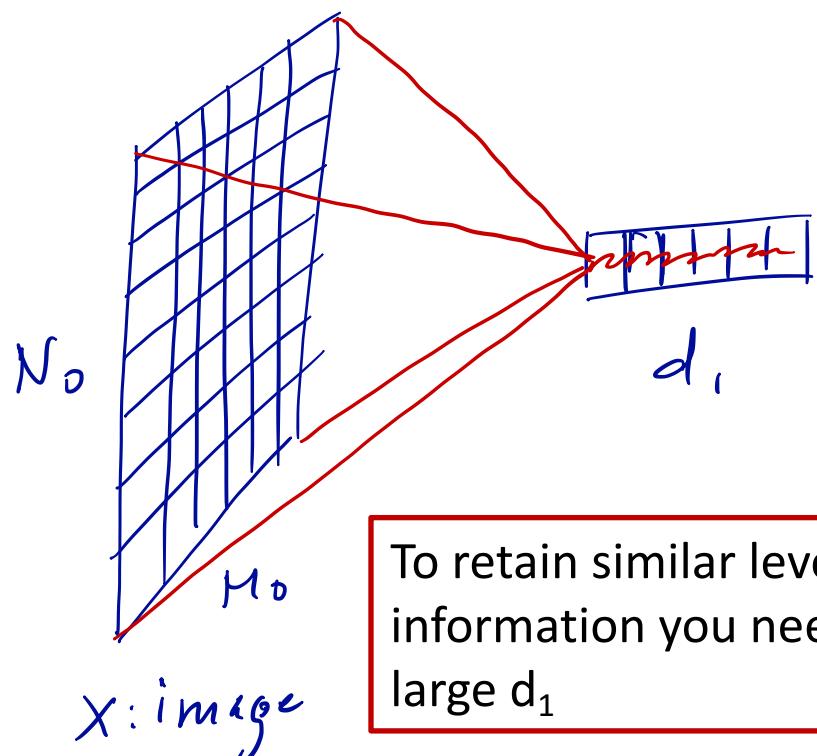


Fully connected:  $h_1 \in \mathbb{R}^{d_1}$



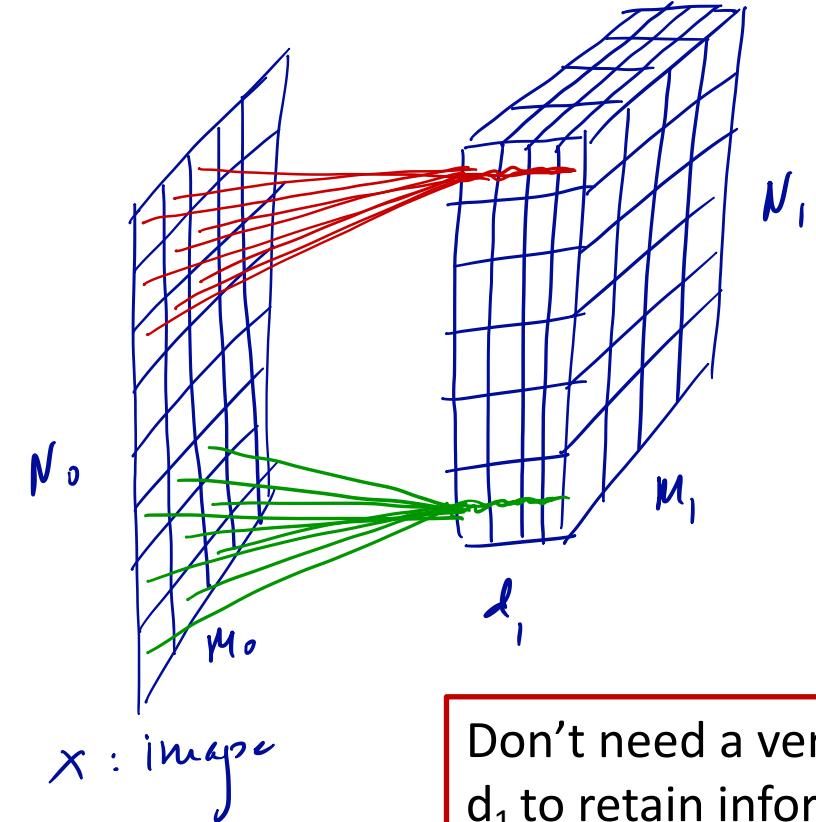
Convolutional:  $h_1 \in \mathbb{R}^{d_1 \times (N_1 \times M_1)}$

# Local vs. global information gathering



To retain similar level of information you need a large  $d_1$

Fully connected architecture:  
The entire image needs to be  
represented in a single vector

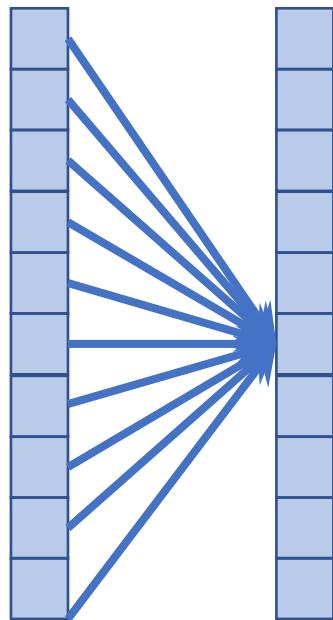


Don't need a very large  $d_1$  to retain information

Convolutional architecture:  
Local neighborhoods are represented in  
a single vector

# Layer size and number of parameters at an intermediate layer

Fully connected



$$h_{l-1} \in \mathbb{R}^{d_{l-1}}$$

$$h_l \in \mathbb{R}^{d_l}$$

$$\mathbf{W}_l \in \mathbb{R}^{d_l \times d_{l-1}}$$

Convolutional

$$h_{l-1} \in \mathbb{R}^{d_{l-1} \times (N_{l-1} \times M_{l-1})}$$

$$h_l \in \mathbb{R}^{d_l \times (N_l \times M_l)}$$

$$\mathbf{W}_l = \{w_{l,jk}\}, \\ j = 1, \dots, d_{l-1}, \ k = 1, \dots, d_l$$

$$\mathbf{W}_l \in \mathbb{R}^{d_{l-1} \times d_l \times (k_1 \times k_2)}$$

Larger layers with sparser connections with lower number of parameters

# Channel size

- Channel size is linked with kernel size and the type of convolution

|     |     |     |     |     |     |     |     |     |     |
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$$a_{ij} = \sum_p \sum_q x_{(i-p)(j-q)} w_{(p)(q)}$$

- When the kernel is placed in the image – no problem

# Channel size

- Channel size is linked with kernel size and the type of convolution

$$a_{ij} = \sum_p \sum_q x_{(i-p)(j-q)} w_{(p)(q)}$$

- When the kernel is placed in the image – no problem
  - When it is placed on the boundary – it is not well defined
  - Out-of-boundary values are not defined
  - Two options:
    1. Valid convolution: only evaluate convolution when all the elements are defined
    2. Padding (Same): pad the boundaries so that result of the convolution will have the same size

# Valid convolution

|  |     |     |     |     |     |     |     |     |     |     |
|--|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
|  |     |     |     |     |     |     |     |     |     |     |
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- If the kernel is centered, i.e.  $w_{(0)(0)}$  is the center of the kernel, then convolution can only be evaluated within the red area
- You loose a pixel at each end of the picture
- If the kernel center is the top left corner, then the green area is the valid area
- You loose two pixels at the bottom and right of the image

$$h_{l-1} \in \mathbb{R}^{d_{l-1} \times (M_{l-1} \times N_{l-1})}$$

$$w_{l,\dots} \in \mathbb{R}^{k_1 \times k_2}$$

$$h_l \in \mathbb{R}^{d_l \times (M_l \times N_l)}$$

$$M_l = M_{l-1} - k_1 + 1 \quad N_l = N_{l-1} - k_2 + 1$$

# Same padding

- Alternatively you can pad the image on the boundaries so that channels will have the same size across layers

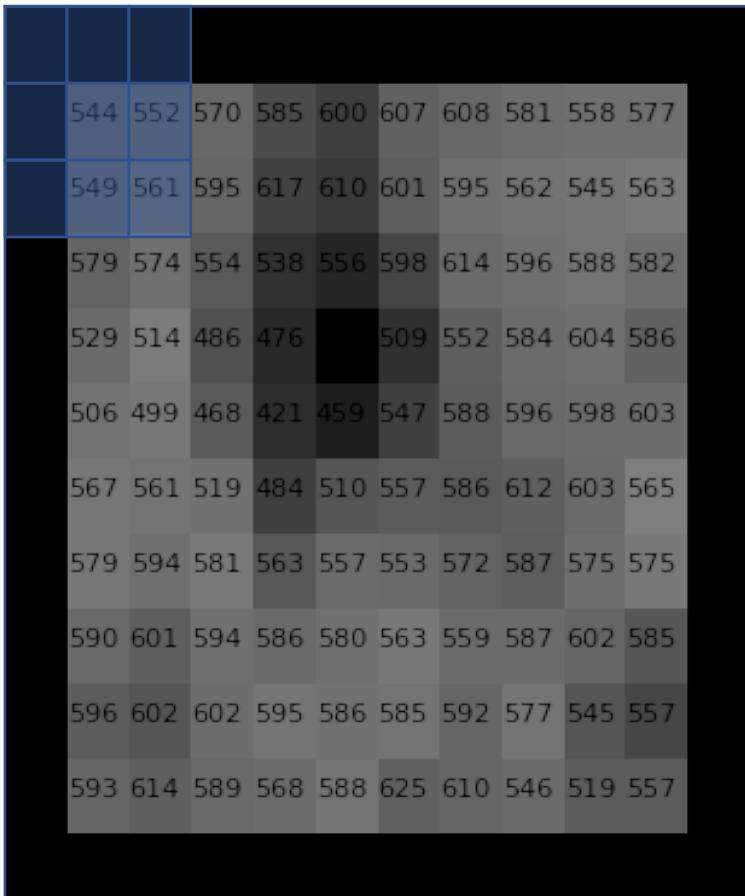
$$h_{l-1} \in \mathbb{R}^{d_{l-1} \times (M_{l-1} \times N_{l-1})}$$

$$h_{l-1} \in \mathbb{R}^{d_{l-1} \times ((M_{l-1} + k_1 - 1) \times (N_{l-1} + k_2 - 2))}$$

$$h_l \in \mathbb{R}^{d_l \times (M_l \times N_l)}$$

$$M_l = M_{l-1} \quad N_l = N_{l-1}$$

- Where you pad depends on where the center of the kernel is.
- Commonly you would use centered kernels – padding around the image as shown on the left
- The value you pad is a parameter, 0 is used often but you can use symmetric padding for certain applications



# Question: Number of parameters

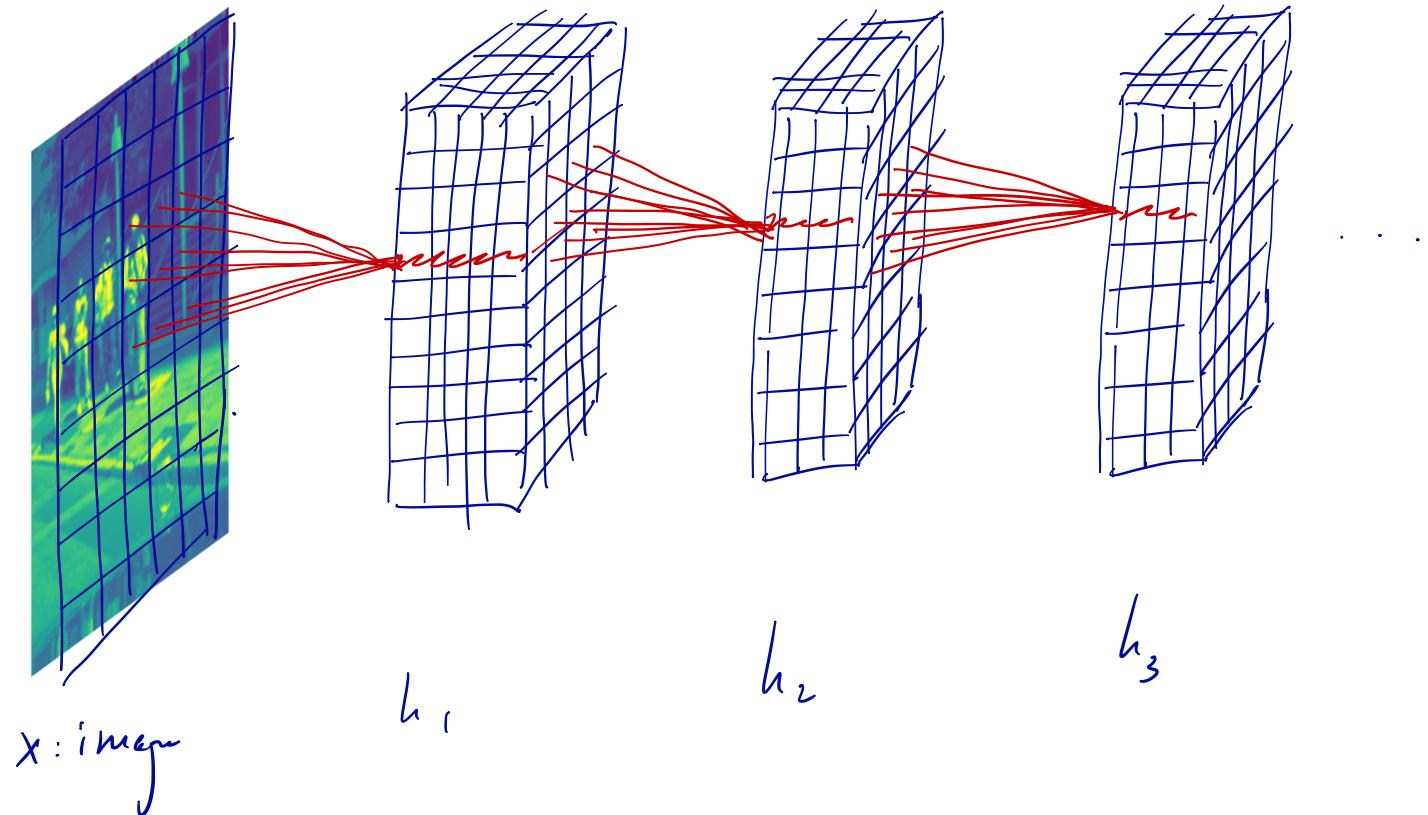
- Classification network with 16 possible output classes
- How many parameters are in the following fully connected networks, where each arrow is a fully connected link

$$x \in \mathbb{R}^{64 \times 64} \rightarrow 256 \rightarrow 128 \rightarrow 64 \rightarrow 16$$

- How many parameters and how many hidden neurons in each layer in the following convolutional neural network, where double arrows are convolutional links with 5x5 kernels and “valid” convolutions, and arrows are fully connected links

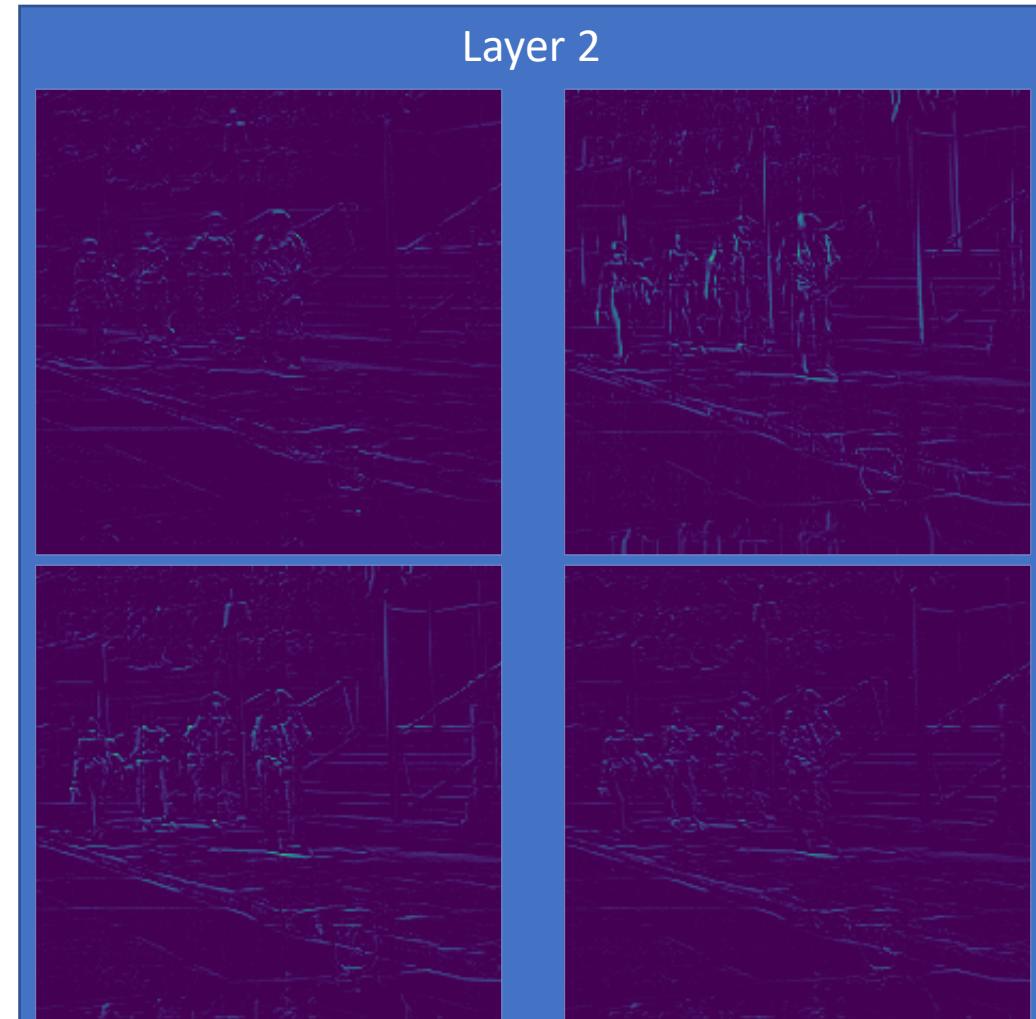
$$x \in \mathbb{R}^{64 \times 64} \Rightarrow 16 \Rightarrow 16 \Rightarrow 16 \rightarrow 16$$

# Hierarchically aggregating local spatial features

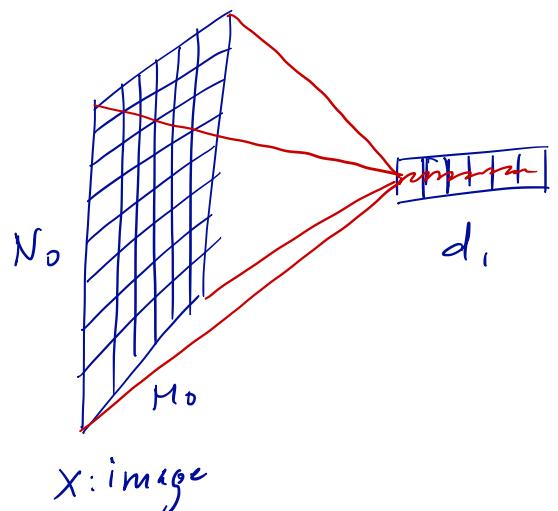


Extracting task specific features from the images.  
As the layers progress, more global information is encoded.

# Hierarchically gathering local spatial statistics



# Translation invariance is native to fully connected networks

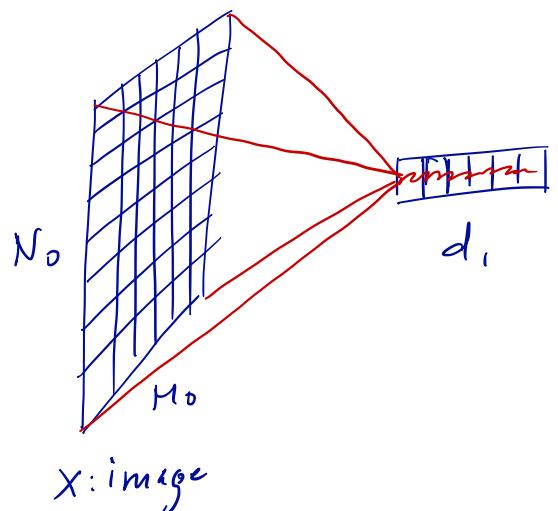


- These images will most likely lead to a very different activations in the hidden layer
- Rest of the network will see different activations
- In most vision applications, these images should lead to identical outputs

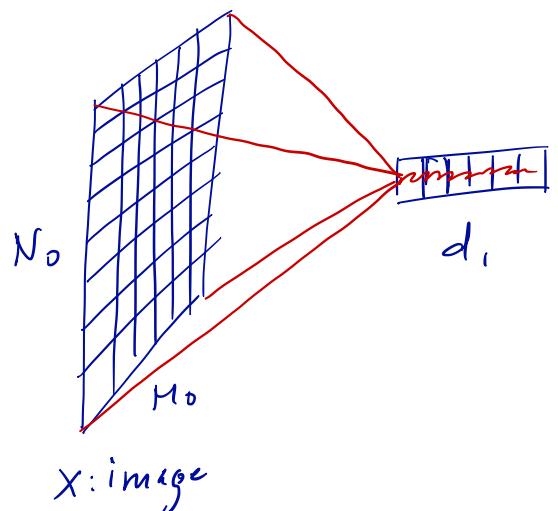
# Question



- What are some applications where these two images should lead to
  1. Identical outputs
  2. Different outputs

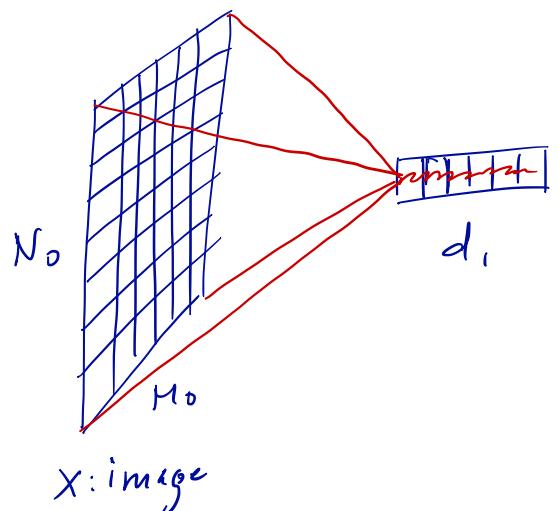


# Question



- What are some applications where these two images should lead to
  - 1. Identical outputs
    - Recognition
    - Detection
  - 2. Different outputs
    - Localization
    - Segmentation

# Translation invariance is native to fully connected networks

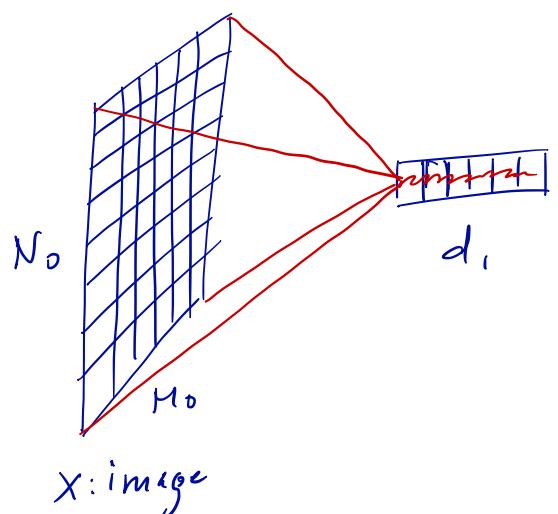


- These images will most likely lead to a very different activations in the hidden layer
- Rest of the network will see different activations
- In most vision applications, these images should lead to identical outputs
- It is possible to teach a fully connected network to be invariant to translations

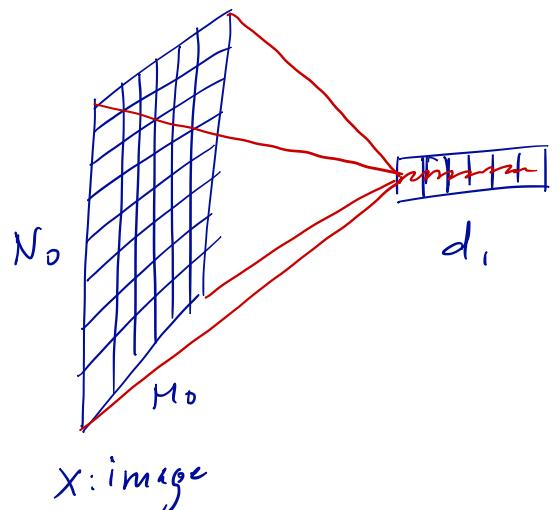
# Question



- How can we teach a fully connected network to be invariant to translations?



# Translation invariance is native to fully connected networks



- These images will most likely lead to a very different activations in the hidden layer
- Rest of the network will see different activations
- In most vision applications, these images should lead to identical outputs
- It is possible to teach a fully connected network to be invariant to translations by having random translations of each image in your training set
- Not the most elegant way
- Convolution operation can help – it is translation equivariant

# Translation equivariance

- Equivariance: applying a transformation to the input yields the same result as applying the transformation to the output

$$f(T \circ x) = T \circ f(x)$$

- Convolution is linear shift invariant, so?

# Translation equivariance

- Equivariance: applying a transformation to the input yields the same result as applying the transformation to the output

$$f(T \circ x) = T \circ f(x)$$

- Convolution is linear shift invariant, it has translation equivariance



# Translation invariance

- It does not have translation invariance
- In what application do we need translation equivariance  
translation invariance



# Translation invariance

- It does not have translation invariance
- In what application do we need  
translation equivariance >> segmentation, localization  
translation invariance >> recognition



# Convolutional layers is a great idea but

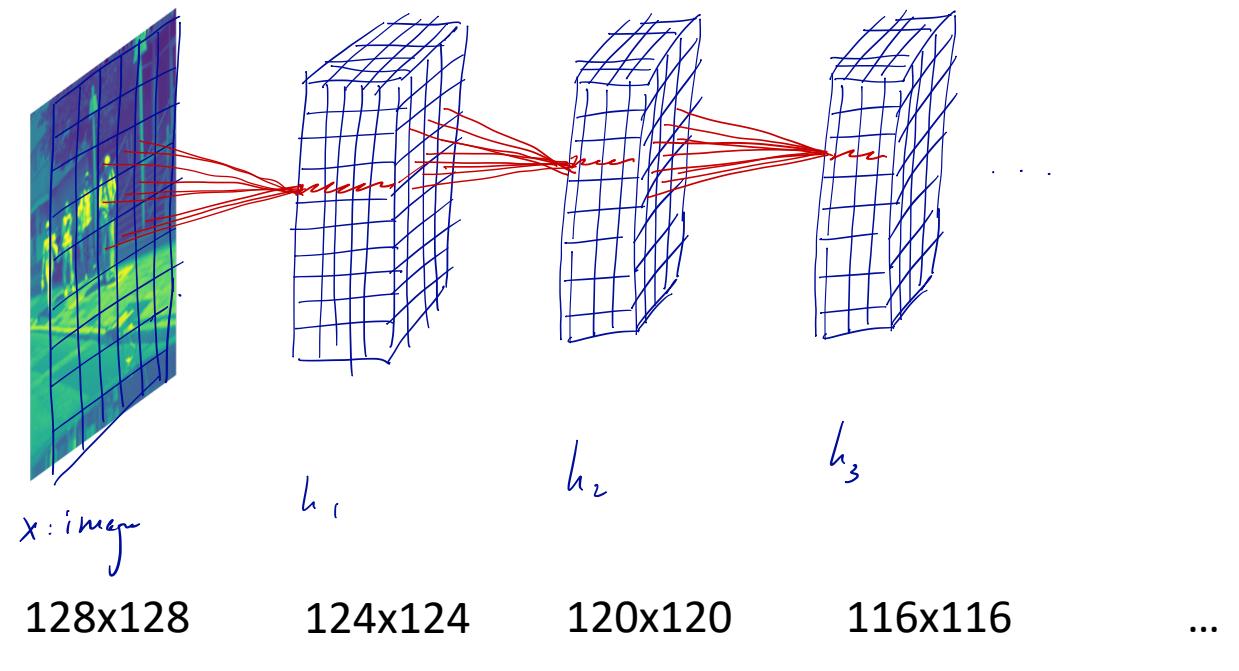
- Translation invariance would be very useful
- Dimensionality reduction requires many parameters

Assume we use convolution kernels of size 5x5 and valid padding in a recognition system >> output channel size should be 1x1 (with number channels equal to the number of classes)

You would need many layers.

Or very large kernels.

There might another way to do this...



# Strides

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
| 549 | 561 | 595 | 617 | 610 | 601 | 595 | 562 | 545 | 563 |
| 579 | 574 | 554 | 538 | 556 | 598 | 614 | 596 | 588 | 582 |
| 529 | 514 | 486 | 476 | 483 | 509 | 552 | 584 | 604 | 586 |
| 506 | 499 | 468 | 421 | 459 | 547 | 588 | 596 | 598 | 603 |
| 567 | 561 | 519 | 484 | 510 | 557 | 586 | 612 | 603 | 565 |
| 579 | 594 | 581 | 563 | 557 | 553 | 572 | 587 | 575 | 575 |
| 590 | 601 | 594 | 586 | 580 | 563 | 559 | 587 | 602 | 585 |
| 596 | 602 | 602 | 595 | 586 | 585 | 592 | 577 | 545 | 557 |
| 593 | 614 | 589 | 568 | 588 | 625 | 610 | 546 | 519 | 557 |

- In a normal convolution you only move one pixel in each direction, not skipping any pixels

# Strides

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
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# Strides

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- In a normal convolution you only move one pixel in each direction, not skipping any pixels
- However, you can decide to skip several pixels while shifting your kernel >> instead you can skip 2 pixels

## Strides

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
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| 593 | 614 | 589 | 568 | 588 | 625 | 610 | 546 | 519 | 557 |

Channel size change with valid convolutions

$$M_l = \left\lfloor \frac{M_{l-1} - k_1}{s_1} \right\rfloor + 1 \quad N_l = \left\lfloor \frac{N_{l-1} - k_2}{s_2} \right\rfloor + 1$$

- In a normal convolution you only move one pixel in each direction, not skipping any pixels
- However, you can decide to skip several pixels while shifting your kernel  $\gg$  instead you can skip 2 pixels
- You take a stride of 3 instead of 1
- Reduction in channel size increases

## Strides

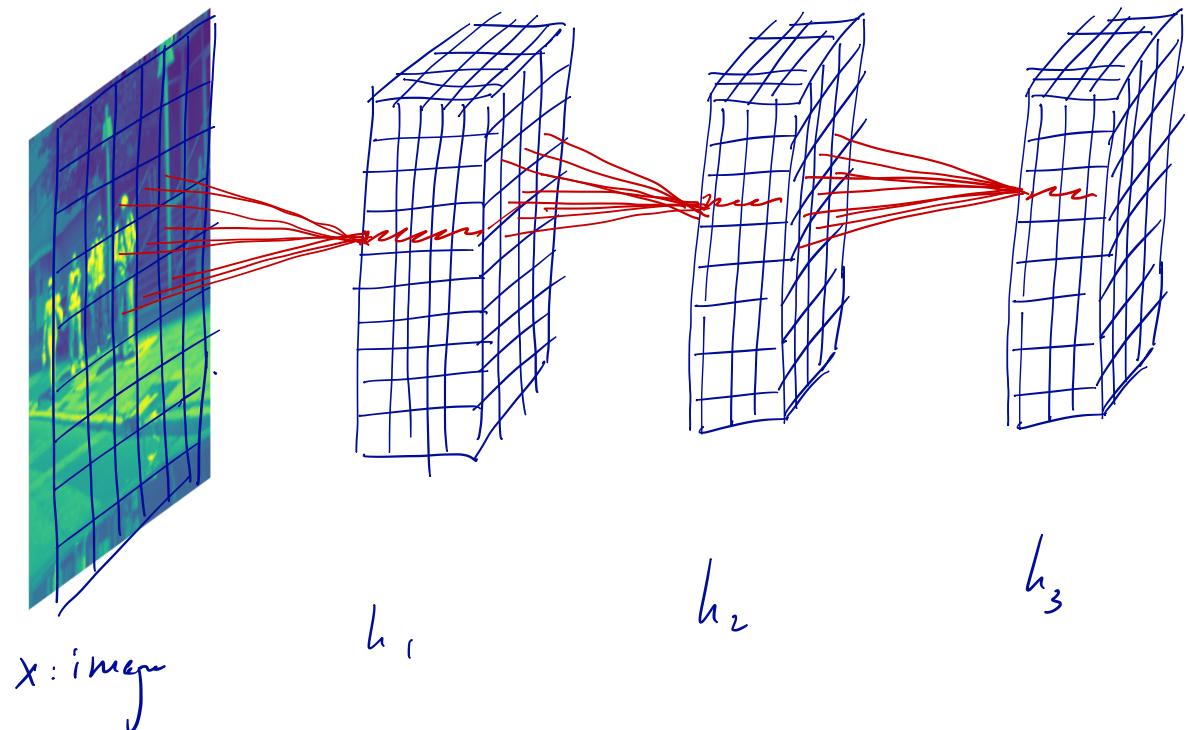
Assume we use convolution kernels of size 5x5, valid padding **with stride 2** in a recognition system >> output channel size should be 1x1 (with number channels equal to the number of classes)

The dimension drops very quickly. The rate of drop will be faster if stride is increased.

You lose information. Higher stride means higher loss of information.  
You do not gain translation invariance.

If used, it is most common to use stride 2 in all directions.

$$M_l = \left\lfloor \frac{M_{l-1} - k_1}{s_1} \right\rfloor + 1 \quad N_l = \left\lfloor \frac{N_{l-1} - k_2}{s_2} \right\rfloor + 1$$



128x128

124x124

120x120

116x116

...

62x62

29x29

12x12

# Pooling layers



# Pooling

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
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- Pool information in a neighborhood
- Represents the region with one number >> summarizes information
- Applied to each channel separately
- **Max-pooling** – maximum of the activation values
- **Min-pooling** – minimum of the activation values
- Both are non-linear operations, like median filtering
- **Averaging pooling** – linear operator
- Max-pooling is the most commonly used version

# Max pooling

|     |     |     |     |     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
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- Represents the entire region with the neuron that achieves the highest activation
- Leads to partial local translation invariance
- 617 in the highlighted area can be in any of the neurons, the pooled value will not change
- Does not lead to complete translation invariance
- Often applied with strides equal to the size of the kernel

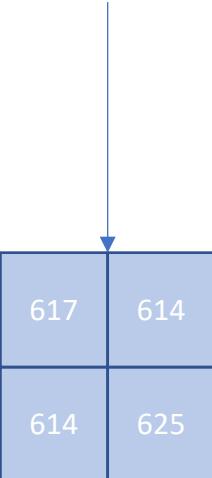
# Max pooling

|     |     |     |     |     |     |     |     |     |     |
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# Dimensionality reduction

|     |     |     |     |     |     |     |     |     |     |
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| 544 | 552 | 570 | 585 | 600 | 607 | 608 | 581 | 558 | 577 |
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- Leads to a substantial dimensionality reduction
- Even when the pooling kernel is of size 2x2, it can halve the image!
- As the size of the pooling kernel increase, the reduction increases as well
- Non-linear dimensionality reduction
- Only the most prominent activation is transmitted to the next layer
- More advanced pooling mechanisms exist CapsuleNets [Sabour, Frosst and Hinton 2017]

# Recognition network with pooling

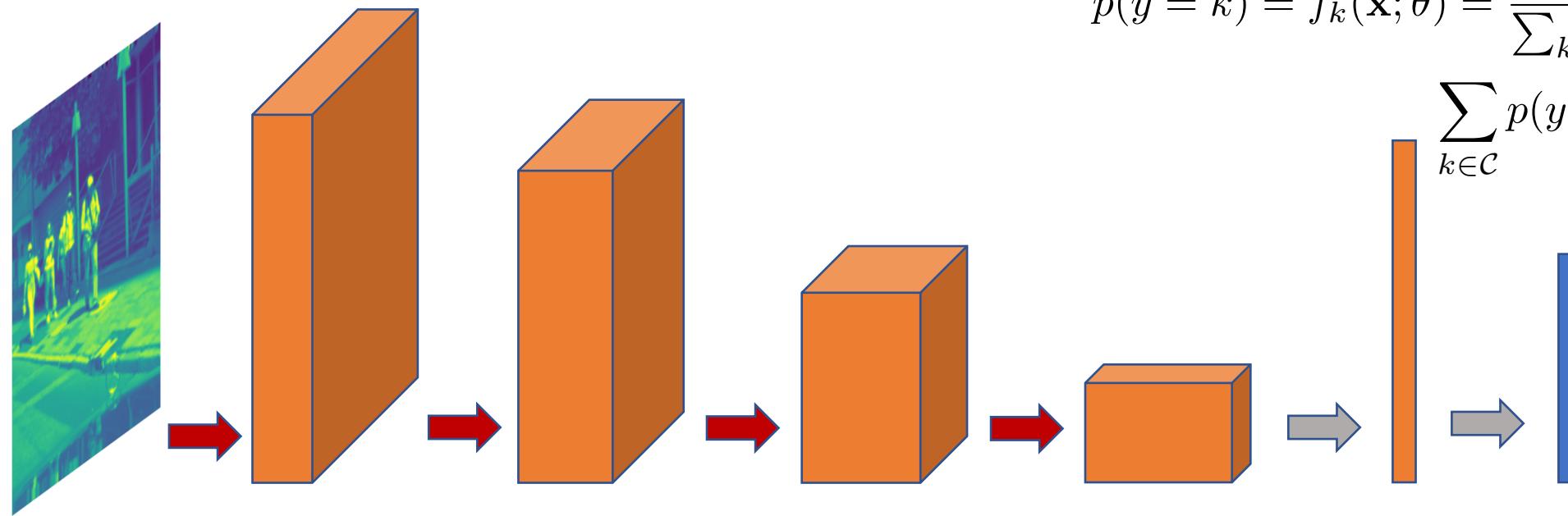


# Network architecture for a simple object recognition system

$$a_L = \mathbf{W}_L h_{L-1} + b_L \in \mathbb{R}^K$$

$$p(y = k) = f_k(\mathbf{x}; \theta) = \frac{e^{a_{L,k}}}{\sum_{k' \in \mathcal{C}} e^{a_{L,k'}}$$

$$\sum_{k \in \mathcal{C}} p(y = k) = 1$$



Convolution followed by non-linearity followed by max-pooling



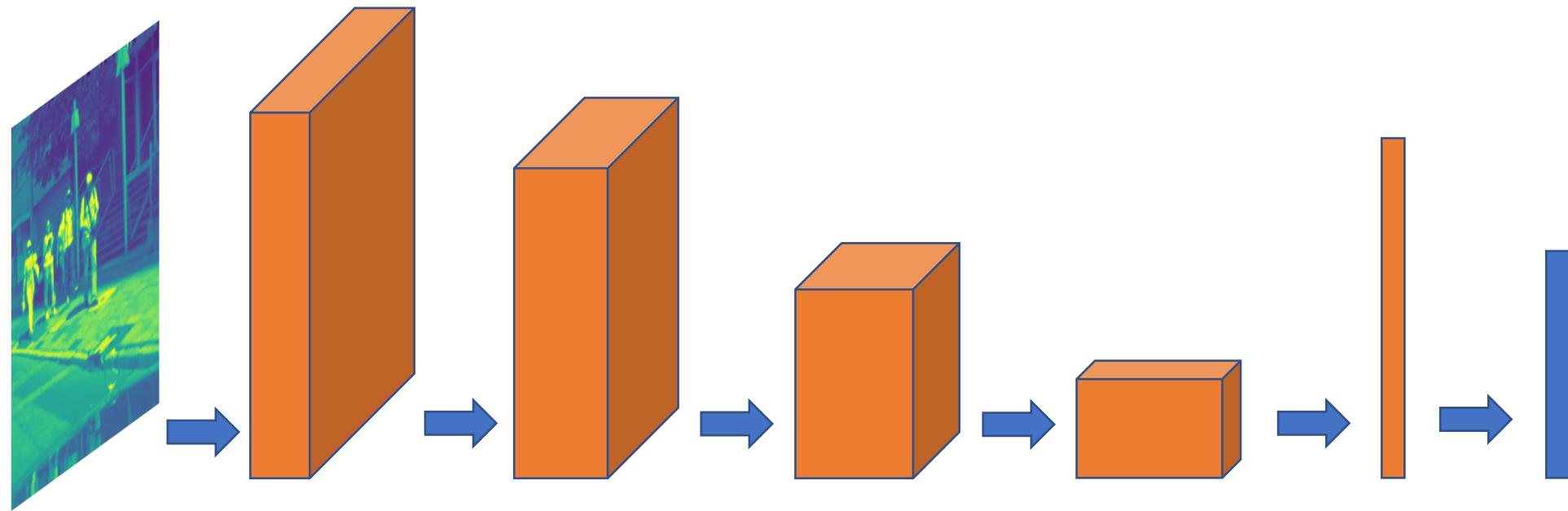
Fully connected layer: transformation followed by non-linearity

Output

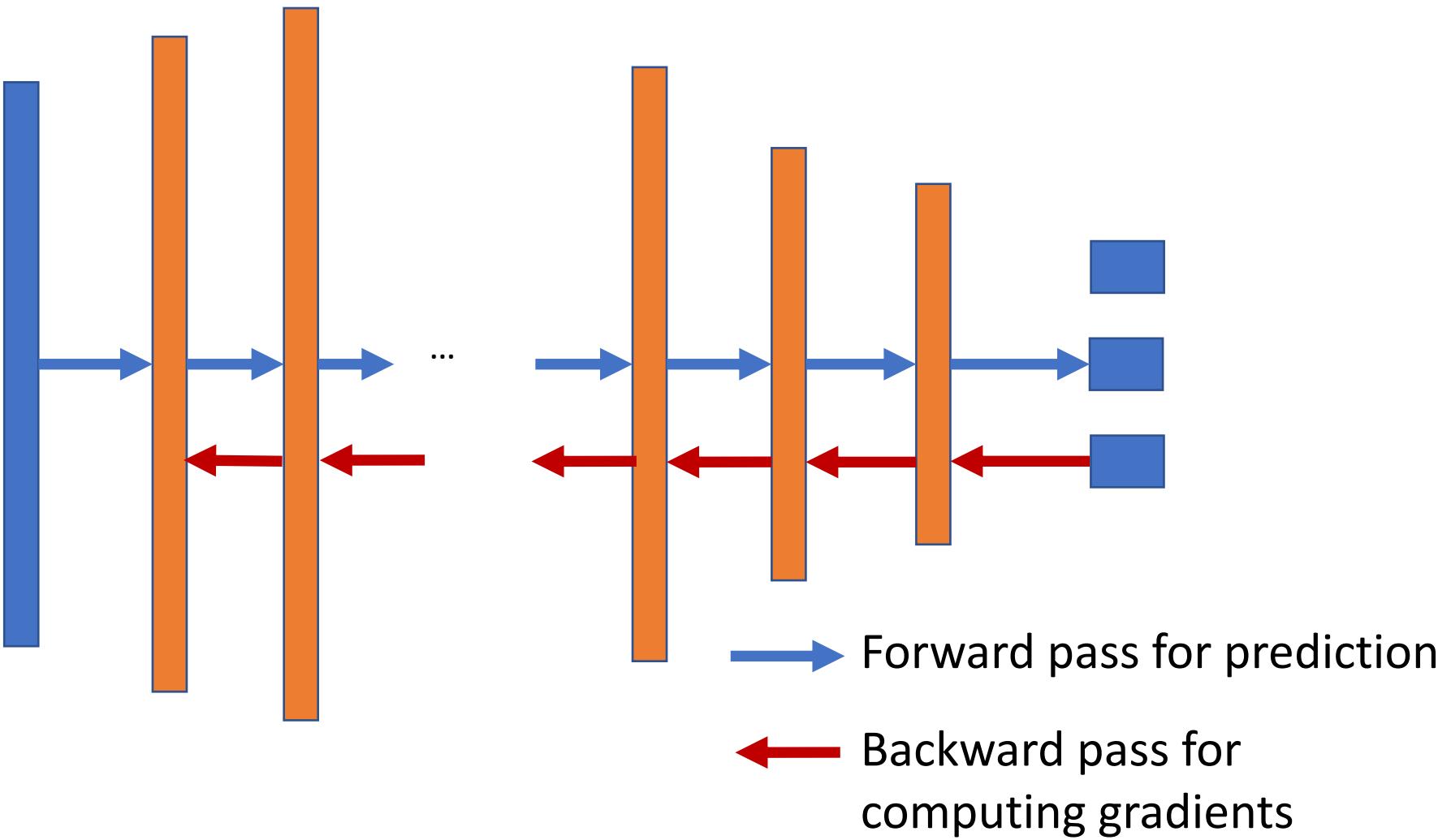
Number of neurons  
equal number of classes

# Putting it all together: Basic Convolutional neural network (CNN)

$$a_L = \mathbf{W}_L h_{L-1} + b_L \in \mathbb{R}^K \quad p(y = k) = f_k(\mathbf{x}; \theta) = \frac{e^{a_{L,k}}}{\sum_{k' \in \mathcal{C}} e^{a_{L,k'}}} \quad \sum_{k \in \mathcal{C}} p(y = k) = 1$$



# Remember backpropagation



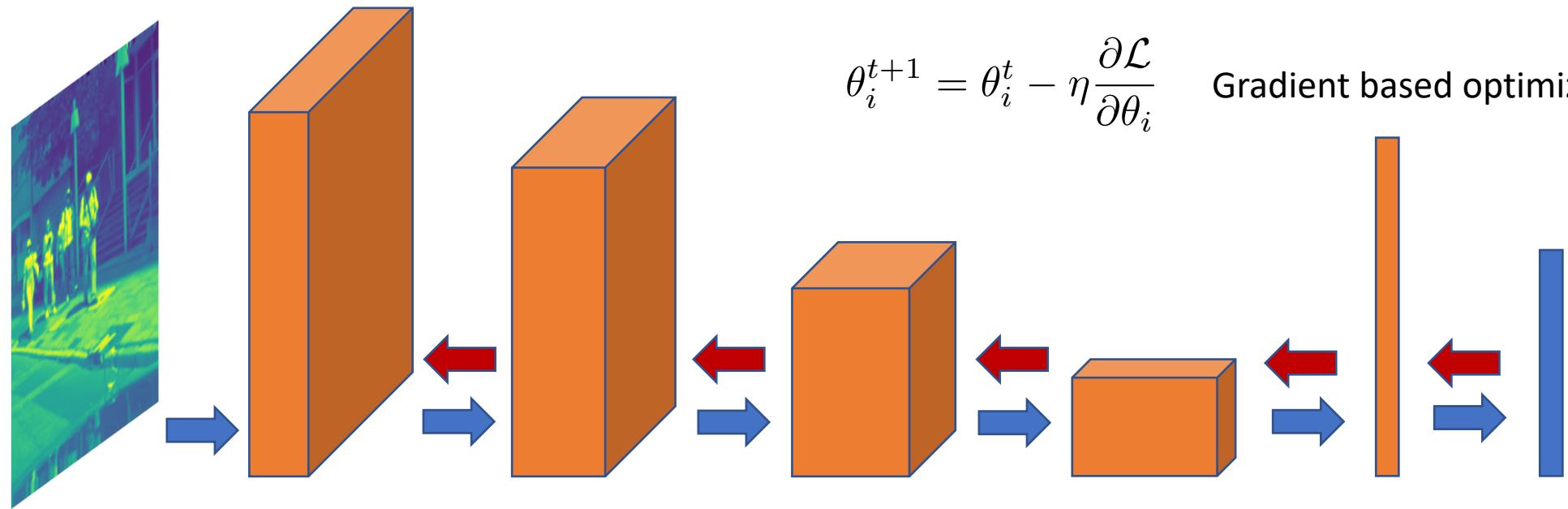
# Putting it all together: Classification Convolutional neural network (CNN)

$$a_L = \mathbf{W}_L h_{L-1} + b_L \in \mathbb{R}^K \quad p(y = k) = f_k(\mathbf{x}; \theta) = \frac{e^{a_{L,k}}}{\sum_{k' \in \mathcal{C}} e^{a_{L,k'}}} \quad \sum_{k \in \mathcal{C}} p(y = k) = 1$$

Cost function  $\mathcal{L}(y_n, f(\mathbf{x}_n; \theta)) = - \sum_{k \in \mathcal{C}} \log(f_k(\mathbf{x}_n; \theta)) \mathbf{1}(y_n = k)$

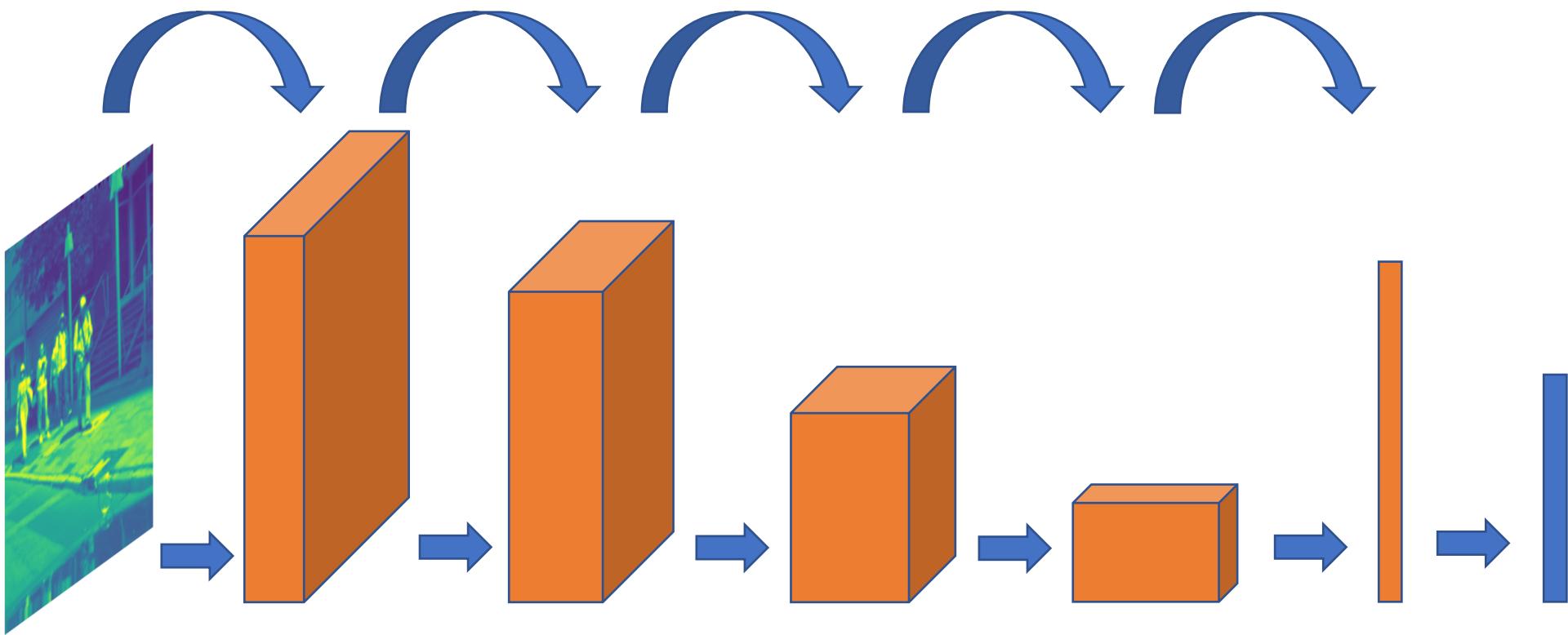
Optimization  $\theta^* = \arg_{\theta} \min \sum_{n=1}^N \mathcal{L}(\mathbf{y}_n, f(\mathbf{x}_n; \theta))$

$$\theta_i^{t+1} = \theta_i^t - \eta \frac{\partial \mathcal{L}}{\partial \theta_i} \quad \text{Gradient based optimization}$$



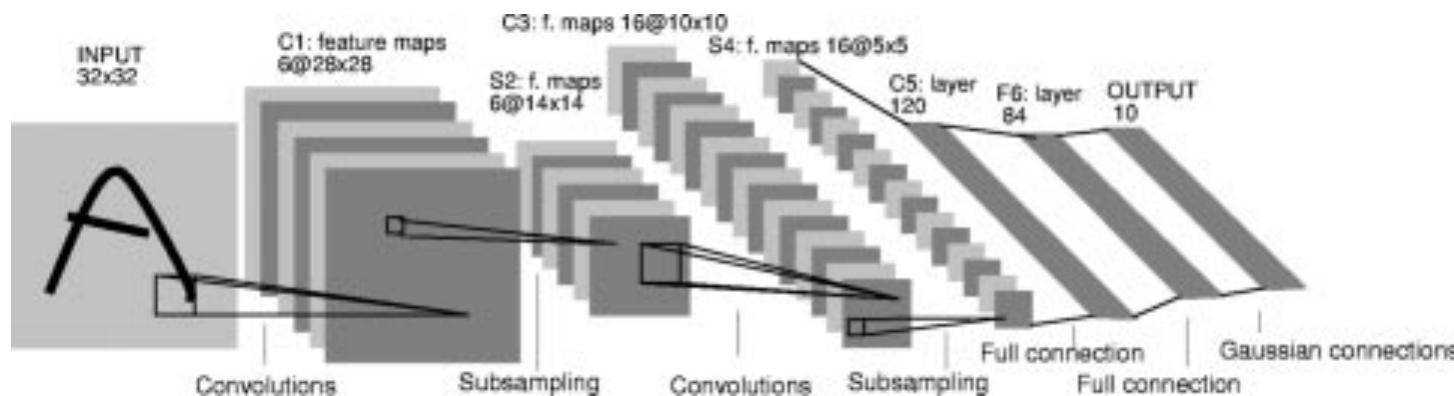
# Progressively aggregating spatial information to reach a global decision

Local features are extracted and aggregated throughout the network  
The last layers “sees” the entire image and the features encode global information



# Essential blocks lead to powerful algorithms

- Convolutional layers and pooling are the essential blocks
- They have been used to create complicated networks
- First one



**Fig. 2.** Architecture of LeNet-5, a convolutional NN, here used for digits recognition. Each plane is a feature map, i.e., a set of units whose weights are constrained to be identical.

[Lecun, Bottou, Bengio and Haffner; Gradient-based learning applied to document recognition; 1998]

- Then silence for a long time

# Why silence

- Models had too many parameters
- They overfit for small datasets
- We did not have very large datasets
- Even for large sets, we did not have enough computation power to train the models until...



General purpose Graphical Processing Units (GPUs)  
Allowed parallel processing

## Then first this happened in 2006

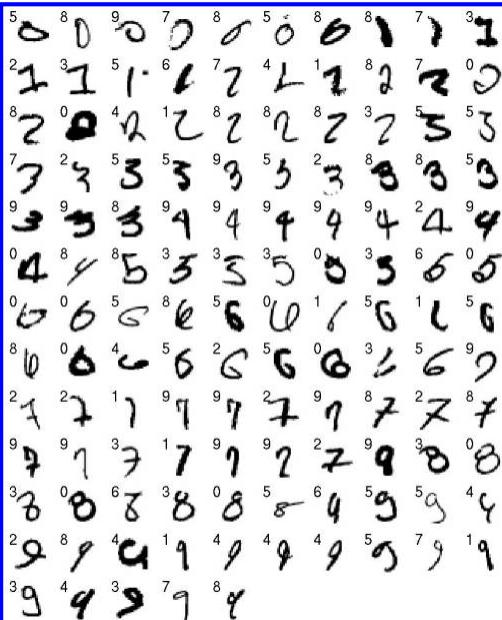
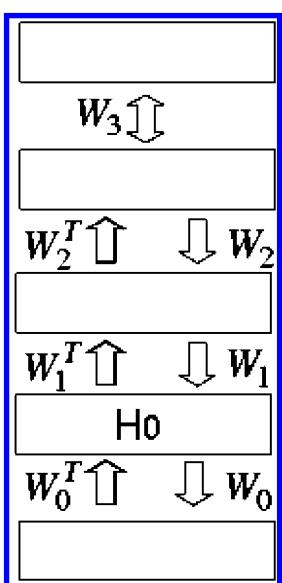
### A Fast Learning Algorithm for Deep Belief Nets

Geoffrey E. Hinton  
[hinton@cs.toronto.edu](mailto:hinton@cs.toronto.edu)

Simon Osindero  
[osindero@cs.toronto.edu](mailto:osindero@cs.toronto.edu)

Department of Computer Science, University of Toronto, Toronto, Canada M5S 3G4

Yee-Whye Teh  
[tehyw@comp.nus.edu.sg](mailto:tehyw@comp.nus.edu.sg)  
Department of Computer Science, National University of Singapore,  
Singapore 117543



- A fast algorithm to train deep belief networks by stacking restricted Boltzmann machines
- Layer-wise pre-training with contrastive divergence
- Set a state-of-the-art performance on MNIST
- However, this did not use GPUs yet

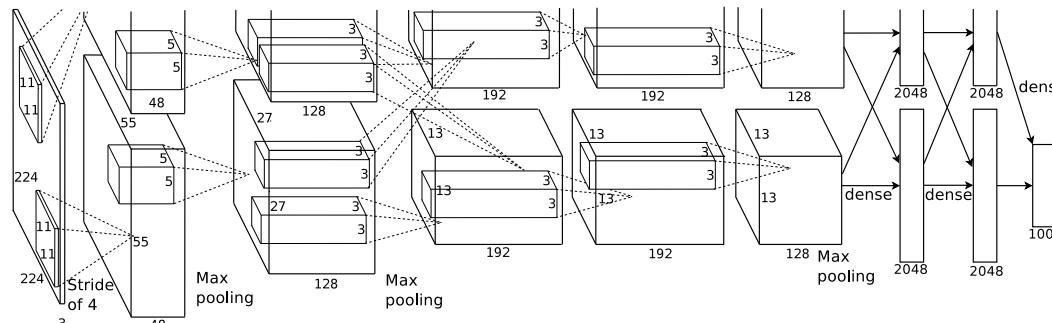
## Then in 2012

### ImageNet Classification with Deep Convolutional Neural Networks

Alex Krizhevsky  
University of Toronto  
kriz@cs.utoronto.ca

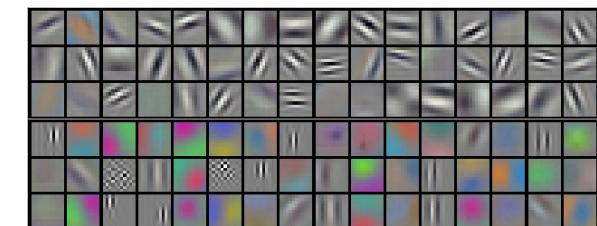
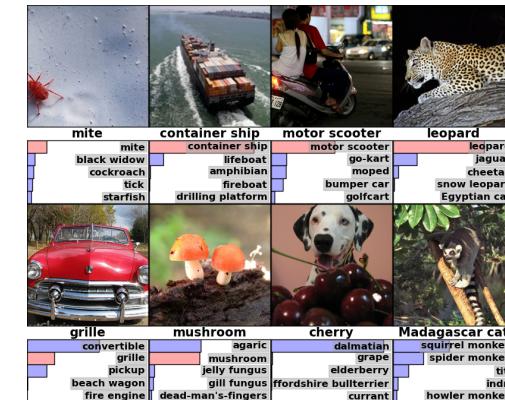
Ilya Sutskever  
University of Toronto  
ilya@cs.utoronto.ca

Geoffrey E. Hinton  
University of Toronto  
hinton@cs.utoronto.ca



Network

- Krizhevsky et al. almost halved the error rate in the ImageNet challenge
- A simple CNN



First layer filters 11x11

# A word about the ImageNet challenge

## ImageNet: A Large-Scale Hierarchical Image Database

Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li and Li Fei-Fei  
Dept. of Computer Science, Princeton University, USA  
`{jiadeng, wdong, rsocher, jial, li, feifeili}@cs.princeton.edu`

[CVPR 2009]

[International Journal of Computer Vision](#)

December 2015, Volume 115, Issue 3, pp 211–252 | [Cite as](#)

## ImageNet Large Scale Visual Recognition Challenge

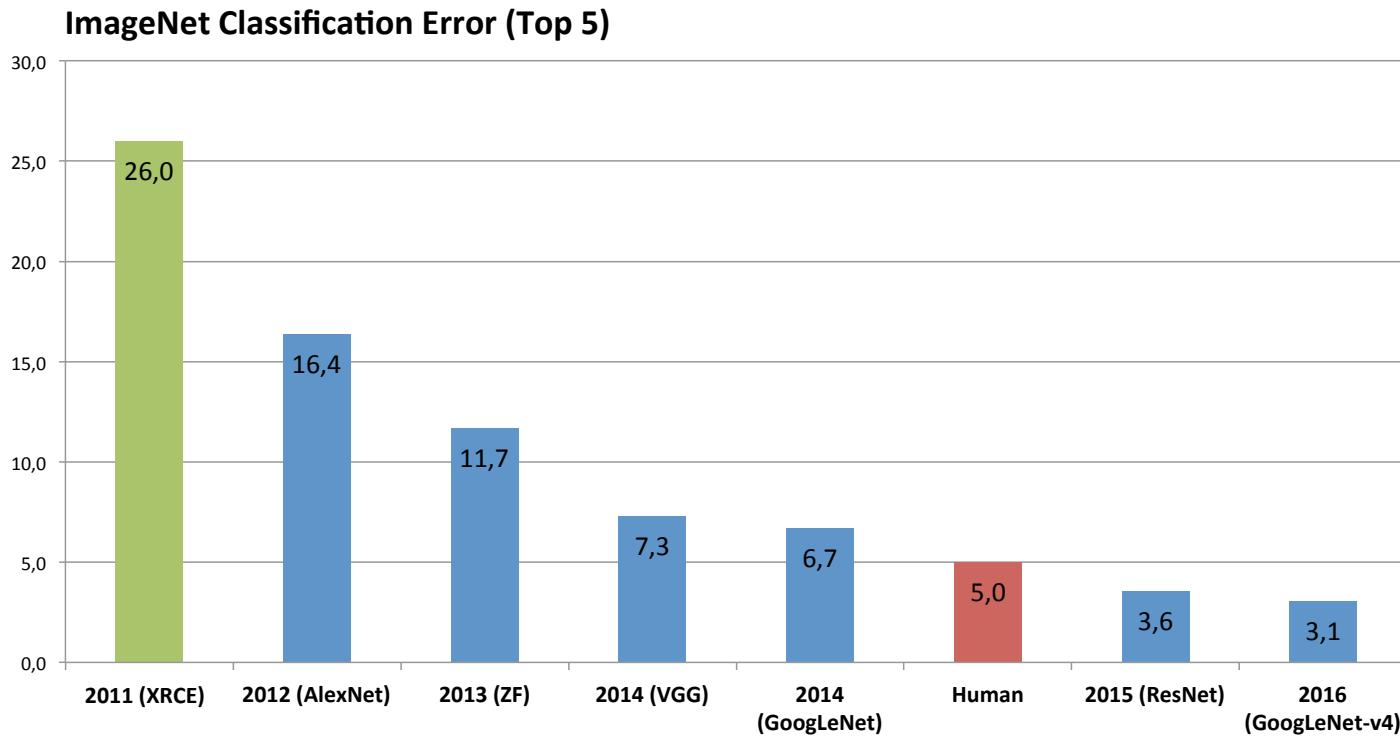
Authors

[Authors and affiliations](#)

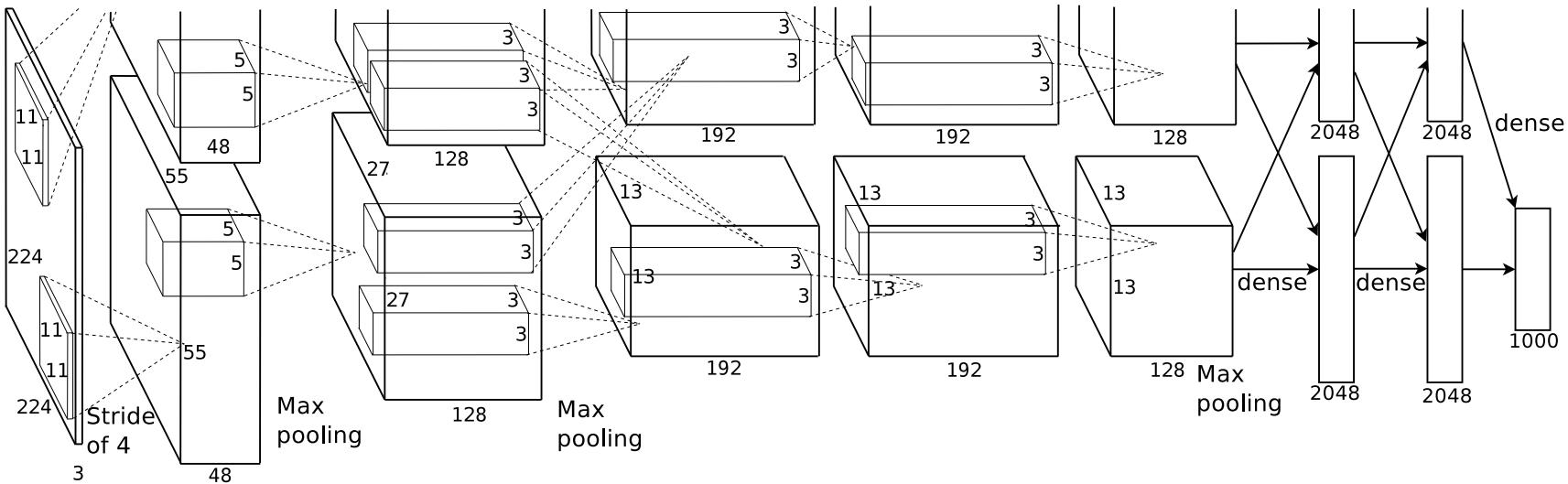
Olga Russakovsky  , Jia Deng, Hao Su, Jonathan Krause, Sanjeev Satheesh, Sean Ma, Zhiheng Huang, Andrej Karpathy, Aditya Khosla, Michael Bernstein, Alexander C. Berg, Li Fei-Fei

- Large scale object recognition challenge started in 2010
- 1.2 million training images
- 1000 object categories
- 200k validation and test images
- 2017 – 3 challenges:
  - Object localization
  - Object detection
  - Object detection from video

# Historical evolution of the ImageNet Challenge



## How the networks evolved - 2012



GPU implementation of the basic CNN using two GPUs and communication between the units  
Varying size of convolutional filters in the different layers

---

### ImageNet Classification with Deep Convolutional Neural Networks

---

# VGGNet- 2014

- VGG network
- [Table from the publication]
- First **deep** network
- 3x3 convolutional filters across the network
- Still the same principles as 1998

VERY DEEP CONVOLUTIONAL NETWORKS  
FOR LARGE-SCALE IMAGE RECOGNITION

Karen Simonyan\* & Andrew Zisserman<sup>†</sup>

Visual Geometry Group, Department of Engineering Science, University of Oxford  
 {karen,az}@robots.ox.ac.uk

Table 1: **ConvNet configurations** (shown in columns). The depth of the configurations increases from the left (A) to the right (E), as more layers are added (the added layers are shown in bold). The convolutional layer parameters are denoted as “conv<receptive field size>-<number of channels>”. The ReLU activation function is not shown for brevity.

| ConvNet Configuration       |                        |                               |  |  |  |
|-----------------------------|------------------------|-------------------------------|--|--|--|
| A                           | A-LRN                  | B                             | C  | D  | E  |
| 11 weight layers            | 11 weight layers       | 13 weight layers              | 16 weight layers                           | 16 weight layers                           | 19 weight layers                           |
| input (224 × 224 RGB image) |                        |                               |  |  |  |
| conv3-64                    | conv3-64<br><b>LRN</b> | conv3-64<br><b>conv3-64</b>   | conv3-64<br>conv3-64                       | conv3-64<br>conv3-64                       | conv3-64<br>conv3-64                       |
| maxpool                     |                        |                               |  |  |  |
| conv3-128                   | conv3-128              | conv3-128<br><b>conv3-128</b> | conv3-128<br>conv3-128                     | conv3-128<br>conv3-128                     | conv3-128<br>conv3-128                     |
| maxpool                     |                        |                               |  |  |  |
| conv3-256<br>conv3-256      | conv3-256<br>conv3-256 | conv3-256<br>conv3-256        | conv3-256<br>conv3-256<br><b>conv1-256</b> | conv3-256<br>conv3-256<br><b>conv3-256</b> | conv3-256<br>conv3-256<br><b>conv3-256</b> |
| maxpool                     |                        |                               |  |  |  |
| conv3-512<br>conv3-512      | conv3-512<br>conv3-512 | conv3-512<br>conv3-512        | conv3-512<br>conv3-512<br><b>conv1-512</b> | conv3-512<br>conv3-512<br><b>conv3-512</b> | conv3-512<br>conv3-512<br><b>conv3-512</b> |
| maxpool                     |                        |                               |  |  |  |
| conv3-512<br>conv3-512      | conv3-512<br>conv3-512 | conv3-512<br>conv3-512        | conv3-512<br>conv3-512<br><b>conv1-512</b> | conv3-512<br>conv3-512<br><b>conv3-512</b> | conv3-512<br>conv3-512<br><b>conv3-512</b> |
| maxpool                     |                        |                               |  |  |  |
| FC-4096                     |                        |                               |  |  |  |
| FC-4096                     |                        |                               |  |  |  |
| FC-1000                     |                        |                               |  |  |  |
| soft-max                    |                        |                               |  |  |  |

Table 2: **Number of parameters** (in millions).

| Network              | A,A-LRN | B   | C   | D   | E   |
|----------------------|---------|-----|-----|-----|-----|
| Number of parameters | 133     | 133 | 134 | 138 | 144 |

# Inception - 2014

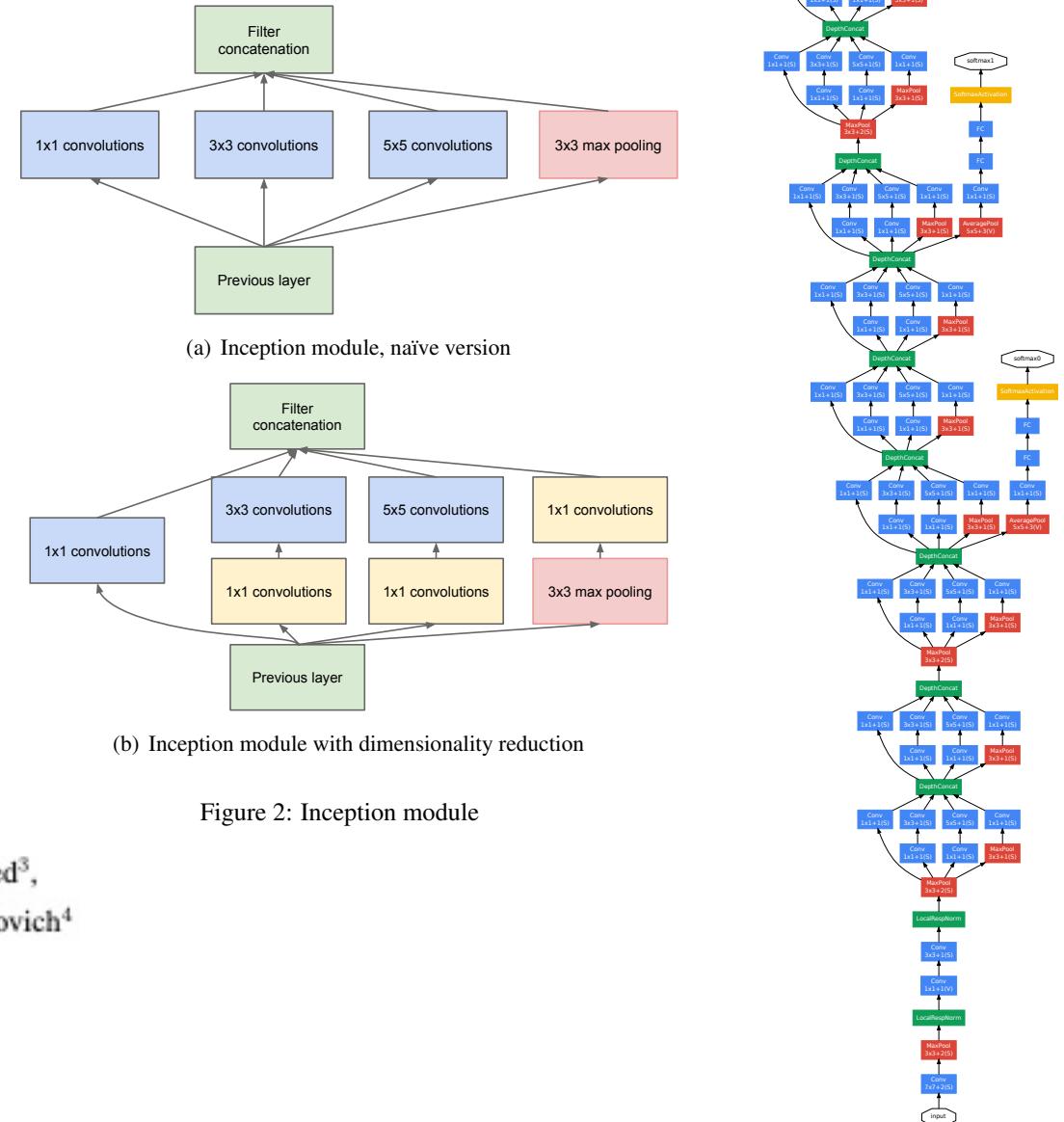
- Combining different kernels – a new idea
- [Figures from the publication]
- Allowed VERY deep networks
- GoogLeNet

## Going Deeper with Convolutions

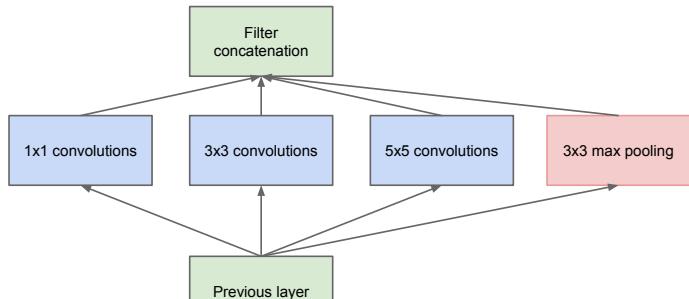
Christian Szegedy<sup>1</sup>, Wei Liu<sup>2</sup>, Yangqing Jia<sup>1</sup>, Pierre Sermanet<sup>1</sup>, Scott Reed<sup>3</sup>,  
 Dragomir Anguelov<sup>1</sup>, Dumitru Erhan<sup>1</sup>, Vincent Vanhoucke<sup>1</sup>, Andrew Rabinovich<sup>4</sup>

<sup>1</sup>Google Inc. <sup>2</sup>University of North Carolina, Chapel Hill

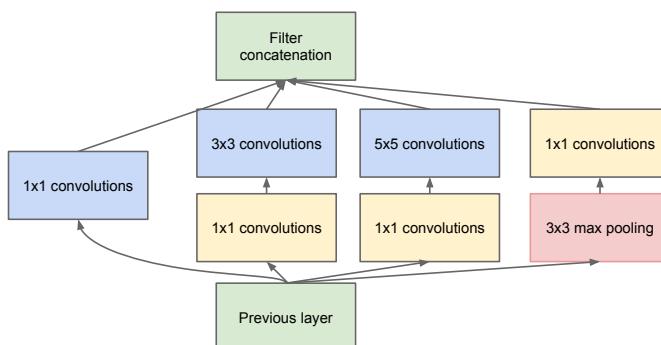
<sup>3</sup>University of Michigan, Ann Arbor <sup>4</sup>Magic Leap Inc.



## More on the inception module



(a) Inception module, naïve version



(b) Inception module with dimensionality reduction

Figure 2: Inception module

### Going Deeper with Convolutions

Christian Szegedy<sup>1</sup>, Wei Liu<sup>2</sup>, Yangqing Jia<sup>1</sup>, Pierre Sermanet<sup>1</sup>, Scott Reed<sup>3</sup>,  
Dragomir Anguelov<sup>1</sup>, Dumitru Erhan<sup>1</sup>, Vincent Vanhoucke<sup>1</sup>, Andrew Rabinovich<sup>4</sup>

<sup>1</sup>Google Inc. <sup>2</sup>University of North Carolina, Chapel Hill

<sup>3</sup>University of Michigan, Ann Arbor <sup>4</sup>Magic Leap Inc.

$$a_{l,k} = \sum_j w_{l,kj} * h_{l-1,j} + b_{l,k}$$

- Conventional way was to combine multiple convolutional filters of same size.
- Inception network combines multiple convolutional filters of different sizes.
- 1x1 convolutions do not aggregate information over space but only over different channels

# Computer Vision



Image



3x3



5x5



7x7



9x9

Inception module aggregates all this information in one layer.  
It learns to use the necessary components from each filter size

# ResNet - 2015

- Residual modeling – a new idea
- [Figures from the publication]
- Allowed VERY deep networks

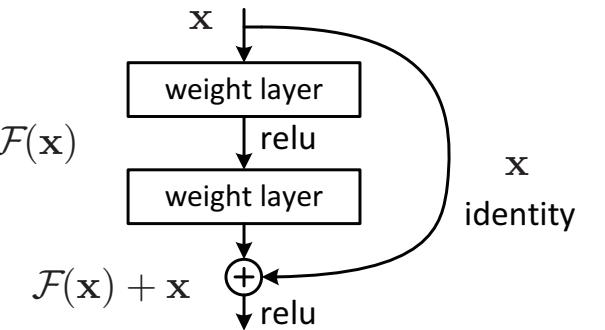


Figure 2. Residual learning: a building block.

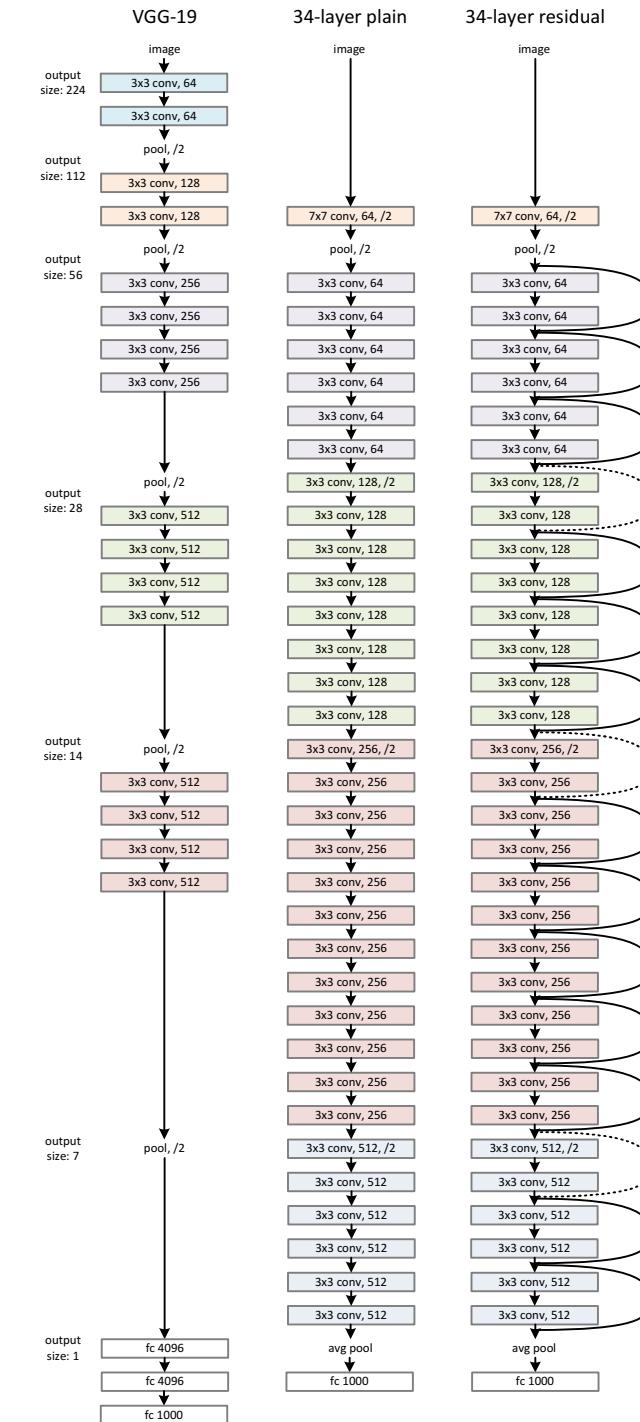
## Deep Residual Learning for Image Recognition

Kaiming He      Xiangyu Zhang      Shaoqing Ren      Jian Sun

Microsoft Research

{kahe, v-xiangz, v-shren, jiansun}@microsoft.com

[CVPR 2016]



# More on Residual units and ResNet

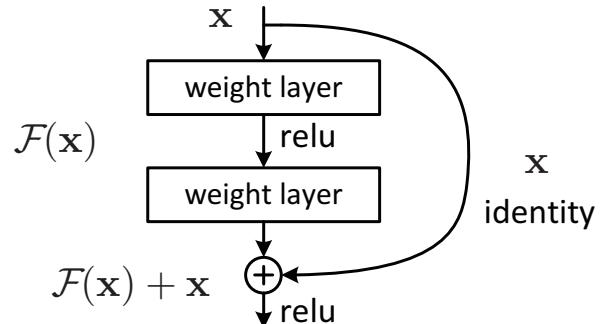


Figure 2. Residual learning: a building block.

- Conventional layer

$$h_l = f_l(h_{l-1})$$

difficult to model an identity function

- Residual layer

$$h_l = f_l(h_{l-1}) + h_{l-1}$$

only modeling the residual allows  
identity maps

## Deep Residual Learning for Image Recognition

Kaiming He

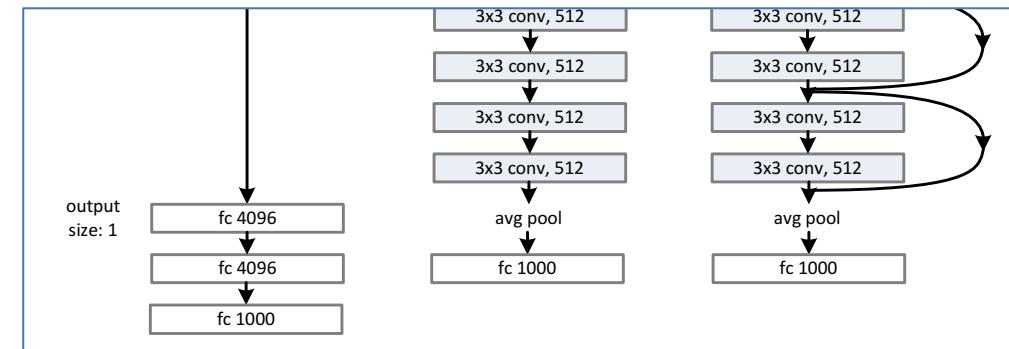
Xiangyu Zhang

Shaoqing Ren

Jian Sun

Microsoft Research

{kahe, v-xiangz, v-shren, jiansun}@microsoft.com

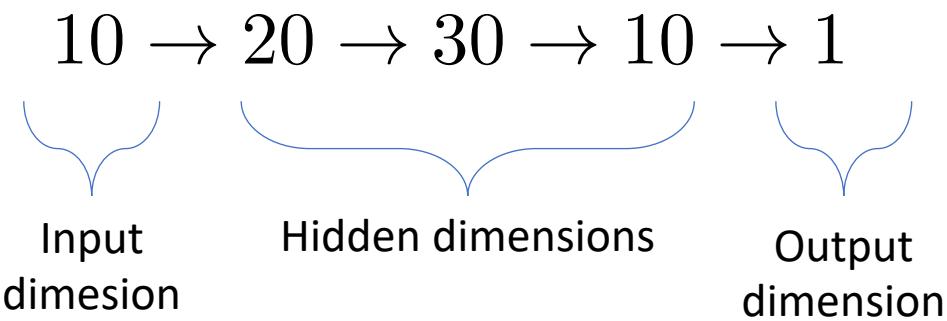


# To help with training

- CNNs have large number of parameters
- It is important to have lots of training images to be able to train deep networks
- In smaller training size scenarios there are various tools that may help
  - Drop-out
  - Regularization
  - Data augmentation
  - Transfer learning

# Number of parameters in a network

- Let us assume the following network

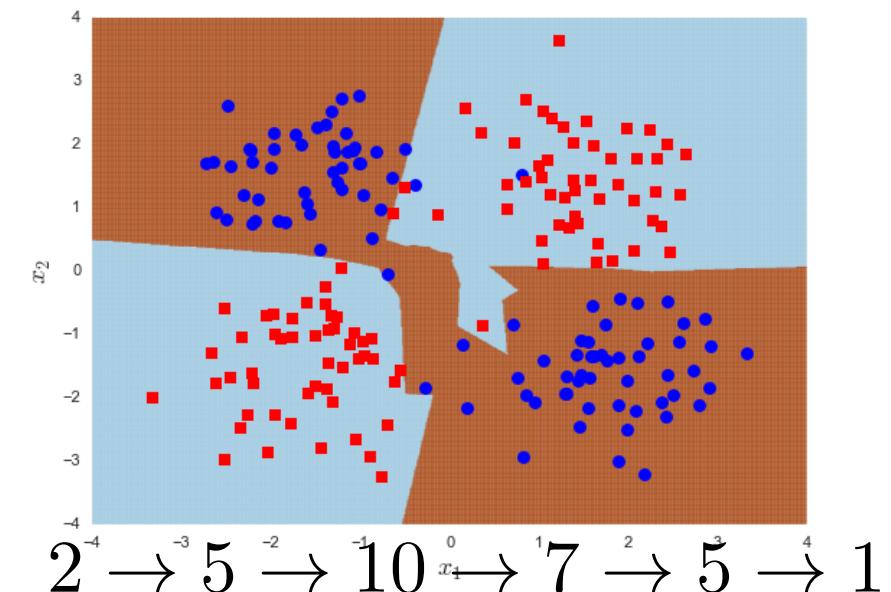
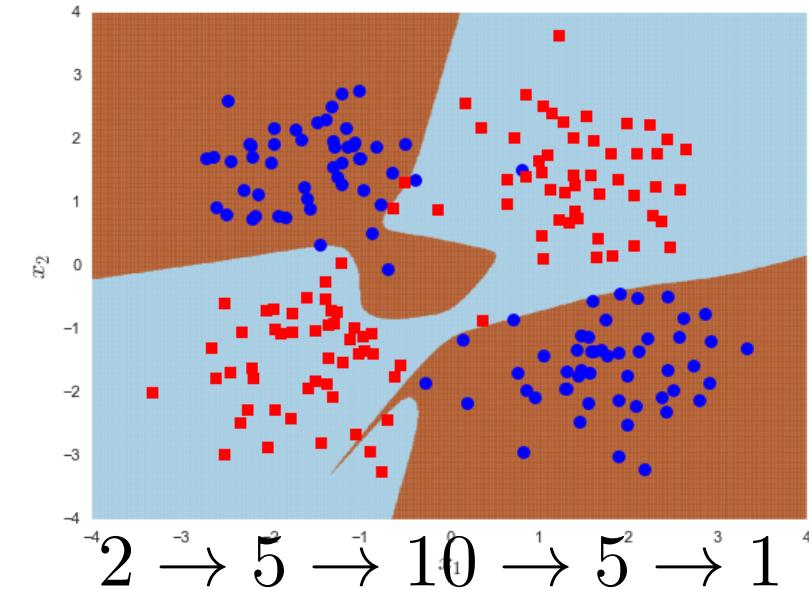
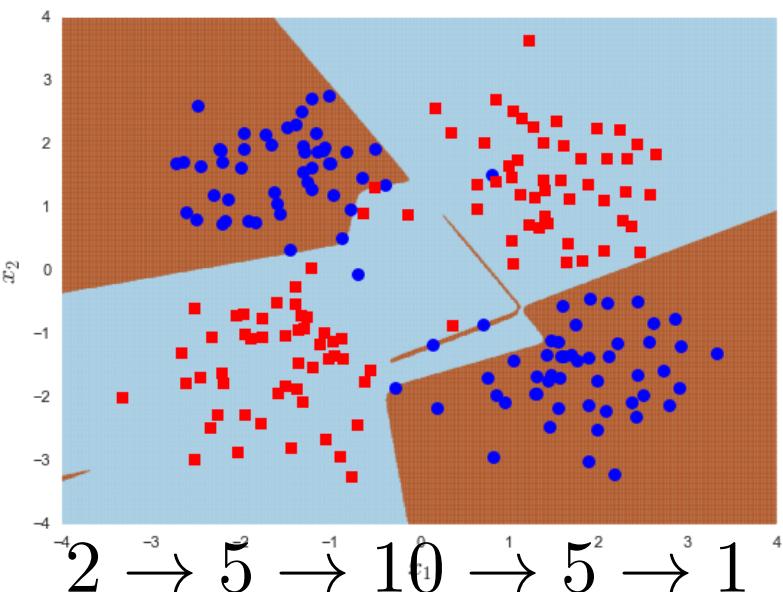
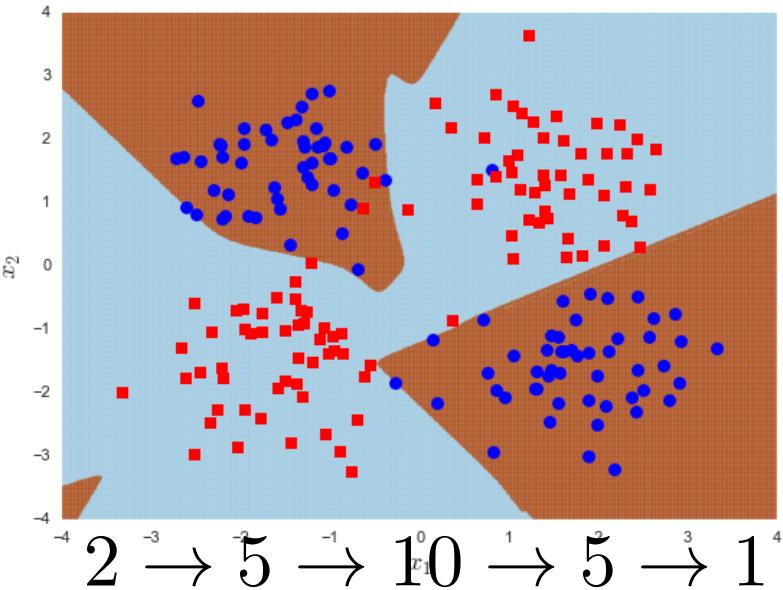


- Total number of parameters:  
 $10 \times 20 + 20 + 20 \times 30 + 30 + 30 \times 10 + 10 + 10 = 1170$
- To determine the large number of parameters we need large number of samples
- Modern networks have many number of parameter, reaching millions

# Over-fitting

- When the model has too many parameters and not enough training samples
- Model can learn noise in the training samples
- Perfect prediction on training data
- Bad prediction in validation data
- Will not be able to generalize

# Over-fitting – examples on the toy data



# Over-fitting – how to overcome it?

**Two most obvious strategies:**

1. Best strategy is to have **more data**
  - a) Most reliable strategy
  - b) Data collection can be expensive or not even feasible
  - c) Labeling can be expensive
2. Reduce the size of your network, i.e. less parameters
  - a) Also reliable strategy
  - b) It may lead to performance loss

# Other strategies

1. Best strategy is to have **more data**
2. Reduce the size of your network, i.e. less parameters
3. Regularization

# Regularization

- Regularization [Krogh and Hertz, NIPS 1992]
- Similar strategy is taken for many other learning algorithms, ridge regression, SVM, sparse regression,...

$$\min \mathcal{L} + \lambda \mathcal{R}(\theta) \quad \mathcal{R}(\theta) = \frac{1}{2} \sum \theta_i^2 \quad \mathcal{R}(\theta) = \sum |\theta_i|$$

Weight decay  
 $L_2$  regularization

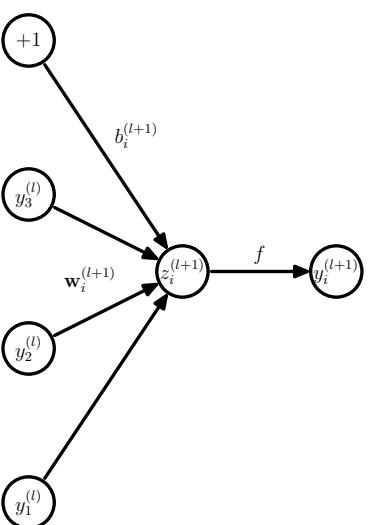
Sparse weights  
 $L_1$  regularization

# Other strategies

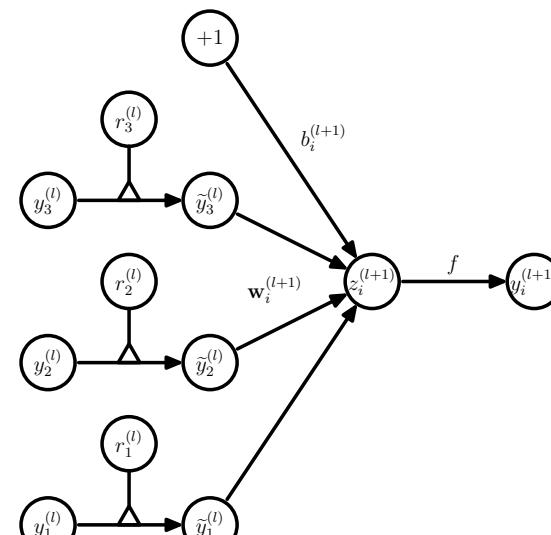
1. Best strategy is to have **more data**
2. Reduce the size of your network, i.e. less parameters
3. Regularization
4. Drop-out

# Drop-out

- Drop-out [Hinton et al. 2012, Srivastava, Hinton, Krizhevsky, JMLR 2014] [Figure from latter]
- Randomly set some of the activations to zero during training
- At test time run it will all the activations on.



(a) Standard network



(b) Dropout network

Figure 3: Comparison of the basic operations of a standard and dropout network.

# Drop-out

- [Figure from Srivastava, Hinton, Krizhevsky, JMLR 2014]
- Network builds redundancies, need to create multiple paths
- Effectively smaller networks than constructed

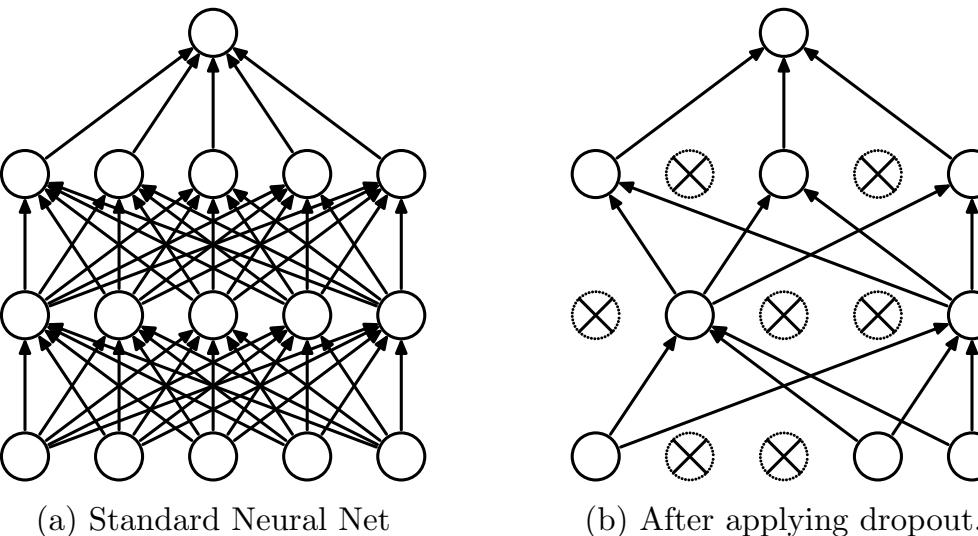


Figure 1: Dropout Neural Net Model. **Left:** A standard neural net with 2 hidden layers. **Right:** An example of a thinned net produced by applying dropout to the network on the left. Crossed units have been dropped.

# Other strategies

1. Best strategy is to have **more data**
2. Reduce the size of your network, i.e. less parameters
3. Regularization
4. Drop-out
5. Multi-task learning

# Multi-task learning

- There may be more than one task for the same dataset
  - Recognition of objects + distinguishing indoor from outdoor scenes
  - Segmentation + denoising of images

- Minimization of two loss functions simultaneously

$$\mathcal{L}(\theta) = \lambda_1 \mathcal{L}_1(\theta) + \lambda_2 \mathcal{L}_2(\theta)$$

- Note the similarity to regularization

$$\mathcal{L}(\theta) + \lambda \mathcal{R}(\theta)$$

# Other strategies

1. Best strategy is to have **more data**
2. Reduce the size of your network, i.e. less parameters
3. Regularization
4. Drop-out
5. Multi-task learning
6. Data augmentation

# Data augmentation

- Augment the training set with random transformations of the observed samples:
  - Rotations, scaling, up-down & left-right flip, ...
  - This simple approach is used very widely



# Other strategies

1. Best strategy is to have **more data**
2. Reduce the size of your network, i.e. less parameters
3. Regularization
4. Drop-out
5. Multi-task learning
6. Data augmentation
7. Transfer learning

# Transfer learning – finetuning

- There are many networks available online that has been trained with ImageNet – with > 1 million images
- Transfer learning takes a pre-trained network and retrains it for the new task and new dataset starting from the learned weights
- You can also consider taking a part of the network instead of the whole
- Initial task can also be unsupervised – for instance denoising with added noise.

Table 1: **ConvNet configurations** (shown in columns). The depth of the configurations increases from the left (A) to the right (E), as more layers are added (the added layers are shown in bold). The convolutional layer parameters are denoted as “conv<receptive field size>-<number of channels>”. The ReLU activation function is not shown for brevity.

| ConvNet Configuration       |                        |                               |  |  |   |
|-----------------------------|------------------------|-------------------------------|--|--|---|
| A                           | A-LRN                  | B                             | C  | D  | E   |
| 11 weight layers            | 11 weight layers       | 13 weight layers              | 16 weight layers                           | 16 weight layers                           | 19 weight layers  |
| input (224 × 224 RGB image) |                        |                               |  |  |   |
| conv3-64                    | conv3-64<br><b>LRN</b> | conv3-64<br><b>conv3-64</b>   | conv3-64<br>conv3-64                       | conv3-64<br>conv3-64                       | conv3-64<br>conv3-64                                    |
| maxpool                     |                        |                               |  |  |   |
| conv3-128                   | conv3-128              | conv3-128<br><b>conv3-128</b> | conv3-128<br>conv3-128                     | conv3-128<br>conv3-128                     | conv3-128<br>conv3-128                                  |
| maxpool                     |                        |                               |  |  |   |
| conv3-256<br>conv3-256      | conv3-256<br>conv3-256 | conv3-256<br>conv3-256        | conv3-256<br>conv3-256<br><b>conv1-256</b> | conv3-256<br>conv3-256<br><b>conv3-256</b> | conv3-256<br>conv3-256<br>conv3-256<br><b>conv3-256</b> |
| maxpool                     |                        |                               |  |  |   |
| conv3-512<br>conv3-512      | conv3-512<br>conv3-512 | conv3-512<br>conv3-512        | conv3-512<br>conv3-512<br><b>conv1-512</b> | conv3-512<br>conv3-512<br><b>conv3-512</b> | conv3-512<br>conv3-512<br>conv3-512<br><b>conv3-512</b> |
| maxpool                     |                        |                               |  |  |   |
| conv3-512<br>conv3-512      | conv3-512<br>conv3-512 | conv3-512<br>conv3-512        | conv3-512<br>conv3-512<br><b>conv1-512</b> | conv3-512<br>conv3-512<br><b>conv3-512</b> | conv3-512<br>conv3-512<br>conv3-512<br><b>conv3-512</b> |
| maxpool                     |                        |                               |  |  |   |
| FC-4096                     |                        |                               |  |  |   |
| FC-4096                     |                        |                               |  |  |   |
| FC-1000                     |                        |                               |  |  |   |
| soft-max                    |                        |                               |  |  |   |

Table 2: **Number of parameters** (in millions).

| Network              | A,A-LRN | B   | C   | D   | E   |
|----------------------|---------|-----|-----|-----|-----|
| Number of parameters | 133     | 133 | 134 | 138 | 144 |